



City of Franklin

109 3rd Ave S
Franklin, TN 37064
(615)791-3217

Meeting Agenda Budget & Finance Committee

Thursday, October 20, 2016

3:00 PM

Board Room

Call To Order

Approval of Minutes

1. [16-0931](#) Approval of minutes from August 18th and September 8th Committee Meetings

2. **16-0834** Consideration of Ordinance 2016-41, an Ordinance to Amend the FY2016-2017 Budget.

Sponsors: Eric Stuckey and Michael Walters Young

Attachments: 2016 Budget Amendment #3 Memo
Ord 2016-41 FY 2017 Budget Amendment #3 - Revised.pdf
Exhibit A - ORD 2016-41.pdf
Exhibit B - Ord 2016-41.pdf
Impact of 2016-41 - FY 2017 Budget Amendment #3
FY 2017 Franklin Blank Program Enhancement Form - BNS
FY 2017 Franklin Blank Program Enhancement Form - GIS-IT
RearLoaderQuote

3. [16-0731](#) Audit Planning for the Year Ended June 30, 2016

Sponsors: Eric Stuckey, Mike Lowe and Russ Truell

Attachments: [FINAL DIGITAL City of Franklin Audit Planning Book June 2016](#)

Legislative History

9/8/16	Budget & Finance Committee	referred to the Budget & Finance Committee
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4. [16-0732](#) Fiscal Year 2016 Fourth Quarter Financial Report (unaudited)

Sponsors: Eric Stuckey, Russ Truell and Mike Lowe

Attachments: [4th Qtr Report 2016](#)

Legislative History

9/8/16	Budget & Finance Committee	referred to the Budget & Finance Committee
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5. [16-0772](#) Report from State Comptroller on Fiscal Year 2015 Annual Financial Report.
- Sponsors:** Eric Stuckey and Mike Lowe
- Attachments:** [Comptroller report on FY15 audit](#)
- Legislative History**
- | | | |
|--------|----------------------------|--|
| 9/8/16 | Budget & Finance Committee | referred to the Budget & Finance Committee |
|--------|----------------------------|--|
6. [16-0835](#) Consideration of Ordinance 2016-42, "An Ordinance To Amend Title 17 - Refuse And Trash Disposal Of The Franklin Municipal Code For The Purpose Of Providing for Various Clarifications And Other General Housekeeping, and Appendix A - Comprehensive Fees and Penalties to be Consistent With the Amendments to Title 17" (Referred from 10/20/16 Budget & Finance, and 6/27/17, 02/13/18, 02/27/18, and 3/27/18 Work Sessions; 04/10/18 1st Reading 7-0). SECOND AND FINAL READING
- Sponsors:** Mark Hilty and Jack Tucker
- Attachments:** [16-0835 FINAL 2016-42 ORD Title 17 and Appendix A_BOMA 041018_Law Ap 20180323_PPT_SES TITLE 17_V1](#)
[20180402_TITLE 17 and APP A_V2](#)
7. [16-0840](#) Consideration of Contract 2016-0264, a Contract with the Regional Transit Authority for the 91X Bus Service (Referred from 10/20/16 Budget & Finance; 11/08/16 WS)
- Sponsors:** Eric Stuckey and Russ Truell
- Attachments:** [RTA contract](#)
8. [16-0876](#) Consideration of Contract 2016-0304, a Software License Agreement with Multi-Bank Securities for an Investment Analysis Program. (Referred from 10/20/16 Budget & Finance; 11/08/16 WS)
- Sponsors:** Eric Stuckey and Russ Truell
- Attachments:** [2016-0304 ConnectDirect COF Edited User Agreement.Law Approved.pdf](#)
[Multi Bank Securities software license agreement](#)
[City of Franklin TN - Edited eConnect User Agreement.pdf](#)
9. [16-0943](#) Consideration of Contract 2016-0322, an Engagement Agreement for Financial Services with PFM Financial (Referred from 10/20/16 Budget & Finance; 11/08/16 WS)
- Sponsors:** Eric Stuckey and Russ Truell
- Attachments:** [2016-0322 PFM Professional Services Agreement_with Attachment A.Law Appr](#)

10. [16-0405](#) Monthly Report for September**Sponsors:** Eric Stuckey and Russ Truell**Attachments:** [Local sales tax chart - June 2016](#)
 [Local sales tax report - June 2016](#)
 [Property Tax Monthly AR Report 2015 Master - June 2016](#)
 [Building Permits Issued July 2016](#)
 [Conference Center report July 2016](#)
 [Transit Report - June 2016](#)
 [Fuel Hedging Report - July 2016](#)
 [Transit Report - July 2016](#)
 [Construction Activities Report - July 2016](#)
 [Investment Report July 2016](#)**Legislative History**

9/8/16	Budget & Finance Committee	referred to the Budget & Finance Committee
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11. [16-0406](#) Monthly Reports for October**Sponsors:** Eric Stuckey and Russ Truell**Attachments:** [Local sales tax report - July 2016](#)
 [Local sales tax chart - July 2016](#)
 [Construction Activities Report - August 2016](#)
 [Fuel Hedging Report - August 2016](#)
 [Investment Report August 2016](#)
 [Dept of Revenue letter](#)
 [Conference Center report - Aug 2016](#)
 [Transit Report - August 2016](#)**Other Business****Adjournment**

ANYONE REQUESTING ACCOMMODATIONS DUE TO DISABILITIES SHOULD CONTACT A.D.A. COORDINATOR, AT 791-3277 AT LEAST 10 DAYS PRIOR TO THE MEETING, IF POSSIBLE.

October 12, 2016

TO: Members of the Board of Mayor and Aldermen
Members of Budget and Finance Committee

FROM: Eric Stuckey, City Administrator
Russ Truell, ACA/CFO
Mike Lowe, Comptroller
Michael Walters Young, Budget & Analytics Manager

RE: Consideration of Ordinance 2016-41, 2nd Quarter 2017 Budget Amendments

Purpose

The purpose of this item is to amend the Fiscal Year 2017 Budget for compliance with State budgeting guidance regarding:

1. Ensuring authorizations in place to balance each fund's budget on a cash basis on June 30, 2016.

Background

The State Comptroller has provided guidance that budget amendments are to be on the cash basis and must occur prior to fiscal year end (June 30) to ensure no fund has a deficit fund balance or deficit cash balance at June 30.

In order to stay ahead of this responsibility, staff is proposing five budget amendments.

The amendments are as follows:

1. Increase within the General Fund of \$165,775 for the addition of three (3) personnel in three departments. The personnel additions include:
 - a. Two (2) positions in Building & Neighborhood Services at the cost of \$136,437 for one-half year. This includes one (1) Building Inspector III and one (1) Electrical Inspector (also a Building Inspector III). Continued record construction within the City of Franklin has caused strain on existing staff. To maintain appropriate service levels, we recommend the addition of both positions to the department. A detailed packet of information is attached with performance measurement data on inspections and permits for the last three years.
 - b. One (1) position in Information Technology at the cost of \$29,338 for one-half year. This position is for a GPS/GIS Technician, originally asked for as a Program Enhancement Request during the FY 2017 Budget Process. This position is necessary for the City's staffing of the Tennessee One-Call System, which we are legally required to provide effective January 1, 2017. A packet is attached describing the position and organizational chart changes.

The increase is proposed to be offset from increasing Property Tax Revenue and Building Permit Revenue estimates for FY 2017, as increased transfers from Water & Wastewater under Amendment 5.

2. Increase of \$164,900 in the Sanitation & Environmental Services Fund for the purpose of replacing a rear loader. The rear loader to be replaced – 134.REL - was a 2008 Ford Rear Loader which was originally requested for replacement in FY 2017 budget process. We had hoped it could make it throughout the year, but sufficient equipment failures have occurred and it must be replaced. The vehicle replacement is available through a cooperative purchase vendor and can be put in service quickly. The increase will come from fund balance. Revenues will be examined throughout the year to try to make up the difference. A quote is attached to this memorandum.
3. Increase of \$500,000 in the Capital Projects Fund for a one-time contribution to the Williamson County Arts Center. Funding will come from the balance of the Williamson County Facilities Tax, which is part of the Capital Projects Fund. The estimated total fund balance within the County Facilities Tax at the end of Fiscal Year 2016 was \$3,262,263, and through two months of FY 2017, collections are on pace with budget estimates. This contribution will still keep sufficient funds available for Phase I CIP projects (Hillsboro Road Phase II and Mallory/Royal Oaks/Liberty Pike Phase I).
4. Increase of \$70,000 in the Drug Fund for the purpose of purchasing of vehicles. The increase will come from fund balance, which at year end was substantially higher than anticipated.
5. Increase of \$14,668 in the Water & Sewer Fund for the purpose funding one-half the FY 2017 cost of the requested new GPS/GIS Technician budgeted in Amendment 1. The increase will come from fund balance. Revenues will be examined throughout the year.

This is the third budget amendment during this Fiscal Year to the budget. We envision several more during the course of the Fiscal Year.

Financial Impact

The amendments, as proposed, would result in:

1. General Fund: No Change.
2. Sanitation & Environmental Services Fund: Decrease of Fund Balance of \$164,900.
3. Drug Fund: Decrease of Fund Balance of \$70,000.
4. Capital Projects Fund: Decrease of Fund Balance (from Williamson County Facilities Tax funds) of \$500,000.
5. Water & Wastewater Fund: Decrease of Fund Balance of \$14,668.

Options

1. Approve amendment(s) as proposed and forward to BOMA.
2. Make changes to the amendment(s) where desired and forward to BOMA.

Recommendation

Staff recommends approval of the amendments.

ORDINANCE NO. 2016 – 41

TO BE ENTITLED: “A ORDINANCE TO AMEND THE BUDGET OF THE CITY OF FRANKLIN FOR FISCAL YEAR 2016-2017”

WHEREAS, the Board of Mayor and Aldermen established the annual budget for the City of Franklin for the Fiscal Year 2016-2017, by Ordinance 2016-12, effective July 1, 2016; and

WHEREAS, the City Charter, Article VIII, provides for adoption of an annual budget for departments of the City of Franklin; and

WHEREAS, an annual budget process appropriating funds to the various departments and divisions of the City government for the fiscal year beginning July 1, 2016 has been completed in accordance with state law and local ordinances; and

WHEREAS, the rate of growth within the community continues to far outpace anticipated demand and budgeted staffing levels; and

WHEREAS, new regulations require that the City of Franklin participate in the Tennessee OneCall program requiring adequate staffing for all inquiries that may arise; and

WHEREAS, the Board of Mayor and Aldermen desires to support the reorganization of the Building & Neighborhood Services Department to provide adequate staffing to ensure proper and timely inspections to ensure the safety of all structures within the City and enable continued economic development; and

WHEREAS, the Board of Mayor and Aldermen also desires to support the reorganization of the Information Technology Department to comply with the regulations of the Tennessee OneCall System; and

WHEREAS, the Board of Mayor and Aldermen find it is in the best interests of the citizens of the City of Franklin to modify the annual budget from time to time.

NOW, THEREFORE BE IT ORDAINED, by the Board of Mayor and Aldermen of the City of Franklin, Tennessee:

SECTION I: That the annual budget for the City of Franklin for the Fiscal Year 2016-2017 shall be amended and does allocate and appropriate additional funding as follows:

<u>GENERAL FUND</u>		[Amendment 1]	
<u>REVENUE</u>			
Property Tax Revenues	Increase		\$ 75,000
Building Permit Revenues	Increase		\$ 76,107
Transfer from Water & Wastewater	Increase		\$ 14,668
<u>EXPENDITURES</u>			
Building & Neighborhood Services	Increase		\$ 136,437
Information Technology	Increase		\$ 29,338

Net Increase (Decrease) to Total General Fund Balance			\$ -

**SANITATION & ENVIRONMENTAL
SERVICES FUND
REVENUE**

[Amendment 2]

EXPENDITURES

SES Collection	Increase	\$ 164,900

Net Increase (Decrease) to Sanitation & Environmental Services Fund Balance		(\$ 164,900)

**CAPITAL PROJECTS FUND
REVENUE**

[Amendment 3]

EXPENDITURES

Contribution to Williamson County Performing Arts & Enrichment Center	Increase	\$ 500,000

Net Increase (Decrease) to Capital Projects Fund Balance		(\$ 500,000)

**DRUG FUND
REVENUE**

[Amendment 4]

EXPENDITURES

Vehicles	Increase	\$ 70,000

Net Increase (Decrease) to Drug Fund Balance		(\$ 70,000)

**WATER & WASTEWATER FUND
REVENUE**

[Amendment 5]

EXPENDITURES

Transfers to General Fund	Increase	\$ 14,668

Net Increase (Decrease) to Water & Wastewater Fund Balance		(\$ 14,668)

SECTION II: That each department of the City shall limit its expenditures to the amount appropriated; that any changes or amendments to the appropriations set forth in the budget shall be made in accordance with the City Code, Article VIII.

SECTION III: The City of Franklin hereby adopts the amended Organization and Personnel Charts for the Building and Neighborhood Services Department, to be incorporated within the FY 2016-17 Budget, as shown in Exhibit A, attached, with two (2) additional Building Inspector III positions to be added and funds for these new positions to be provided via Amendments 1 and 5 of this Ordinance.

SECTION IV: The City of Franklin hereby adopts the amended Organization and Personnel Charts for the Information Technology Department, to be incorporated within the FY 2016-17 Budget, as shown in Exhibit B, attached, with one (1) additional GPS/GIS Technician position to be added and funds for the new position to be provided via Amendments 1 and 5 of this Ordinance.

SECTION V: That this Ordinance shall take effect on after the passage of the Third and Final Reading; the health, safety and welfare of the citizens of the City of Franklin requiring it.

ATTEST:

CITY OF FRANKLIN, TENNESSEE

By: _____
ERIC S. STUCKEY
City Administrator

By: _____
DR. KEN MOORE
Mayor

PASSED FIRST READING: _____
PUBLIC HEARING: _____
PASSED SECOND READING: _____
PASSED THIRD READING: _____



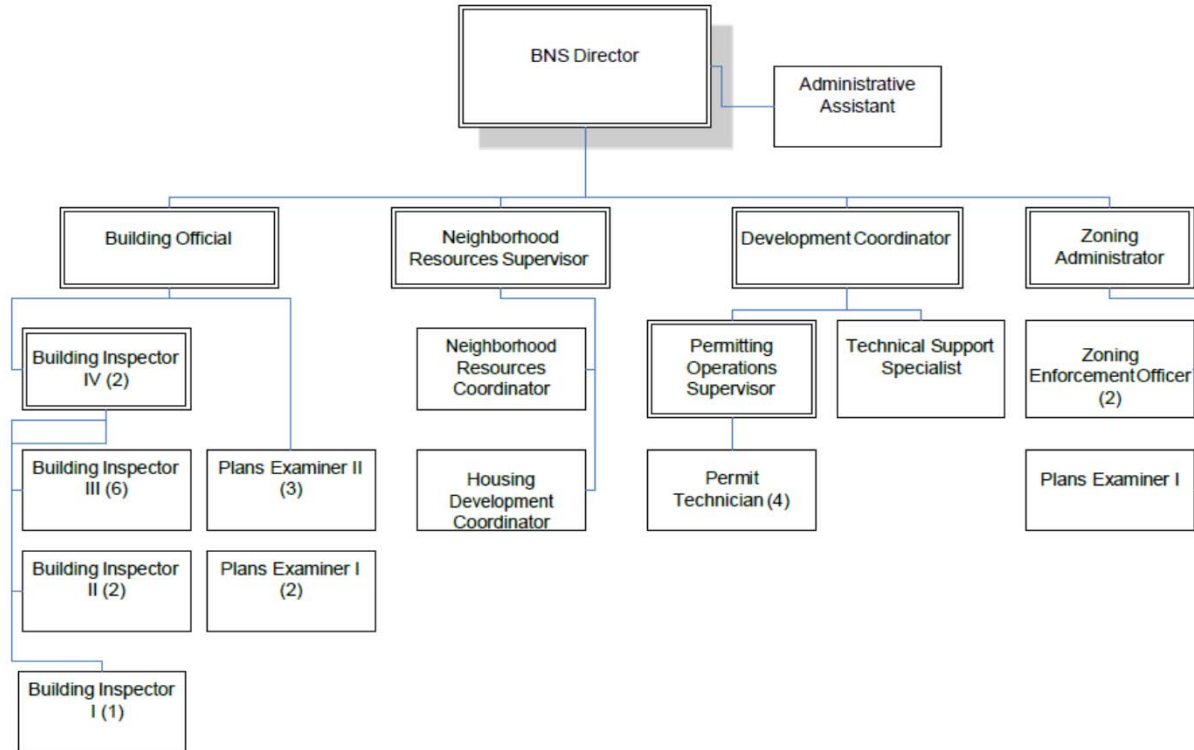
City of Franklin, Tennessee

FY 2017 Operating Budget

Exhibit A

Ord 2016-41

Organizational Chart (Existing)



Note: For detailed counts and authorized positions, please see following page entitled "Staffing by Position"



City of Franklin, Tennessee

FY 2017 Operating Budget

Exhibit A

Ord 2016-41

Staffing by Position (Existing)

Position	Pay Grade	FY 2013		FY 2014		FY 2015		FY 2016		FY 2017	
		F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T
Building & Neigh. Svcs. Director	Grade K	1	0	1	0	1	0	1	0	1	0
Building Official	Grade I	1	0	1	0	1	0	1	0	1	0
Zoning & Development Coor.	Grade H	1	0	1	0	1	0	1	0	1	0
Plans Examiner III	Grade H	0	0	1	0	1	0	0	0	0	0
Building Inspector IV	Grade G	0	0	2	0	2	0	2	0	2	0
Plans Examiner II	Grade G	0	0	2	0	2	0	3	0	3	0
Zoning Administrator	Grade G	1	0	1	0	1	0	1	0	1	0
Neighborhood Resources Super.	Grade F	1	0	1	0	1	0	1	0	1	0
Housing Development Coor.	Grade F	1	0	1	0	1	0	1	0	1	0
Plans Examiner I	Grade F	0	0	2	0	2	0	3	0	3	0
Permitting Operations Supervisor	Grade F	1	0	1	0	1	0	1	0	1	0
Building Inspector III	Grade F	0	0	3	0	3	0	6	0	6	0
Building Inspector II	Grade E	0	0	4	0	5	0	2	0	2	0
Technical Support Specialist	Grade E	0	0	1	0	1	0	1	0	1	0
Zoning Enforcement Officer	Grade E	0	0	2	0	2	0	2	0	2	0
Signs/Design Standards Admin.	Grade E	1	0	0	0	0	0	0	0	0	0
Neighborhood Resources Coor.	Grade E	2	0	1	0	1	0	1	0	1	0
Building Inspector I	Grade D	0	0	1	0	1	0	1	0	1	0
Permit Technician	Grade D	4	0	3	0	3	0	4	0	4	0
Planning Associate	Grade D	0	0	1	0	1	0	0	0	0	0
Administrative Assistant	Grade D	0	0	1	0	1	0	1	0	1	0
Sr. Building Inspector	---	1	0	0	0	0	0	0	0	0	0
Sr. Building Inspector/Elect.	---	1	0	0	0	0	0	0	0	0	0
Build. Insp. - Plumb/Mech. Ex.Sr.	---	1	0	0	0	0	0	0	0	0	0
Fire Code Plans Examiner	---	1	0	0	0	0	0	0	0	0	0
Build. Inspect. - Electrical	---	0	2	0	0	0	0	0	0	0	0
Building Inspector	---	1	0	0	0	0	0	0	0	0	0
Combo Inspector - Grade 1	---	3	0	0	0	0	0	0	0	0	0
Combo Inspector - Grade 2	---	4	0	0	0	0	0	0	0	0	0
Total		26	2	31	0	32	0	33	0	33	0



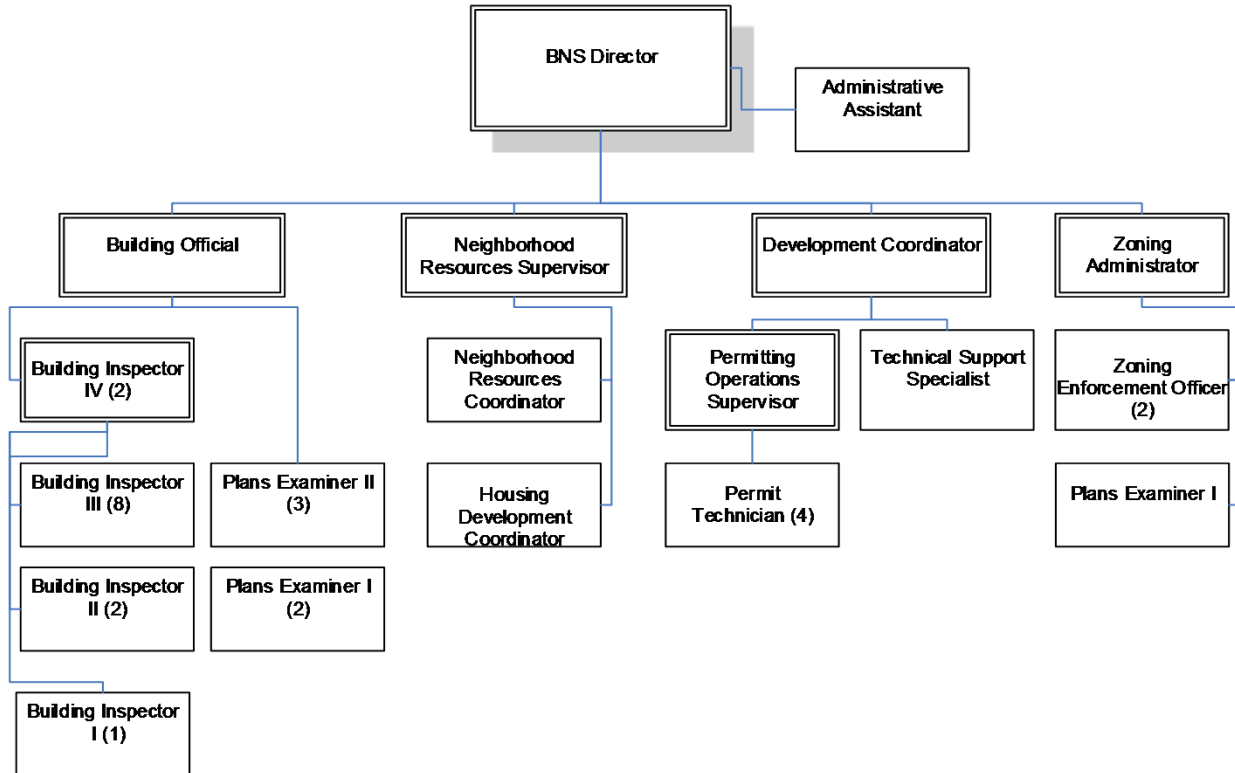
City of Franklin, Tennessee

FY 2017 Operating Budget

Exhibit A

Ord 2016-41

Organizational Chart (Proposed)



Note: For detailed counts and authorized positions, please see following page entitled "Staffing by Position"



City of Franklin, Tennessee

FY 2017 Operating Budget

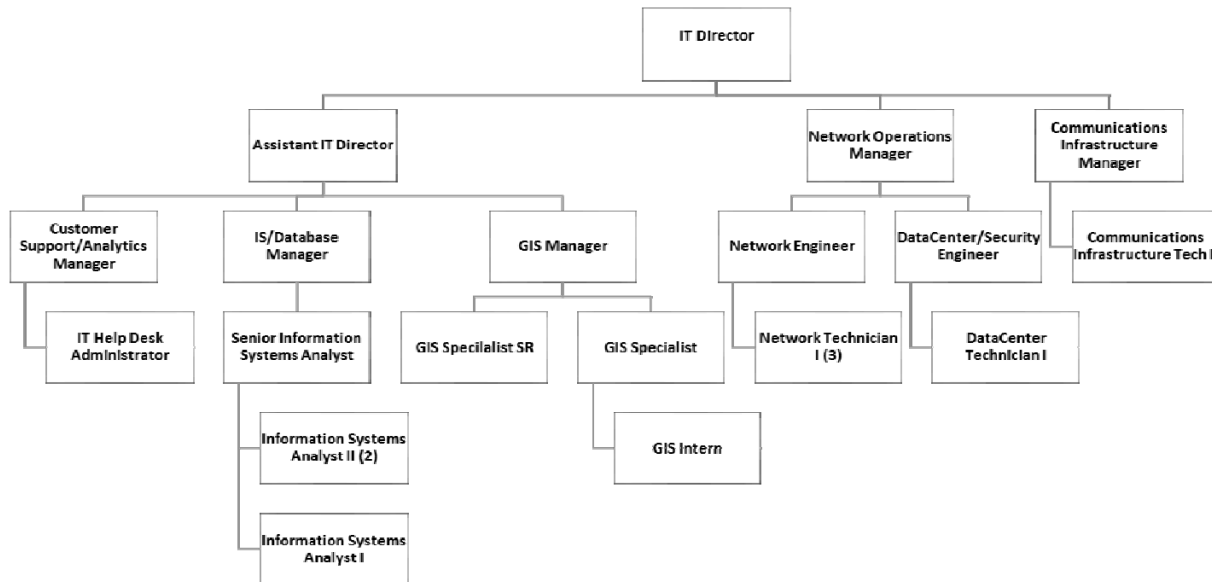
Exhibit A

Ord 2016-41

Staffing by Position (Proposed)

Position	Pay Grade	FY 2013		FY 2014		FY 2015		FY 2016		FY 2017	
		F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T
Building & Neigh. Svcs. Director	Grade K	1	0	1	0	1	0	1	0	1	0
Building Official	Grade I	1	0	1	0	1	0	1	0	1	0
Zoning & Development Coor.	Grade H	1	0	1	0	1	0	1	0	1	0
Plans Examiner III	Grade H	0	0	1	0	1	0	0	0	0	0
Building Inspector IV	Grade G	0	0	2	0	2	0	2	0	2	0
Plans Examiner II	Grade G	0	0	2	0	2	0	3	0	3	0
Zoning Administrator	Grade G	1	0	1	0	1	0	1	0	1	0
Neighborhood Resources Super.	Grade F	1	0	1	0	1	0	1	0	1	0
Housing Development Coor.	Grade F	1	0	1	0	1	0	1	0	1	0
Plans Examiner I	Grade F	0	0	2	0	2	0	3	0	3	0
Permitting Operations Supervisor	Grade F	1	0	1	0	1	0	1	0	1	0
Building Inspector III	Grade F	0	0	3	0	3	0	6	0	8	0
Building Inspector II	Grade E	0	0	4	0	5	0	2	0	2	0
Technical Support Specialist	Grade E	0	0	1	0	1	0	1	0	1	0
Zoning Enforcement Officer	Grade E	0	0	2	0	2	0	2	0	2	0
Signs/Design Standards Admin.	Grade E	1	0	0	0	0	0	0	0	0	0
Neighborhood Resources Coor.	Grade E	2	0	1	0	1	0	1	0	1	0
Building Inspector I	Grade D	0	0	1	0	1	0	1	0	1	0
Permit Technician	Grade D	4	0	3	0	3	0	4	0	4	0
Planning Associate	Grade D	0	0	1	0	1	0	0	0	0	0
Administrative Assistant	Grade D	0	0	1	0	1	0	1	0	1	0
Sr. Building Inspector	---	1	0	0	0	0	0	0	0	0	0
Sr. Building Inspector/Elect.	---	1	0	0	0	0	0	0	0	0	0
Build. Insp. - Plumb/Mech. Ex.Sr.	---	1	0	0	0	0	0	0	0	0	0
Fire Code Plans Examiner	---	1	0	0	0	0	0	0	0	0	0
Build. Inspect. - Electrical	---	0	2	0	0	0	0	0	0	0	0
Building Inspector	---	1	0	0	0	0	0	0	0	0	0
Combo Inspector - Grade 1	---	3	0	0	0	0	0	0	0	0	0
Combo Inspector - Grade 2	---	4	0	0	0	0	0	0	0	0	0
Total		26	2	31	0	32	0	33	0	35	0

Organizational Chart (Existing)



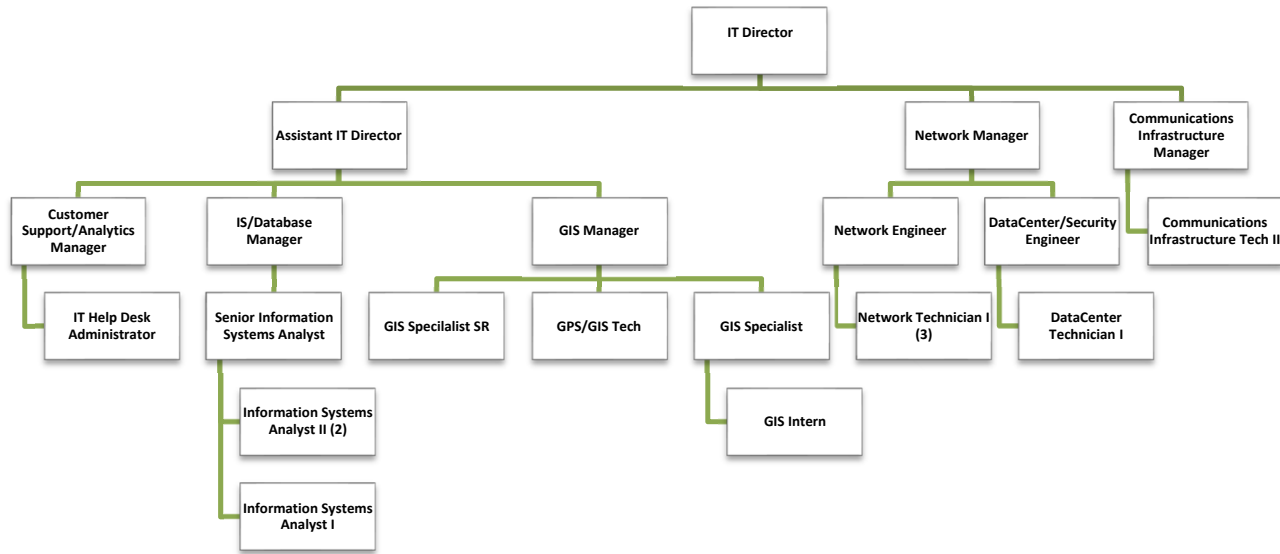
Note: For detailed counts and authorized positions, please see following page entitled "Staffing by Position"



Staffing by Position (Existing)

Position	Pay Grade	FY 2013		FY 2014		FY 2015		FY 2016		FY 2017	
		F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T
Info Technology Director	Grade L	1	0	1	0	1	0	1	0	1	0
Assistant IT Director	Grade K	0	0	0	0	1	0	1	0	1	0
Information Systems/Database Manager	Grade J	0	0	1	0	1	0	1	0	1	0
Communications Infrastructure Manager	Grade J	0	0	1	0	0	0	1	0	1	0
Customer Support/Analytics Manager	Grade J	0	0	1	0	1	0	1	0	1	0
Network Operations Manager	Grade J	0	0	1	0	1	0	1	0	1	0
GIS Manager	Grade J	0	0	1	0	1	0	1	0	1	0
Senior Information Systems Analyst	Grade H	0	0	1	0	1	0	1	0	1	0
Information Systems Analyst II	Grade H	0	0	1	0	1	0	2	0	2	0
DataCenter/Security Engineer	Grade H	0	0	0	0	1	0	1	0	1	0
GIS Specialist Sr	Grade G	2	0	1	0	1	0	1	0	1	0
Information Imaging Specialist /Trainer	Grade G	0	0	1	0	1	0	1	0	0	0
Network Engineer	Grade G	0	0	1	0	1	0	1	0	1	0
Communications Infrastructure Tech II	Grade G	0	0	0	0	1	0	1	0	1	0
DataCenter Tech I	Grade F	2	0	2	0	2	0	2	0	1	0
Network Technician I	Grade F	2	0	1	0	1	1	1	1	2	1
Information Systems Analyst I	Grade F	0	0	1	0	1	0	0	0	1	0
ITS Specialist	Grade E	0	0	1	0	1	0	0	0	0	0
GIS Specialist	Grade E	0	0	1	0	1	0	1	0	1	0
IT Help Desk Administrator	Grade E	0	0	1	0	1	0	1	0	1	0
Intern	Intern	0	2	0	2	0	1	0	1	0	1
Applications Development Manager	---	0	0	0	0	0	0	0	0	0	0
Communications Services Manager	---	1	0	0	0	0	0	0	0	0	0
Database Admin./Webmaster	---	1	0	0	0	0	0	0	0	0	0
Database Manager	---	1	0	0	0	0	0	0	0	0	0
GIS Applications Manager	---	1	0	0	0	0	0	0	0	0	0
Financial Applications Manager	---	1	0	0	0	0	0	0	0	0	0
Business Services Applications Man.	---	1	0	0	0	0	0	0	0	0	0
Network Manager	---	1	0	0	0	0	0	0	0	0	0
Public Safety Applications Manager	---	1	0	0	0	0	0	0	0	0	0
Administrative Assistant	---	1	0	0	0	0	0	0	0	0	0
Asset Analyst I	---	1	0	0	0	0	0	0	0	0	0
Totals		17	2	18	2	20	2	20	2	20	2

Organizational Chart (Proposed)



Note: For detailed counts and authorized positions, please see following page entitled "Staffing by Position"



Staffing by Position (Proposed)

Position	Pay Grade	FY 2013		FY 2014		FY 2015		FY 2016		FY 2017	
		F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T	F-T	P-T
Info Technology Director	Grade L	1	0	1	0	1	0	1	0	1	0
Assistant IT Director	Grade K	0	0	0	0	1	0	1	0	1	0
Information Systems/Database Manager	Grade J	0	0	1	0	1	0	1	0	1	0
Communications Infrastructure Manager	Grade J	0	0	1	0	0	0	1	0	1	0
Customer Support/Analytics Manager	Grade J	0	0	1	0	1	0	1	0	1	0
Network Operations Manager	Grade J	0	0	1	0	1	0	1	0	1	0
GIS Manager	Grade J	0	0	1	0	1	0	1	0	1	0
Senior Information Systems Analyst	Grade H	0	0	1	0	1	0	1	0	1	0
Information Systems Analyst II	Grade H	0	0	1	0	1	0	2	0	2	0
DataCenter/Security Engineer	Grade H	0	0	0	0	1	0	1	0	1	0
GIS Specialist Sr	Grade G	2	0	1	0	1	0	1	0	1	0
Information Imaging Specialist /Trainer	Grade G	0	0	1	0	1	0	1	0	0	0
Network Engineer	Grade G	0	0	1	0	1	0	1	0	1	0
Communications Infrastructure Tech II	Grade G	0	0	0	0	1	0	1	0	1	0
DataCenter Tech I	Grade F	2	0	2	0	2	0	2	0	1	0
Network Technician I	Grade F	2	0	1	0	1	1	1	1	2	1
Information Systems Analyst I	Grade F	0	0	1	0	1	0	0	0	1	0
ITS Specialist	Grade E	0	0	1	0	1	0	0	0	0	0
GPS/GIS Technician	Grade E	0	0	0	0	0	0	0	0	1	0
GIS Specialist	Grade E	0	0	1	0	1	0	1	0	1	0
IT Help Desk Administrator	Grade E	0	0	1	0	1	0	1	0	1	0
Intern	Intern	0	2	0	2	0	1	0	1	0	1
Applications Development Manager	---	0	0	0	0	0	0	0	0	0	0
Communications Services Manager	---	1	0	0	0	0	0	0	0	0	0
Database Admin./Webmaster	---	1	0	0	0	0	0	0	0	0	0
Database Manager	---	1	0	0	0	0	0	0	0	0	0
GIS Applications Manager	---	1	0	0	0	0	0	0	0	0	0
Financial Applications Manager	---	1	0	0	0	0	0	0	0	0	0
Business Services Applications Man.	---	1	0	0	0	0	0	0	0	0	0
Network Manager	---	1	0	0	0	0	0	0	0	0	0
Public Safety Applications Manager	---	1	0	0	0	0	0	0	0	0	0
Administrative Assistant	---	1	0	0	0	0	0	0	0	0	0
Asset Analyst I	---	1	0	0	0	0	0	0	0	0	0
Totals		17	2	18	2	20	2	20	2	21	2



City of Franklin, Tennessee

FY 2017 Operating Budget

Impact of Ordinance 2016-41 on Budgets

The following demonstrates the impact of Ordinance 2016-41 on five separate funds: the General Fund, the Sanitation & Environmental Services Fund, the Drug Fund, the Capital Projects Fund and the Water & Wastewater Funds. It is important to note:

- a) The General Fund has no impact on the bottom line by these transfers.
- b) The Sanitation & Environmental Services Fund balance will be reduced by \$164,900.
- c) The Drug Fund balance will be reduced by \$70,000.
- d) The Capital Projects Fund balance will be reduced by \$500,000.
- e) The Water & Wastewater Funds balance will be reduced by \$14,668.

Fund 110 - General Fund

	<u>A</u>	<u>B</u>	<u>C(B-A)</u>	<u>D (B/A)</u>
	2017 Budget	2017 Amended	Difference \$	%
Beginning Balance*	\$ 39,249,586	\$ 39,249,586	\$ -	0.00%
Revenues	\$ 64,147,849	\$ 64,313,624	\$ 165,775	0.26%
Expenses				
Personnel	\$ 45,110,622	\$ 45,110,622	\$ -	0.00%
Operations	\$ 16,462,227	\$ 16,628,002	\$ 165,775	1.01%
Capital	\$ 2,575,000	\$ 2,575,000	\$ -	0.00%
Expenses	\$ 64,147,849	\$ 64,313,624	\$ 165,775	0.26%
Ending Balance	\$ 39,249,586	\$ 39,249,586	\$ -	0.00%

Fund 124 - Sanitation & Environmental Services Fund

	<u>A</u>	<u>B</u>	<u>C(B-A)</u>	<u>D (B/A)</u>
	2017 Budget	2017 Amended	Difference \$	%
Beginning Balance*	\$ 767,350	\$ 751,496	\$ (15,854)	-2.07%
Revenues	\$ 9,372,227	\$ 9,372,227	\$ -	0.00%
Expenses				
Personnel	\$ 3,004,482	\$ 3,004,482	\$ -	0.00%
Operations	\$ 6,383,599	\$ 6,548,499	\$ 164,900	2.58%
Capital	\$ -	\$ -	\$ -	0.00%
Expenses	\$ 9,388,081	\$ 9,552,981	\$ 164,900	1.76%
Ending Balance	\$ 751,496	\$ 570,742	\$ (180,754)	-24.05%



City of Franklin, Tennessee

FY 2017 Operating Budget

Impact of Ordinance 2016-41 on Budgets

Fund 140 - Drug Fund

	<u>A</u>	<u>B</u>	<u>C(B-A)</u>	<u>D (B/A)</u>
	2017 Budget	2017 Amended	Difference \$	%
Beginning Balance*	\$ 515,642	\$ 515,642	\$ -	0.00%
Revenues	\$ 124,010	\$ 124,010	\$ -	0.00%
Expenses				
Personnel	\$ -	\$ -	\$ -	0.00%
Operations	\$ 72,500	\$ 142,500	\$ 70,000	96.55%
Capital	\$ -	\$ -	\$ -	0.00%
Expenses	\$ 72,500	\$ 142,500	\$ 70,000	96.55%
Ending Balance	\$ 567,152	\$ 497,152	\$ (70,000)	-12.34%

Fund 310 - Capital Projects Fund

***Please Note: The Capital Projects Fund is not budgeted.*

	<u>A</u>	<u>B</u>	<u>C(B-A)</u>	<u>D (B/A)</u>
	2017 Beginning**	2017 Amended	Difference \$	%
Beginning Balance*	\$ (7,887,886)	\$ (7,887,886)	\$ -	0.00%
Revenues	\$ -	\$ -	\$ -	0.00%
Expenses				
Personnel	\$ -	\$ -	\$ -	0.00%
Operations	\$ -	\$ 500,000	\$ 500,000	100.00%
Capital	\$ -	\$ -	\$ -	0.00%
Expenses	\$ -	\$ 500,000	\$ 500,000	0.00%
Ending Balance	\$ (7,887,886)	\$ (8,387,886)	\$ (500,000)	6.34%

Funds 421 & 431 - Water & Wastewater Fund

	<u>A</u>	<u>B</u>	<u>C(B-A)</u>	<u>D (B/A)</u>
	2017 Budget	2017 Amended	Difference \$	%
Beginning Balance*	\$ 33,549,525	\$ 33,549,525	\$ -	0.00%
Revenues	\$ 26,150,977	\$ 26,150,977	\$ -	0.00%
Expenses				
Personnel	\$ 6,173,894	\$ 6,173,894	\$ -	0.00%
Operations	\$ 13,131,062	\$ 13,145,730	\$ 14,668	100.00%
Capital	\$ 5,401,035	\$ 5,401,035	\$ -	100.00%
Expenses	\$ 24,705,991	\$ 24,720,659	\$ 14,668	0.06%
Ending Balance	\$ 34,994,511	\$ 34,979,843	\$ (14,668)	-0.04%

**All beginning balances estimated as of June 30, 2016*

FY2017 PROGRAM ENHANCEMENT REQUEST FORM



Department Priority: **1** of **1**

Department: **41900 BUILDING & NEIGHBORHOOD SERVICES**
 Division: **Inspection Section**
 Title: **Inspector III (2 positions)**

Purpose: Use this form to spell out your request for additional personnel and/or programs. We will work with you to tally the requests and create a prioritized list.

REQUESTED PROGRAM ENHANCEMENT FUNDING

Account Description	One-Time Cost (FY17 Only)	Ongoing Annual Cost (FY17 & Future)	TOTAL FY17 Request
Compensation			
81110 REGULAR PAY		\$48,182	\$96,364
		\$0	\$0
Benefits			
<i>Benefits auto-calculated at 30% of compensation -->></i>			
	\$0	\$14,455	\$28,909
Expenses			
83510 FURNITURE, FIXTURES (<\$25,000)	\$5,000		\$5,000
89520 VEHICLES (>\$25,000)	\$63,300		\$63,300
83540 COMPUTER HARDWARE (<\$25,000)	\$3,200		\$3,200
82390 PUBLICATIONS, NON-TRAINING	\$1,500		\$1,500
82455 CELLULAR TELEPHONE SERVICE	\$800		\$800
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
			\$0
TOTAL	\$73,800	\$62,637	\$199,073

PURPOSE / DESCRIPTION OF REQUEST

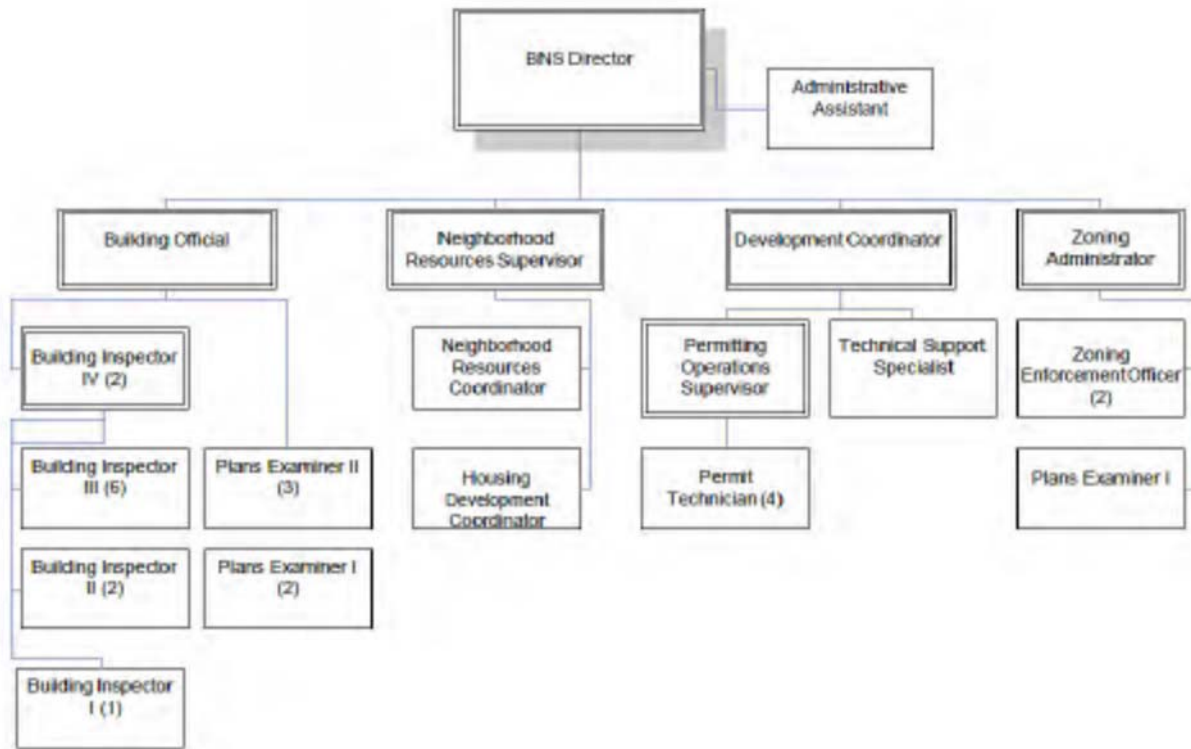
The cost for one-half year would be \$62,637 in Personnel & Benefits and \$73,800 in Expenses for a total of \$136,437. This cost is proposed to be funded one-half between additional Property Tax Revenues and Building Permit Revenues.

Two Inspector III positions are requested. Inspector III's will have the proficiency, experience, and State certifications to allow for maximum flexibility and efficiency in delivering inspection services immediately. The BNS Inspector III position corresponds directly to the entry level Inspector positions in other departments. Inspectors in BNS have acted as Inspector III's for decades, but the recent requirements for State Certification have led to a tiered set of job descriptions.

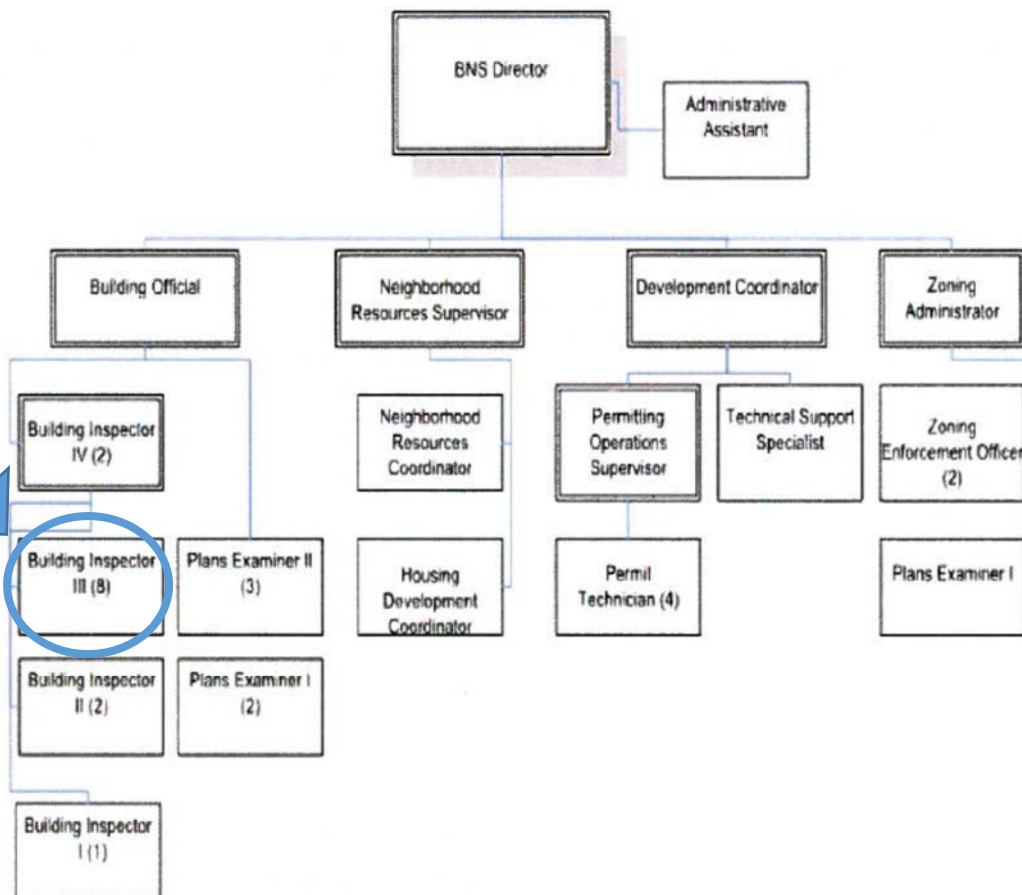
SERVICE IMPLICATION

Failure to approve this request will result in an increase in not meeting level of service commitment. All of the planning and strategies for minimizing number of personnel needed to meet a variable work load depend on having a flexible and multiple certified work force.

Existing Organizational Chart



Proposed Organizational Chart



# of Inspections	Technical Compliance	Helpfulness	Completion
1-5	Excellent, Time to investigate and research issues and view all areas of concern, write thorough, explanatory corrections; review plans thoroughly and research permits and prior inspections; talk to colleagues about plan review conditions	Excellent, inspectors can meet with builders, teach and explain, allow spot corrections, can be consistently assigned to individual projects, take phone calls; able to meet contractors to discuss challenges outside of schedule; able to resolve most issues and minimal inspection delays experienced	All inspections routinely completed; time for coordination meetings, time for training; time for process improvement projects; need to have heavier workload days interspersed to avoid idle time
6-11	Good; cursory review of most construction documents and reasonable inspection preparation; able to review corrections for code accuracy by looking up code references	Good, as above but may not have time to meet to discuss project; Able to discuss correction methods via phone call or email; works through many minor issues that could normally fail an inspection	All inspections routinely completed; time for coordination meetings; process improvement can be completed over time; time for training
12-15	Average; Sporadic review of construction documents; starting to spot check for compliance rather than view all areas of concern; some code accuracy review; reasonably thorough inspections	Standard level of helpfulness; some days may not be able to accommodate requests or discuss projects; start to implement time-saving methods by being less forgiving if contractors are not fully prepared; assignment of inspectors is random; noticeable amount of complaints and some delays due to process	All inspections routinely completed; minimal time for coordination between inspectors and to talk to supervisors before starting route; some overtime; have to shut down inspections to train; need to intersperse this work level with days of lighter loads
16-25	May not be able to cover all inspection points, have to focus only on critical areas of concern, use spot inspection techniques and verbal confirmation; accuracy of corrections is down; May conduct partial inspections; documentation incomplete	Not very helpful; Focused on efficiently moving from one jobsite to another with minimal distraction or conversation; No time for phone calls or emails; office staff spending lots of time answering complaints; higher failure rate and procedural delays	May run out of time as jobsites close for the day; overtime routine; no time for coordination or supervision; all time spent on route; some morale issues
25+	Some risk of missing important correction items if contractor was not thorough; Inspections may be partially completed and have to be finished over the next few days as time allows; many errors in documentation and application of the code	Contractors are on their own and will have to be fully prepared to pass inspection; no grace able to be extended; large volume of complaints to office; higher failure rate for items that are normally easily resolved; projects routinely delayed to accommodate inspections	Some inspections not completed; Cannot sustain this level for long without having a some time to catch up on basic tasks; morale issues become a problem if this pattern lasts

BNS COMPLETED INSPECTIONS
Final - Passed - Partial

From: 7/1/15 - 6/30/16

Inspections completed with
6 BPM Inspectors & 3 Electrical Inspectors*

INFOR			
Bldg, Mech, Plbg, Pool	# Insp Completed	Total Average Inspections per Day	Average per Inspector per Day
Total Bldg, Mech, Plbg, Pool Insp.	21,218	97.3	16.2
Inspections currently completed with 6 BPM Inspectors		# Inspectors Needed for avg 12 Insp per day	8.1
INFOR			
Electrical	# Insp Completed	Total Average Inspections per Day	Average per Inspector per Day
Total Electrical Inspections	8,811	40.4	13.5
Inspections currently completed with 3 Electrical Inspectors		# Inspectors Needed for avg 12 Insp per day	3.4
INFOR			
Total Inspections Completed - FY 2016	30,029		

* Assume 250 Work Days

less 15 days vacation + Personal Days

less 12 days training/ HR

less 5 days sick/ out (Nat'l avg 4.4)

= 218 days/ inspector

BNS Inspections

The BNS department requests the addition of two Inspector III positions. The numbers of permits issued has increased, resulting in an increase in the numbers of related required inspections.

Permits Issued

	Elec	All Other BNS Permits	Total Permits Issued	% Change per year	Q1	% Change per Q1
FY 2014	3737	4248	7985	7.22%	2257	14.11%
FY 2015	2870	4279	7149	-10.47%	2075	-8.77%
FY 2016	3646	5230	8876	24.16%	2092	0.81%
Q1 - FY 2017	900	1366	2266	TBD	2266	8.32%

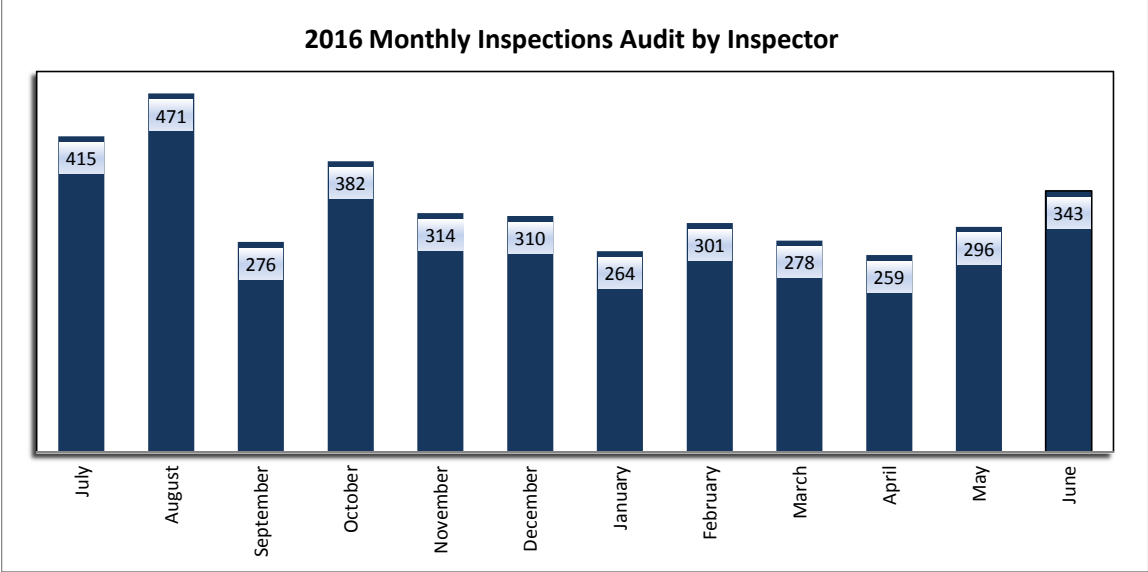
As the numbers of inspections increases, the workload per inspector increases, resulting in a lower level of service: Inspections are not completed as scheduled, the inspectors are unable to assist the contractors with information and explanations, the inspections may only cover the critical areas of concern, the accuracy of inspections will decrease, and the failure rates will increase.

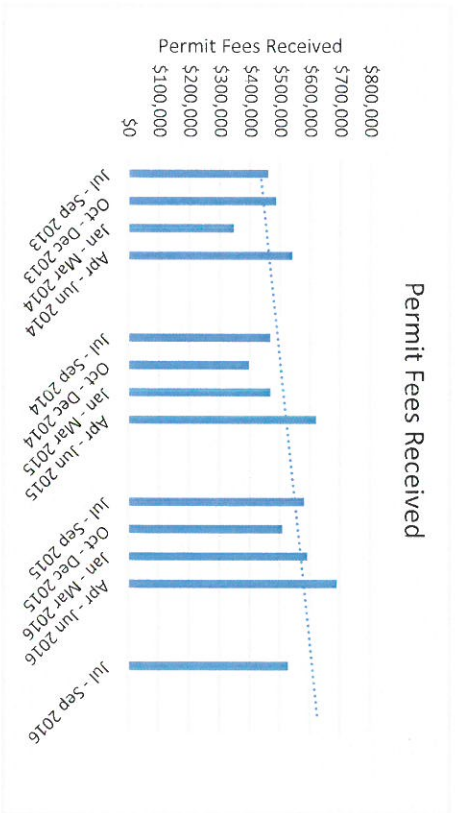
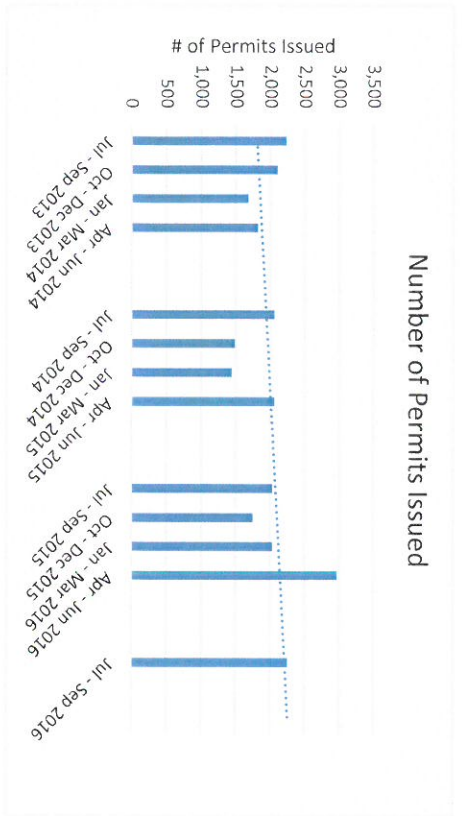
Inspections Completed

	Elec	All Other BNS Inspections	Infor - Results - Total	% Change per year	Q1	% Change per Q1
FY 2014	8342	15,676	24,018	-6.19%	8591	-3.57%
FY 2015	8407	15,225	23,632	-1.61%	7276	-15.31%
FY 2016	8811	21,218	30,029	27.07%	9050	24.38%
Q1 - FY 2017	2718	6925	9643	TBD	9643	6.55%

City of Franklin - BNS
2016 Monthly Inspections Audit by Inspector
Rogger
Layman

July 1, 2015 - June 30, 2016													
Day of Month	July	August	September	October	November	December	January	February	March	April	May	June	Totals
1	24		18	17		14		22	16	11		13	135
2	21		17	19	10	20		15	12		13	18	145
3		20	18		13	14		7	10		13	13	108
4		18	15			15	9	17	10	12	12		108
5		19		20	16		13	17		12	17		114
6	16	22		18	18		17			16	17	17	141
7	22	18		19		18	11		9	11		12	120
8	16		16	14		16	14	13	12	14		16	131
9	16		14	16	20	17		16	11		11	18	139
10		22	20		33	16		18	13		13	16	151
11		18	19		18	20	20	13	12		12		132
12		17		25	14	8	17	10			13		104
13	35	30		21	36		14	11			13	14	174
14	20	34	17	32			16		12			17	148
15	20		13	26		11	16		11			11	108
16	29		20	16	14	15		18	10		23	14	159
17	25	25	18		15	12		16	10		18	21	160
18	15	30			12	20		11	16	14	16		134
19		17		17	10		12	12		14	15		97
20		27		18	21		21			18	13	11	129
21	17	27		16		15	8		12	10		11	116
22	16			21		39		15	13	20		12	136
23	17				17	20		17	16		13	14	114
24	15	21			13			13	16		15	20	113
25		24	19		21		18	13		22	14		131
26		20		21			11	12		25	16		105
27	15	16		14			17			19	9	18	108
28	23	25	19	10		11	18		10	20		16	152
29	18		18	11		9	12	15	18	21		18	140
30	17		15	11	13				15			23	94
31	18	21							14		10		63
Totals:	415	471	276	382	314	310	264	301	278	259	296	343	3,909
Insp/ Day 12 or Less	0%	0%	0%	14.29%	16.67%	26.32%	27.78%	28.57%	59.09%	31.25%	23.81%	22.73%	21%







City of Franklin Purchasing Office
P.O. Box 305
Franklin TN 37065-0305
Telephone: (615)550-6692
Fax: (615)550-0079

Purchase Order

Purchase Order No.	PO2459
Date	9/28/2016

Requesting Department: Streets

Vendor:

Wilson County Motors. LLC
903 South Hartmann Dr
Lebanon TN 37090

Ship To:

City of Franklin Fleet Maintenance
124 Lumber Dr., Bldg. No. 2
Franklin TN 37064

Bill To:

City of Franklin Accounts Payable
P.O. Box 295
Franklin, TN 37065-0295
Telephone: (615) 550-6692
Fax: (615)550-0079

^ Changed Since the Previous Revision

L/N	Item Number	Description	U/M	Ordered	Unit Price	Ext. Price
1	PICK-UP TRUCK	per September 03, 2016 3:57:01 PM quote	Each	1	\$31,649.87	\$31,649.87
	Manufacturer:	Manufacturer's Item Number:	Description:			
	2017 CHEVY	SILVERADO 1500 CK15753	4WD Double Cab 143.5" LT w/1LT			
2	SALES TAX		Each	0	\$0.00	\$0.00
	The City of Franklin is exempt from Tennessee sales or use tax pursuant to Tennessee Sales or Use Tax Government Certificate of Exemption, attached.					
3	VEHICLE REGISTRATION		Each	0	\$0.00	\$0.00
	Manufacturer's Statement of Origin (a.k.a., Certificate of Origin) shall be assigned and issued to: City of Franklin, 109 3rd Ave. South, Franklin, TN 37064.					

Referenced quote is based on current Tennessee statewide contract pricing, terms and conditions.

Brian Wilcox, Purchasing Manager

Freight	\$0.00
Order Total	\$31,649.87

Department:	41350 INFORMATION TECHNOLOGY (IT)
Division:	
Title:	GPS/GIS Tech

REQUESTED PROGRAM ENHANCEMENT FUNDING

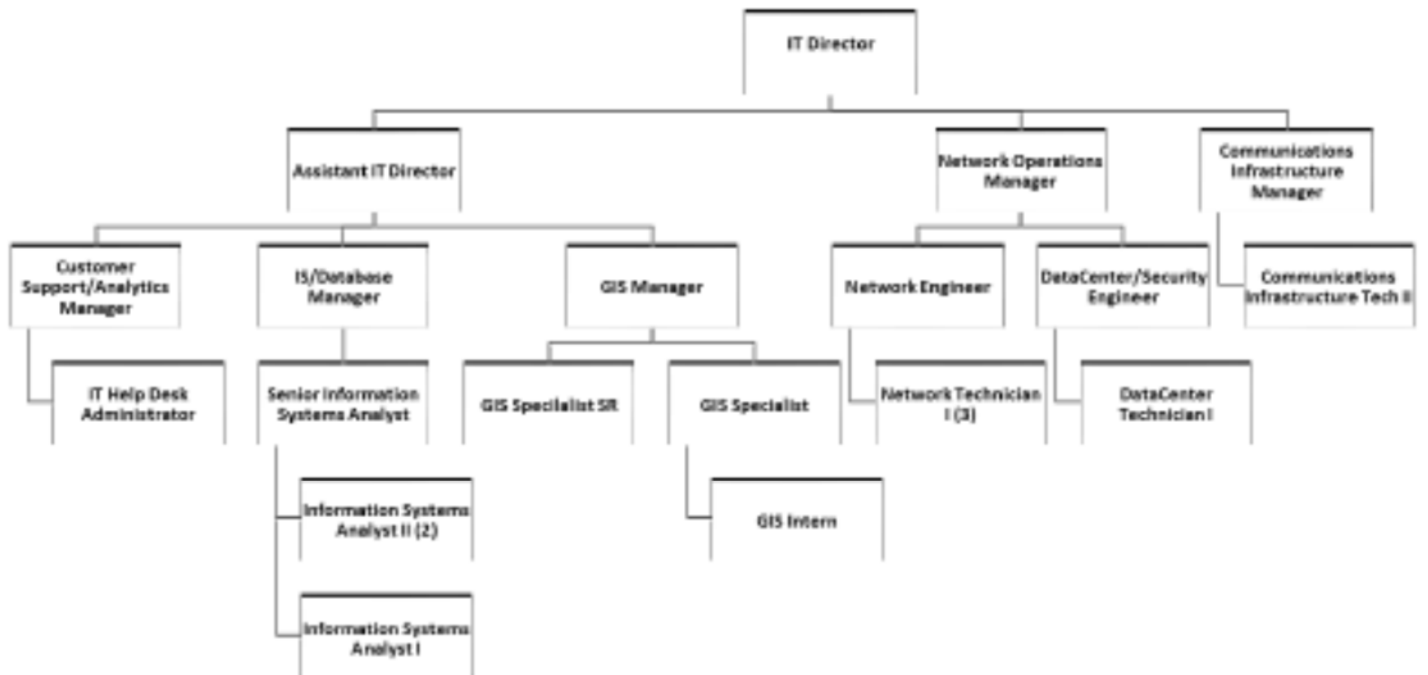
[illegible]

The cost for one-half year would be \$27,838 in Personnel & Benefits and \$1,500 in Expenses for a total of \$29,338. This cost is proposed to be split one-half between the General Fund and the Water & Wastewater Fund.

With the City joining in the Tennessee OneCall system, it's more important now than ever to make sure all city assets are properly GPS'ed. Adding a position in the GIS division would give IT the ability to GPS city assets and properly get them into the GIS system and into our asset management system. In addition, this position would be used to confirm as-builts received from developers on new subdivisions.

Failure to add this position will inhibit the City's abilities to service the One-Call System and maintain its existing GIS operations.

Existing Organizational Chart



Proposed Organizational Chart





Quote

Quote Expires 11/13/2016

Page: 1

2710 Locust Street Nashville, TN 37207
800-832-4404 615-226-4900

Quote # 1478

Ticket date: 10/14/16

Station: JC

Sold to: CITY OF FRANKLIN TN SOLID
109 3rd Ave S.
FRANKLIN, TN 37064

Ship to: CITY OF FRANKLIN
124 LUMBAR DRIVE
FRANKLIN, TN 37064
550-6953

♦♦ TRUCK BODIES / REFUSE EQUIPMENT / STREET SWEEPERS / SEWER CLEANERS / PARTS & SERVICE ♦♦

Customer #: FRA020
Customer PO#:

Ship date:
Location: MAIN

Ship-via code: PU
Terms: NET 30

Sls rep:
4

Quantity	Item #	Description	Price	Selling unit	Ext prc
1	M2106	CHASSIS, FREIGHTLINER	72,213.00	EACH	72,213.00
1	WONOTES	Work Order Notes	0.00	.	0.00
		2016 Freightliner M2106, VIN 1FVACXC4GHHN8836, with 300HP Cummins ISL diesel engine, 10,000 front axle, 12,000 lb front suspension, 23,000 lb rear axle, 30,000 lb rear suspension, 80 gal. aluminum fuel tank, air suspension driver's seat with two man-mid-back stationary passenger seat, Allison 3500 RDS automatic transmission.			
1	PT-1000 20YD	BODY, HEIL PT-1000 20YD	92,686.29	EACH	92,686.29
1	WONOTES	Work Order Notes	0.00	.	0.00
		Heil PT1000-20, 20 cubic yard rear loader with transmission mounted PTO, hopper work light, body side back-up assist lights, Peterson Multi Function Smart light system, internally plumbed dual rotary cart tipper, 20 lb. fire extinguisher, mud flaps ahead and behind rear tires, 360 degree camera package (4 cameras) with DVR capability, 1 year standard warranty, 5-year warranty on all cylinders, painted white to match cab.			
		NJPA MEMBER PURCHASE # 2081			

Notes VIN: 1FVACXC4GHHN8836 GVW: 33,000

Total line items: 4	Subtotal:	164,899.29
User: 4	Tax:	0.00
	Total:	164,899.29

Signature:

For additional assistance contact

Returns by prior authorization only. 20% Restocking Fee on returned items.
No Returns accepted after 10 days. No Refunds on Electrical Parts
Your Business is Greatly Appreciated!



City of Franklin, Tennessee

*Audit Planning for the
Year Ended June 30, 2016*



CROSSLIN
& ASSOCIATES
BUSINESS SOLUTION PROVIDERS



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Changes in Accounting and Auditing Standards	10
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July 11, 2016

To the Budget and Finance Committee
Board of Mayor and Aldermen of
the City of Franklin, Tennessee

Dear Members:

Thank you very much for the opportunity to continue as your independent auditors. We are pleased to provide the details of our audit plan and procedures for the City of Franklin, Tennessee (the "City") for the fiscal year ended June 30, 2016. This report outlines the scope of our work and what we see as the key considerations affecting our 2016 audit. This communication is a method to validate our understanding of the significant issues that impact the City's financial statements, confirm your current year expectations, and align our efforts with your expectations.

The City of Franklin and Crosslin & Associates share a commitment to quality. We strive to understand the City's operations and emerging issues in order to mitigate risk. Our commitment to quality means we bring technically qualified people to the engagement and reflect quality in every aspect of our work.

We appreciate the opportunity to be of service to the City. If you have any questions or comments, please call Dell Crosslin, Managing Principal, or me at (615) 320-5500. Thank you again for this opportunity. We look forward to working with you and everyone at the City of Franklin again this year.

Very truly yours,

A handwritten signature in dark ink, appearing to read "Dan Miller".

Daniel P. Miller
Audit Principal



Audit Approach and Plan

We are pleased to be of service to City of Franklin, Tennessee (the “City”). The following report describes our plan for the audit of the financial statements for the fiscal year ending June 30, 2016. This report is designed to provide you with a summary of our overall objectives for the audit and the nature and scope of the planned audit work.

Overall, our audit strategy involves extensive audit principal and manager/supervisor involvement in all aspects of the planning and execution of the audit and is based on our understanding, of the City gained during our prior audits, current year planning procedures, and discussions with management. Our audit strategy includes the following:

- Focus resources on higher risk areas and other areas of concern as identified by management and those charged with governance.
- Consider inherent risk within the City - i.e., the susceptibility of financial statement misstatement due to material error or fraud, before recognizing the effectiveness of the control systems.
- Consider the control environment and the possibility that the control systems and procedures may fail to prevent or detect a material error or fraud.
- Assess internal controls in determining the degree of detail testing required.
- Consider information about systems and the computer environment in which financial records and related systems operate.
- Consider recent results of operations and significant current year events.
- Conduct the audit of the annual financial statements of the City as of and for the year ended June 30, 2016 in accordance with auditing standards generally accepted in the United States of America and *Government Auditing Standards*.
- Consult regarding accounting and reporting matters as needed throughout the year.
- Ensure that those charged with governance are kept appropriately informed of the City’s financial reporting matters as required by professional standards.



Audit Approach and Plan (Continued)

The key element of effective audit planning is a thorough understanding of the City's operations. This includes the operating environment, accounting and internal accounting control structure, and financial position. Our history and experience with the City and other governmental entities, our planning procedures, and our planning discussions with the City's management help us capture the knowledge to develop a detailed understanding of these elements. Therefore, we can effectively identify the nature of significant account balances/transaction classes, assess risk, and design audit tests.

Our audit approach is tailored to meet the specific needs of each customer. We have the leverage of our experience base and build upon our understanding of the City's operations. As conditions change, we will continue to develop our understanding of critical audit areas through comprehensive audit planning and risk assessment.

Risk assessment is the essential element in the audit planning process. When reliable risk-assessment decisions are made in the initial planning process, the amount of testing can be correlated with each audit area's relative risk. The result: a more focused, efficient and effective audit.

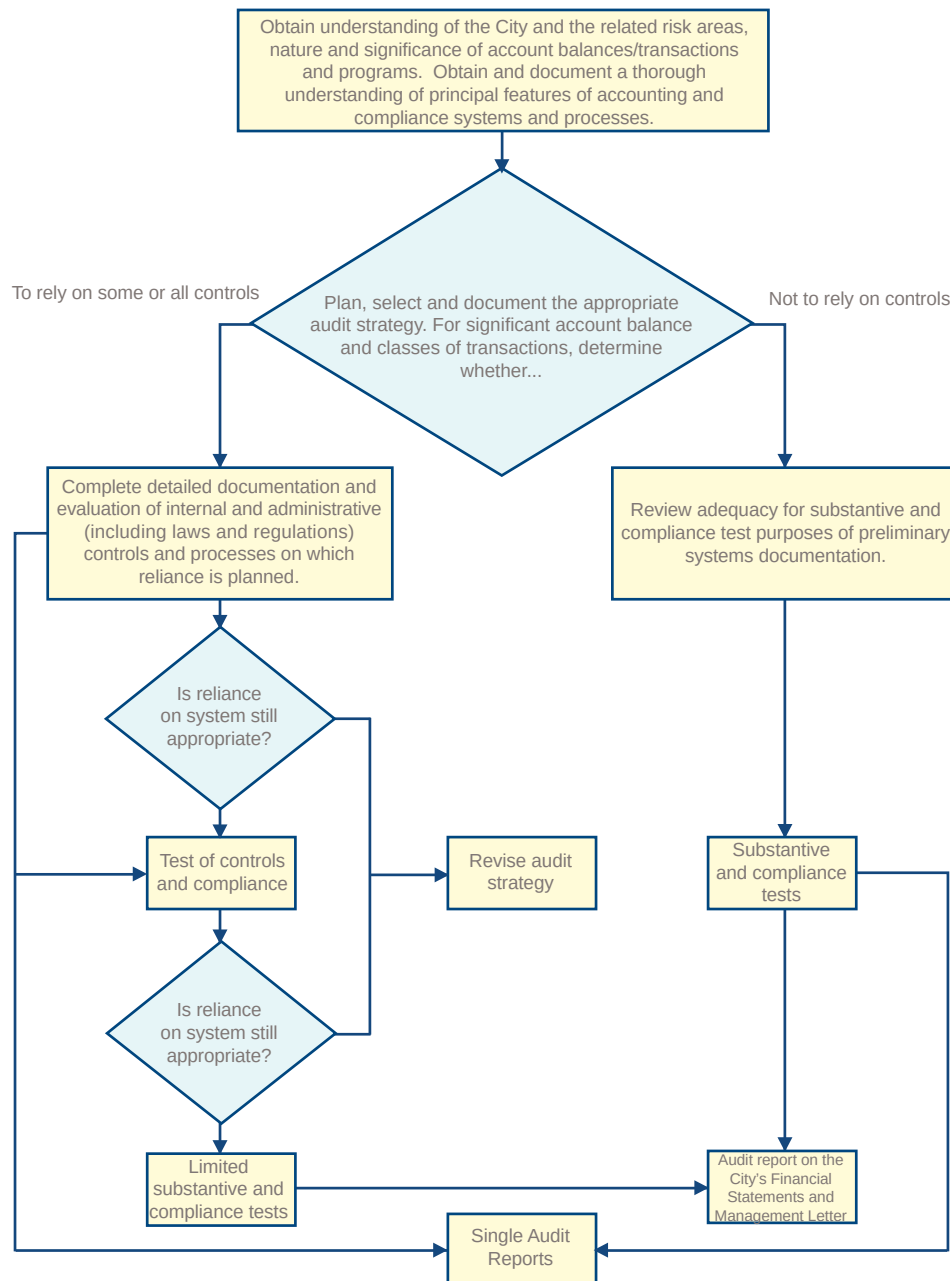
The following discussion of comprehensive audit planning and risk assessment is necessarily presented in a somewhat static manner. Auditing, however, is a dynamic process. Our audit plan may change during the course of the audit as new information and circumstances come to our attention. Information obtained during a current audit may also affect the planning for future audits. In fact, we generally begin planning the subsequent year's audit at the conclusion of the current year audit. As a result, audit planning is appropriately approached as a continuous process.



Audit Approach and Plan (Continued)

Similar to the prior year, our audit will be performed in three phases, which are represented in the following chart and also described below.

Audit Methodology and Approach





Audit Approach and Plan (Continued)

Phase I - Planning

Our Phase I audit procedures include the following:

- Hold an entrance conference with appropriate management personnel.
- Coordinate planning efforts and develop testing methods.
- Complete and submit preliminary customer participation schedule.
- Review correspondence files, budget and related material, organization charts, manuals and programs, governing minutes, financial and other management information, financial statements, and other internal documents.
- Apply preliminary analytical procedures.
- Identify through inquiry and observation the accounting control procedures that prevent or detect errors and fraud.
- Document the internal control structure and the flow of transactions in accordance with U.S. Generally Accepted Auditing Standards (GAAS) and *Government Auditing Standards*.
- Conduct fraud risk interviews (commonly referred to as SAS 99 interviews) with City employees and those charged with governance.
- Make preliminary assessments of control risk and begin planning our substantive procedures.
- Provide details of the audit plan to management and those charged with governance.

Phase II - Substantive Procedures

During Phase II, we perform substantive audit procedures. The results of this work may require us to propose adjustments to account balances, recommend additional disclosures in the financial statements, and make certain comments regarding the City's operations. Our Phase II audit procedures include the following:

- Perform procedures to gather evidence to support management assertions in the financial statements.
- Confirm material account balances and/or transactions with third parties.



Audit Approach and Plan (Continued)

- Apply a mixture of analytical procedures and tests of details to achieve audit objectives related to a particular assertion. Some of our analytical procedures can be relied on to significantly reduce or eliminate test of details. At a minimum, our analytical tests will include comparing statement of net position account balances to the prior year and our expectations and comparing actual revenues and expenditures/expenses to prior year's revenues and expenditures/expenses and to budgeted amounts and our expectations.
- Hold progress meetings with the appropriate management personnel.
- Subject all audit tests, resulting conclusions, and working papers to a rigorous in-house quality control review.

Phase III - Reporting and Communication

Communication is an essential part of an effective audit. We want to interact with you as much as possible, not only during the audit, but throughout the year as well. We welcome your questions and comments. During the course of the audit, we will continually meet with financial management personnel to provide a status of the engagement. These status reports will address the progress of our procedures, any problems encountered, open items, and any other issues we believe need to be brought to the attention of management.

Additionally, we will communicate with those charged with governance, as required by professional standards and as necessary to meet our requirements.

Summary of the reporting and communication steps is as follows:

- Discuss all adjusting journal entries proposed by us, if any, with appropriate management personnel.
- Hold an exit conference with management.
- Issue draft and final audit reports and management letter, if applicable.
- Present audit reports, results of the audit, and management letter to those charged with governance.



Areas of Audit Significance

During our audit, we will focus significant resources on the following account balances, related transaction streams and operating matters:

Control Evaluation and Testing

- Cash receipts and revenues
- Property tax billings and receipts
- Purchasing and disbursements
- Employee compensation, including benefit plan
- IT controls over significant accounting systems
- Compliance

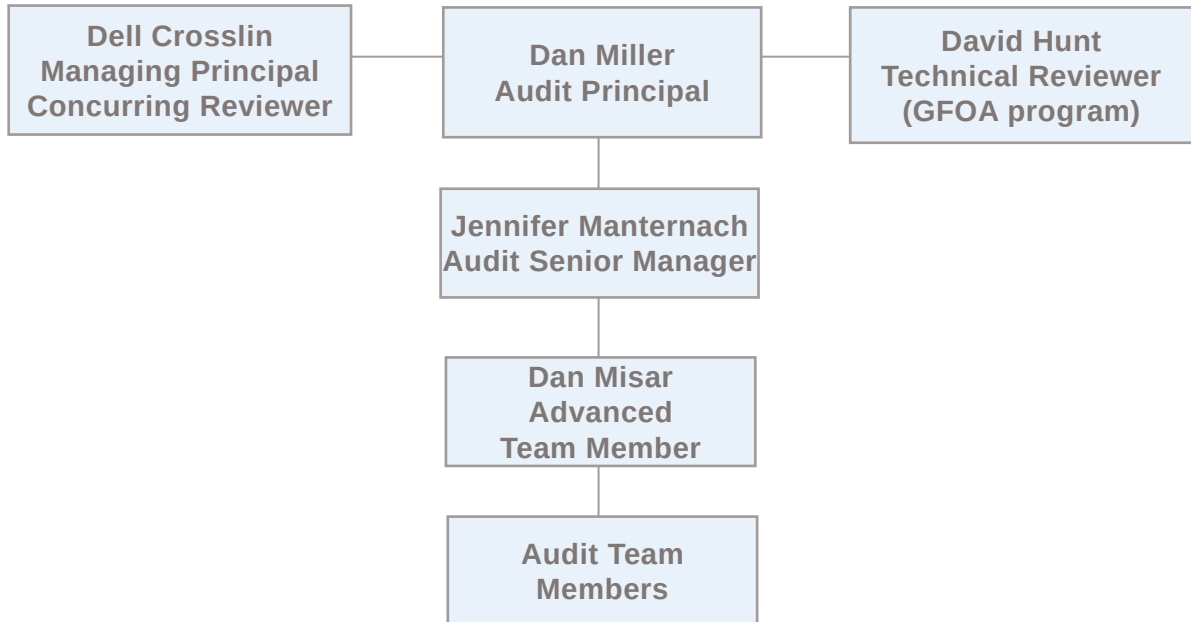
Substantive Testing

- Treasury / investments / cash
- Long-lived assets
- Long-term liabilities including pension/OPEB
- GASB 68 considerations for pension recording and reporting
- Accruals
- Property tax, state shared and other revenues, including related receivables
- Grant receipts, disbursements, and revenue
- Expenses and budget process
- Debt and deferred outflows of resources
- Net position / fund balance categories including GASB Nos. 34 and 54
- Compliance
- State audit procedures, including the state audit manual
- Implementation of applicable new GASB pronouncements



Customer Service Team

The following is the customer service team, which remains substantively the same as the prior year:





Independence

- The AICPA's Code of Professional Conduct, Rule 101, sets forth guidance for assurance services provided by accountants under U.S. generally accepted auditing standards. *Government Auditing Standards* also provides guidance for financial audits performed under generally accepted government auditing standards.
- Both sources direct that independence is required both in fact and appearance. Crosslin & Associates has established quality control policies and procedures to ensure compliance with professional standards, including those related to independence.
- Crosslin & Associates is independent with respect to the City of Franklin, Tennessee, within the requirements of both the AICPA and *Government Auditing Standards*.

Audit Timeline

The following is our anticipated timing for the 2016 audit. The timing is consistent with the prior year.

TASK	TIMING
Understand the Operations	Ongoing
Assess Overall Controls	Ongoing
Planning Communication	Current
Phase I - Planning and Determine Nature and Extent of Testing	July 2016
Phase I - Commencement Meeting with Management	July 2016
Phase II - Substantive Testing	September - October 2016
Phase III Reporting and Communication	November 2016
Issue Financial Statements	December 2016



Changes in Auditing and Accounting Standards

The government and standard-setting bodies are issuing guidance at an unprecedented pace. Crosslin & Associates is constantly receiving, reviewing, and searching for the latest authoritative literature, in part through its involvement with the AICPA's Government Audit Quality Center and the Government Finance Officers Association (GFOA), including GFOA's Special Review Committee. We routinely interface with our customers to ensure proper understanding and application of pronouncements, standards, interpretations, and addenda that arise. In addition, we expect to join the City personnel in relevant discussions to implement all new standards as they arise.

Recent accounting pronouncements including the following. We will analyze these Statements with City management to ensure appropriate implementation, as applicable.

- **Statement No. 72 Fair Value Measurement and Application**
Effective Date: The requirements of this Statement are effective for financial statements for reporting periods beginning after June 15, 2015. Earlier application is encouraged.
- **Statement No. 73 Accounting and Financial Reporting for Pensions and Related Assets That Are Not within the Scope of GASB Statement 68, and Amendments to Certain Provisions of GASB Statements 67 and 68**
Effective Date: The provisions in Statement 73 are effective for fiscal years beginning after June 15, 2015 -except those provisions that address employers and governmental nonemployer contributing entities for pensions that are not within the scope of Statement 68, which are effective for fiscal years beginning after June 15, 2016. Earlier application is encouraged.
- **Statement No. 74 Financial Reporting for Postemployment Benefit Plans Other Than Pension Plans**
Effective Date: The provisions in Statement 74 are effective for fiscal years beginning after June 15, 2016. Earlier application is encouraged.
- **Statement No. 75 Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions**
Effective Date: The provisions in Statement 75 are effective for fiscal years beginning after June 15, 2017. Earlier application is encouraged.
- **GASB Statement No. 76, The Hierarchy of Generally Accepted Accounting Principles for State and Local Governments**
Effective Date: The provisions in Statement 76 are effective for reporting periods beginning after June 15, 2015. Earlier application is encouraged.



Changes in Auditing and Accounting Standards (continued)

- **GASB Statement No. 77, Tax Abatement Disclosures**
Effective Date: The requirements of this Statement are effective for reporting periods beginning after December 15, 2015. Earlier application is encouraged.
- **GASB Statement No. 78, Pensions Provided through Certain Multiple-Employer Defined Benefit Pension Plans**
Effective Date: The requirements of this Statement are effective for reporting periods beginning after December 15, 2015. Earlier application is encouraged.
- **GASB Statement No. 79, Certain External Investment Pools and Pool Participants**
Effective Date: The requirements of this Statement are effective for reporting periods beginning after June 15, 2015, except for the provisions in paragraphs 18, 19, 23–26, and 40, which are effective for reporting periods beginning after December 15, 2015. Earlier application is encouraged.



Fraud Discussion

SAS 99, *Consideration of Fraud in a Financial Statement Audit*, was issued by the AICPA to heighten the awareness of auditors to the potential for fraud when planning and executing audits. While SAS 99 emphasizes the need for increased professional skepticism throughout the audit engagement, it does not change our responsibilities as auditors. Under SAS 99, we are responsible for planning and performing the audit to obtain reasonable assurance about whether the financial statements are free of material misstatements caused by error or by fraud. However, the extent of required procedures related to the detection of fraud has increased. We approach all audits with an understanding that fraud could occur in any organization at any time and could be perpetrated by anyone.

Gathering Information Needed to Identify Risks of Material Misstatement Due to Fraud

- Engagement team brainstorming discussion.
- Inquiries of management, accounting personnel, and other personnel not directly involved in financial reporting.
- Investigation of unusual or unexpected relationships identified through various analytical procedures.

Responding to Identified Fraud Risks

- Design of the nature, timing, and extent of audit procedures.
- Use of experienced personnel to audit areas of exposure.
- Testing of items below established scopes.

Procedures to Address the Risk of Management Override of Internal Controls

- Review of accounting estimates for bias, including a retrospective review of significant prior year estimates.
- Evaluation of business rationale for significant unusual transactions.
- Inspect and analyze reasonableness of manual adjusting journal entries made during the year.

Evaluating Audit Evidence

- Being cognizant of fraud risk factors throughout audit.
- Being mindful of discrepancies in the accounting records, conflicting or missing audit evidence, and/or problematic or unusual relationships between the auditor and management.

City of Franklin Tennessee
General Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Local Sales Tax	31,309,367	28,943,994	108.2%	30,955,552	101.1%
State Shared Taxes	14,970,590	12,780,373	117.1%	10,845,415	138.0%
Property Taxes	5,007,360	5,568,530	89.9%	5,307,869	94.3%
Alcohol Taxes	3,926,711	3,630,038	108.2%	3,717,097	105.6%
Grants	1,612,488	289,382	557.2%	3,371,336	47.8%
Franchise Fees	2,255,565	2,462,903	91.6%	2,511,230	89.8%
Building Permits & Fees	2,850,547	2,208,558	129.1%	2,315,580	123.1%
Court Fines & Fees	474,859	586,478	81.0%	720,573	65.9%
In Lieu of Tax (Local)	326,438	326,887	99.9%	306,131	106.6%
Interest Income	191,631	145,098	132.1%	197,802	96.9%
Other Revenues	614,316	547,026	112.3%	4,792,612	12.8%
Total Revenues	63,539,872	57,489,270	110.5%	65,041,197	97.7%
Expenditures:					
Salaries & Wages	30,148,612	28,545,883	105.6%	30,969,955	97.3%
Employee Benefits	11,489,490	9,471,680	121.3%	11,481,640	100.1%
Utilities	2,188,173	2,210,273	99.0%	2,306,200	94.9%
Contractual Services	2,334,766	2,318,867	100.7%	3,006,115	77.7%
Repair & Maintenance Services	2,161,220	2,132,527	101.3%	1,881,712	114.9%
Debt Service & Lease Payments	2,143,020	1,744,296	122.9%	2,182,216	98.2%
Reimbursement from Other Funds	(2,597,249)	(2,575,478)	100.8%	(2,597,247)	100.0%
Transfers To Other Funds	3,501,413	3,463,229	101.1%	3,968,569	88.2%
Capital (>\$25,000)	177,939	427,799	41.6%	2,392,200	7.4%
Other Expenditures	8,212,353	6,995,194	117.4%	9,449,837	86.9%
Total Expenditures	59,759,737	54,734,270	109.2%	65,041,197	91.9%
Total Unallocated Funds	3,780,135	2,755,000	137.2%	0	0.0%

The General Fund surplus of \$3.8 million was supported by increased state shared taxes (including \$4.5 in Hall income tax), building permit revenue, and local sales tax.

City of Franklin Tennessee
Street Aid & Trans
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	287,224	295,259	97.3%	287,224	100.0%
State Shared Taxes	1,959,796	1,887,683	103.8%	1,893,391	103.5%
Property Taxes	526,008	508,038	103.5%	528,418	99.5%
Interest Income	286	697	41.1%	400	71.5%
Transfer From General Fund	0	0	0.0%	175,000	0.0%
Total Revenues	2,773,314	2,691,676	103.0%	2,884,433	96.1%
Expenditures:					
Repair & Maintenance Services	2,398,633	2,403,908	99.8%	2,400,000	99.9%
Other Expenditures	1,266	544	232.8%	0	0.0%
Total Expenditures	2,399,899	2,404,452	99.8%	2,400,000	100.0%
Total Unallocated Funds	373,415	287,224	130.0%	484,433	77.1%

No transfer from the General Fund was needed in fiscal year 2016. State shared fuel taxes and property taxes covered the fund's expenditures.

City of Franklin Tennessee
Sanitation & Envir Services Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	618,294	405,119	152.6%	618,286	100.0%
Grants	22,277	93,309	23.9%	0	0.0%
Interest Income	22	0	0.0%	0	0.0%
Sanitation Collection Services	5,146,587	4,946,611	104.0%	5,163,376	99.7%
Tipping Fees	3,064,284	2,293,823	133.6%	3,238,800	94.6%
Transfer From General Fund	500,000	500,000	100.0%	500,000	100.0%
Other Revenues	324,352	441,413	73.5%	520,084	62.4%
Total Revenues	9,675,817	8,680,275	111.5%	10,040,546	96.4%
Expenditures:					
Salaries & Wages	1,915,171	1,993,239	96.1%	2,014,614	95.1%
Employee Benefits	842,707	775,487	108.7%	898,236	93.8%
Utilities	69,758	68,938	101.2%	71,234	97.9%
Contractual Services	7,650	2,228	343.3%	10,000	76.5%
Repair & Maintenance Services	724,402	541,841	133.7%	461,382	157.0%
Debt Service & Lease Payments	745,705	612,155	121.8%	757,419	98.5%
Transfers To Other Funds	599,772	584,509	102.6%	589,080	101.8%
Other Expenditures	4,003,302	3,483,582	114.9%	4,334,151	92.4%
Total Expenditures	8,908,468	8,061,980	110.5%	9,136,116	97.5%
Total Unallocated Funds	767,350	618,294	124.1%	904,430	84.8%

The fund received a transfer of \$500,000 from the General Fund to help cover fund expenditures.

City of Franklin Tennessee
Road Impact Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	397,976	0	0.0%	397,976	100.0%
Interest Income	9,154	124	7378.7%	0	0.0%
Road Impact Fees	7,854,118	3,611,954	217.4%	5,945,683	132.1%
Road Impact Credits	(267,394)	(253,523)	105.5%	0	0.0%
Total Revenues	7,993,854	3,358,555	238.0%	6,343,659	126.0%
Expenditures:					
Contractual Services	300,000	0	0.0%	175,322	171.1%
Transfers To Other Funds	2,674,006	2,960,579	90.3%	2,739,169	97.6%
Other Expenditures	0	0	0.0%	300,000	0.0%
Total Expenditures	2,974,006	2,960,579	100.5%	3,214,491	92.5%
Total Unallocated Funds	5,019,848	397,976	1261.3%	3,129,168	160.4%

Road impact fees collected were double the amount budgeted; they were 32% more than last year.

City of Franklin Tennessee
Facilities Tax Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	6,717,764	6,253,611	107.4%	6,717,764	100.0%
Interest Income	58,120	13,939	416.9%	10,000	581.2%
Facilities Taxes	4,827,968	2,468,473	195.6%	2,110,407	228.8%
Total Revenues	11,603,852	8,736,024	132.8%	8,838,171	131.3%
Expenditures:					
Utilities	1,655	395	418.9%	0	0.0%
Contractual Services	36,890	363	10176.6%	0	0.0%
Repair & Maintenance Services	12,198	951	1283.3%	0	0.0%
Capital (>\$25,000)	4,219,984	1,642,694	256.9%	4,765,856	88.5%
Other Expenditures	356,849	373,857	95.5%	218,041	163.7%
Total Expenditures	4,627,576	2,018,260	229.3%	4,983,897	92.9%
Total Unallocated Funds	6,976,276	6,717,764	103.8%	3,854,274	181.0%

Facilities taxes collected were almost double of the amount budgeted and last year.

City of Franklin Tennessee
Stormwater Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	4,783,235	5,511,065	86.8%	4,783,235	100.0%
Building Permits & Fees	40,403	93,447	43.2%	27,500	146.9%
Interest Income	83,972	14,441	581.5%	10,000	839.7%
Stormwater Fees	2,377,521	2,521,597	94.3%	2,400,000	99.1%
Other Revenues	44,785	43,862	102.1%	45,000	99.5%
Total Revenues	7,329,916	8,184,413	89.6%	7,265,735	100.9%
Expenditures:					
Salaries & Wages	819,216	747,936	109.5%	820,803	99.8%
Employee Benefits	358,234	299,168	119.7%	357,228	100.3%
Utilities	56,320	50,790	110.9%	47,217	119.3%
Contractual Services	137,067	76,822	178.4%	130,286	105.2%
Repair & Maintenance Services	86,963	87,960	98.9%	55,370	157.1%
Debt Service & Lease Payments	170,365	0	0.0%	232,154	73.4%
Transfers To Other Funds	0	919,139	0.0%	0	0.0%
Capital (>\$25,000)	397,677	843,588	47.1%	2,846,000	14.0%
Other Expenditures	430,339	375,775	114.5%	615,250	69.9%
Total Expenditures	2,456,180	3,401,178	72.2%	5,104,308	48.1%
Total Unallocated Funds	4,873,735	4,783,235	101.9%	2,161,427	225.5%

Fund balance remained comparable to the previous year.

City of Franklin Tennessee
Drug Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	295,296	165,436	178.5%	295,296	100.0%
Interest Income	1,874	937	199.9%	1,000	187.4%
Drug Fines Received	137,906	42,740	322.7%	93,070	148.2%
Other Revenues	185,577	170,805	108.6%	79,091	234.6%
Total Revenues	620,653	379,919	163.4%	468,457	132.5%
Expenditures:					
Repair & Maintenance Services	0	1,512	0.0%	0	0.0%
Other Expenditures	105,011	83,111	126.4%	127,000	82.7%
Total Expenditures	105,011	84,622	124.1%	127,000	82.7%
Total Unallocated Funds	515,642	295,296	174.6%	341,457	151.0%

Drug fines were triple the amount budgeted; 48% more than last year.

City of Franklin Tennessee
Hotel/Motel Tax Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	2,749,596	1,971,048	139.5%	2,749,596	100.0%
Interest Income	6,750	2,318	291.2%	2,000	337.5%
Hotel/Motel Taxes	3,557,971	3,291,019	108.1%	3,281,214	108.4%
Total Revenues	6,314,317	5,264,385	119.9%	6,032,810	104.7%
Expenditures:					
Utilities	24,995	0	0.0%	24,995	100.0%
Contractual Services	155,177	177,058	87.6%	30,000	517.3%
Repair & Maintenance Services	17,600	25,053	70.3%	36,595	48.1%
Transfers To Other Funds	2,328,778	1,114,995	208.9%	2,334,243	99.8%
Capital (>\$25,000)	347,406	541,999	64.1%	954,539	36.4%
Other Expenditures	806,252	655,685	123.0%	767,131	105.1%
Total Expenditures	3,680,208	2,514,789	146.3%	4,147,503	88.7%
Total Unallocated Funds	2,634,109	2,749,596	95.8%	1,885,307	139.7%

Hotel/motel taxes exceeded budget by 8%.

City of Franklin Tennessee
In Lieu of Parkland
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	2,494,076	0	0.0%	2,494,076	100.0%
Interest Income	8,745	2,109	414.6%	0	0.0%
In Lieu of Parkland Fees	1,923,145	211,848	907.8%	1,530,323	125.7%
Transfer From General Fund	0	2,280,119	0.0%	0	0.0%
Total Revenues	4,425,966	2,494,076	177.5%	4,024,399	110.0%
Expenditures:					
Total Unallocated Funds	4,425,966	2,494,076	177.5%	4,024,399	110.0%

Almost \$2 million was collected in new parkland fees in 2016.

City of Franklin Tennessee
Transit Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	189,607	400,695	47.3%	189,608	100.0%
Grants	1,251,055	1,296,953	96.5%	1,140,841	109.7%
Interest Income	4,392	3,573	122.9%	2,900	151.4%
Transit Fares	88,460	94,072	94.0%	111,000	79.7%
Transfer From General Fund	501,413	683,110	73.4%	793,569	63.2%
Other Revenues	28,340	9,700	292.2%	10,000	283.4%
Total Revenues	2,063,267	2,488,103	82.9%	2,247,918	91.8%
Expenditures:					
Capital (>\$25,000)	113,535	55,415	204.9%	225,000	50.5%
Other Expenditures	1,574,974	2,243,081	70.2%	1,583,310	99.5%
Total Expenditures	1,688,509	2,298,496	73.5%	1,808,310	93.4%
Total Unallocated Funds	374,758	189,607	197.6%	439,608	85.2%

Less than three-fourths (3/4) of the budgeted transfer was needed by the fund in 2016.

City of Franklin Tennessee
Community Development Block Grant
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	20,211	23,717	85.2%	20,212	100.0%
Grants	329,866	223,787	147.4%	274,706	120.1%
Interest Income	431	251	171.4%	100	430.6%
Other Revenues	0	224,162	0.0%	0	0.0%
Total Revenues	350,508	471,917	74.3%	295,018	118.8%
Expenditures:					
Contractual Services	145,323	94,880	153.2%	122,706	118.4%
Repair & Maintenance Services	120,000	94,878	126.5%	150,000	80.0%
Other Expenditures	0	261,948	0.0%	2,000	0.0%
Total Expenditures	265,323	451,706	58.7%	274,706	96.6%
Total Unallocated Funds	85,185	20,211	421.5%	20,312	419.4%

This fund tracks revenue and expenditures pertaining to the CDBG program.

City of Franklin Tennessee
Debt Service Fund
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	709	195,118	0.4%	709	99.9%
Property Taxes	7,338,632	6,350,472	115.6%	7,426,254	98.8%
Interest Income	3,296	1,514	217.7%	5,000	65.9%
Rebate on BAB/RZEDB Bonds	840,316	838,508	100.2%	498,474	168.6%
Transfer from Sanitation Fund	599,772	584,509	102.6%	606,544	98.9%
Transfer from Road Impact Fund	2,674,006	2,960,579	90.3%	2,739,169	97.6%
Transfer from Hotel/Motel Tax Fund	1,121,213	1,114,995	100.6%	1,126,678	99.5%
Transfer from Water & Sewer Fund	200,000	200,000	100.0%	200,000	100.0%
Total Revenues	12,777,944	12,245,695	104.3%	12,602,828	101.4%
Expenditures:					
Debt Service & Lease Payments	12,772,944	12,244,987	104.3%	12,924,146	98.8%
Total Expenditures	12,772,944	12,244,987	104.3%	12,924,146	98.8%
Total Unallocated Funds	5,000	709	705.6%	(321,318)	-1.6%

The fund is used to accumulate and pay debt service pertaining to the General, Sanitation, Road Impact, and Hotel/Motel funds.

City of Franklin Tennessee
Capital Projects
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Beginning Fund Balance	(10,104,657)	(18,878,175)	53.5%	0	0.0%
Property Taxes	526,008	508,038	103.5%	0	0.0%
Grants	825,028	1,397,150	59.1%	0	0.0%
Interest Income	0	(14,090)	0.0%	0	0.0%
Facilities Taxes	1,241,241	982,605	126.3%	0	0.0%
Transfer From General Fund	2,500,000	0	0.0%	2,500,000	100.0%
Transfer from Hotel/Motel Tax Fund	1,207,565	0	0.0%	1,207,565	100.0%
Other Revenues	5,723,314	19,413,663	29.5%	0	0.0%
Total Revenues	1,918,500	3,409,190	56.3%	3,707,565	51.7%
Expenditures:					
Contractual Services	144,585	41,127	351.6%	0	0.0%
Repair & Maintenance Services	9,070	1,886	481.0%	0	0.0%
Debt Service & Lease Payments	0	159,550	0.0%	0	0.0%
Capital (>\$25,000)	7,088,360	12,570,378	56.4%	527,399	1344.0%
Other Expenditures	2,564,371	740,905	346.1%	0	0.0%
Total Expenditures	9,806,386	13,513,847	72.6%	527,399	1859.4%
Total Unallocated Funds	(7,887,886)	(10,104,657)	78.1%	3,180,166	-248.0%

Due to reimbursements from other funds, the deficit has decreased in 2016 by over \$2 million.

City of Franklin Tennessee
Water & Sewer Operations
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Interest Income	118,015	74,901	157.6%	85,500	138.0%
Customer Service	27,312,381	24,971,374	109.4%	24,394,503	112.0%
Other Revenues	6,796,348	6,036,639	112.6%	228,800	2970.4%
Total Revenues	34,226,743	31,082,914	110.1%	24,708,803	138.5%
Expenditures:					
Salaries & Wages	3,653,202	3,528,358	103.5%	3,891,979	93.9%
Employee Benefits	1,684,292	1,339,997	125.7%	1,593,639	105.7%
Utilities	1,568,534	1,539,827	101.9%	1,689,572	92.8%
Contractual Services	969,023	537,621	180.2%	529,500	183.0%
Repair & Maintenance Services	372,649	379,691	98.1%	346,732	107.5%
Debt Service & Lease Payments	486,896	767,694	63.4%	1,535,573	31.7%
Transfers To Other Funds	200,000	200,000	100.0%	200,000	100.0%
Capital (>\$25,000)	0	0	0.0%	5,171,107	0.0%
Other Expenditures	14,575,958	15,003,262	97.2%	8,220,204	177.3%
Total Expenditures	23,510,555	23,296,450	100.9%	23,178,306	101.4%
Total Unallocated Funds	10,716,189	7,786,464	137.6%	1,530,497	700.2%

Customer service revenue exceeded budget by over 9%.

City of Franklin Tennessee
Water & Sewer Development Fees
For the Twelve Months Ending Thursday, June 30, 2016

	Current YTD Actuals	Prior YTD Actual	Percent Current YTD to Prior YTD	Budget	Percent Current YTD to Budget
Revenues					
Interest Income	124,390	81,459	152.7%	0	0.0%
Transfer from Water & Sewer Fund	0	0	0.0%	1,794,851	0.0%
Customer Service	46,000	17,000	270.6%	0	0.0%
Access Fees	3,625,583	2,247,764	161.3%	0	0.0%
System Development Fees	2,432,964	1,542,152	157.8%	0	0.0%
Other Revenues	10,831	21,335	50.8%	1,794,851	0.6%
Total Revenues	6,239,769	3,909,710	159.6%	3,589,702	173.8%
Expenditures:					
Contractual Services	0	1,417	0.0%	0	0.0%
Debt Service & Lease Payments	924,524	777,795	118.9%	0	0.0%
Transfers To Other Funds	0	0	0.0%	1,794,851	0.0%
Other Expenditures	43,914	(134)	-32832.8%	0	0.0%
Total Expenditures	968,437	779,079	124.3%	1,794,851	54.0%
Total Unallocated Funds	5,271,331	3,130,631	168.4%	1,794,851	293.7%

Both access and tap fees exceeded budget by over 50%.



**STATE OF TENNESSEE
COMPTROLLER OF THE TREASURY
DEPARTMENT OF AUDIT
DIVISION OF LOCAL GOVERNMENT AUDIT**

SUITE 1500

JAMES K. POLK STATE OFFICE BUILDING
NASHVILLE, TENNESSEE 37243-1402
PHONE (615) 401-7841

August 29, 2016

Honorable Mayor and Board
City of Franklin
PO Box 305
109 Third Avenue South
Franklin, TN 37065

Honorable Mayor and Board:

I have performed a limited review of the annual financial report on the City of Franklin for the fiscal year ended June 30, 2015, as audited by Crosslin and Associates, Certified Public Accountants. This report has been filed as part of the public records of the State of Tennessee.

The financial statement of the multi-purpose capital project fund reflected a deficit fund balance of \$(10,104,657) at year end. Per Note 2 to the financial statements, the deficit is due to capital outlays in advance of debt financing and reimbursements from other funds and bonds are expected to fund the deficit. Municipal officials should continue to monitor the fund to ensure that it is being operated in a fiscally responsible matter.

In addition to the above, I would like to bring certain applicable reporting requirements to your attention. Although current financial report revisions are not being required, responsible officials should ensure that future financial reports comply with the following reporting requirements.

The financial report included a roster of officials; however, the roster did not include identification of the employee with financial oversight responsibility (i.e., CMFO). A roster of publicly elected officials, roster of management officials (in the annual financial report issued by a municipality, this would include the employee with financial oversight responsibility designated to meet the requirement of *Tennessee Code Annotated*, Section 6-56-401 et al), roster of board members, and any other deemed appropriate by management is required by the General Report Outline of the *Audit Manual*, page A-16.

The financial report inappropriately reported the fund balance of the sanitation fund as "unrestricted." Section 68-211-835, *Tennessee Code Annotated*, authorizes municipalities to impose and collect solid waste disposal fees and stipulates that such fees may only be used to establish and maintain solid waste collection and disposal services, including but not limited to, convenience centers. Therefore, the fund balance of the sanitation fund should be appropriately reported as "restricted."

Note 4D to the financial statements addressed the City of Franklin Employees' Pension Plan and Trust; however, it did not appear to include all required disclosures regarding the annual money-weighted rate of return. Please refer to the *Codification of Governmental Accounting and Financial Reporting Standards* (2014-2015 Edition), Section Pe5.127, for disclosure requirements considered essential to fair presentation.

The schedule of expenditures of federal awards and state financial assistance was not prepared in accordance with the requirements of the *Audit Manual*. The state grantor, i.e., Tennessee Department of Military, was not reflected for the U.S. Department of Homeland Security federal pass through award identified. The schedule should include federal assistance and state grants and loans with the minimum information required by the *Audit Manual*.

If you have any questions concerning the above, please contact this office.

Sincerely,

A handwritten signature in black ink, reading "Steven R. Watson". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Steven R. Watson, Auditor
Division of Local Government Audit

1683

xc: Crosslin and Associates
Certified Public Accountants
3803 Bedford Avenue, Suite 103
Nashville, TN 37215

ORDINANCE 2016-42

TO BE ENTITLED: "AN ORDINANCE TO AMEND TITLE 17 – REFUSE AND TRASH DISPOSAL OF THE FRANKLIN MUNICIPAL CODE FOR PROVIDING FOR VARIOUS CLARIFICATIONS AND OTHER GENERAL HOUSEKEEPING, AND APPENDIX A – COMPREHENSIVE FEES AND PENALTIES TO BE CONSISTENT WITH THE AMENDMENTS TO TITLE 17"

WHEREAS, for promoting the public health, safety, comfort, convenience, and general welfare of the people of Franklin, Tennessee the Board of Mayor and Aldermen is authorized to prescribe regulations and standards that encourage and advance the quality of life within the City; and

WHEREAS, in its legislative judgment, the Board of Mayor and Aldermen has found that ordinances and policies that regulate land use, guide the maintenance of the City's infrastructure, and delivery of essential services must be dynamic and modified from time to time to reflect changes in best practices, model codes, land and labor costs, and safety standards necessary to preserve and promote the private and public interest; and

WHEREAS, the Franklin Board of Mayor and Aldermen have, on behalf of the citizens of Franklin, invested in a solid waste management facility located at 411 Century Court; and

WHEREAS, the facility is used by the City of Franklin for solid waste transportation of waste collected by the City and private hauling companies; and

WHEREAS, the City of Franklin is endeavoring to equitably charge for services provided by the Sanitation and Environmental Services Department based on customer type, delivery method and type of container used for providing the service; and

WHEREAS, defining the required steps for establishing and remitting payment for City services are necessary and proper,

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF MAYOR AND ALDERMEN OF THE CITY OF FRANKLIN, TENNESSEE, AS FOLLOWS:

SECTION 1: BE IT ORDAINED BY THE BOARD OF MAYOR AND ALDERMEN OF THE CITY OF FRANKLIN, Tennessee, that Title 17, of the Franklin Municipal Code is hereby amended by deleting Title 17 in its entirety and replaced as follows:

TITLE 17 - MUNICIPAL SOLID WASTE DISPOSAL

CHAPTER 1. – MUNICIPAL SOLID WASTE

Sec. 17-101. - Definitions.

1. **Municipal Solid Waste (MSW).** Garbage, rubbish, refuse, industrial lunchroom or office waste, household waste, yard waste, and any other material resulting from the operation of residential, municipal, commercial or institutional establishments and from community activities.
2. **Administrative Set-up Fee.** Fee paid to the Sanitation and Environmental Services department per rollout container, including assembly, delivery, and any future repairs and parts replacement.

3. **Brush.** Limbs, large sticks and other similar cuttings from trees and shrubs.
4. **Bulky Waste.** Large items of MSW such as white goods (household appliances), mattresses, furniture and other oversize wastes whose large size precludes safe or convenient loading in approved waste containers or complicates handling by normal collection, processing, or disposal methods or vehicles.
5. **City.** The City of Franklin, Tennessee
6. **Condominium.** Types of buildings that include two (2) or more single-family dwelling units whose exterior building entrance leads to a common, shared hallway which has individual entrances leading from this common hallway into each individual single-family unit. These dwellings are classified as nonresidential for purposes of City MSW collection services and City service is not mandatory.
7. **Department.** The Department of Sanitation and Environmental Services unless otherwise specified as provided in this title.
8. **Director.** The director of the Sanitation and Environmental Services department, or his authorized agent or representative unless otherwise specified.
9. **Dumpster.** Steel waste receptacles of not less than two cubic yards and not more than eight cubic yards designed for the storage of MSW. The containers shall meet City standards for service, as provided in this title.
10. **Nonresidential Service MSW service** provided to Nonresidential service locations which includes but is not limited to federal, state, county and municipal government locations, educational institutions, hospitals, lodges, clubs, multifamily dwelling complexes of three (3) or more units, as well as business, commercial, industrial and office establishments. The service by the Department may be provided for using a dumpster or rollout container, as determined by the Director.
11. **Residential Service.** MSW service available from the Department to include single- or two-family dwelling units, townhomes, and mobile homes, as determined by the Director, that do not maintain centralized locations for MSW dumpsters. A monthly service fee will be charged to each location for which this service is available.
12. **Hazardous waste.** Any chemical compound, mixture, substance or article which may constitute a hazard to health or may cause damage to property because of being explosive, flammable, poisonous, corrosive, unstable, irritating, radioactive, or otherwise harmful.
13. **Infectious wastes.** Waste which contains pathogens with sufficient virulence and quantity so that exposure to the waste by a susceptible host could result in an infectious disease. For purposes of this policy, the following waste shall be infectious waste:
 - a. **Isolation wastes.** Wastes contaminated by patients who are isolated due to communicable disease, as provided in the U.S. Centers for Disease Control Guidelines for Isolation Precautions, (2007, as it may be amended).

- b. **Cultures and stocks of infectious agents and associated biologicals.** Cultures and stocks of infectious agents, including specimen cultures from medical and pathological laboratories, cultures and stocks of infectious agents from research and industrial laboratories, wastes from the production of biologicals, discarded live and attenuated vaccines, and culture dishes and devices used to transfer, inoculate, and mix cultures.
 - c. **Human blood and blood products.** Waste human blood and blood products such as serum, plasma, and other blood components.
 - d. **Pathological wastes.** Tissues, organs, body parts, and body fluids.
 - e. **Contaminated sharps.** All discarded sharps (e.g. hypodermic needles, syringes, pasteur pipettes, broken glass, scalpel blades) used in patient care or which have meet infectious agents during use in medical, research, or industrial laboratories.
 - f. **Contaminated animal carcasses, body parts, and bedding.** Contaminated carcasses, body parts (including fluids), and bedding of animals that were intentionally exposed to pathogens in research, in the production of biologicals, or in the in vivo testing of pharmaceuticals.
 - g. **Facility-specified infectious wastes.** Other wastes determined to be infectious by a written facility policy.
14. **Industrial waste.** All such wastes peculiar to industrial, manufacturing or processing plants and shall include hazardous waste. Waste produced by industrial activity which includes any material that is rendered useless during a manufacturing process.
15. **Construction and demolition waste.** Nonhazardous materials from construction, demolition, remodeling, construction site preparation, including but not limited to concrete and other masonry materials, rocks, debris, dirt, bricks, fill, plaster, rebar, paving material and all types of scrap building materials.
16. **Yard waste.** Leaves, small trees, grass clippings and shrubbery trimmings.
17. **Ashes.** Residue from coal, wood, and other combustible materials from all public and private residences and establishments.
18. **Collector.** any person or entity that collects, transports, or disposes of any MSW within the corporate limits of the City of Franklin.
19. **Rollout container.** A standard City issued container designed for the storage of MSW or recyclables prior to collection.
20. **Tipping fees.** the fee(s) imposed at the City of Franklin Transfer Station on all waste brought to the facility for transfer to an appropriate disposal site.
21. **Recyclables.** Any item approved by the Director for collection as a commodity designated for reuse or delivery to an established recycling center, from all residences and establishments, public and private, but shall not include hazardous waste, infectious waste, nonresidential MSW,

industrial or residential MSW, sewage, body wastes, recognizable industrial or medical by-products.

22. **Scavenging.** Act of unauthorized persons going through solid waste or recyclables set out by customers for curbside collection.

23. **Service Fee.** The fee(s) imposed by the City for waste collection, processing and disposal services.

Sec. 17-102. - Responsibility

1. The Director, or his authorized representative, shall have the authority to make and modify operations as necessary concerning the days of collection, location of containers, and such other matters pertaining to the collection, transporting and disposal of MSW; provided that such regulations are not in violation of the provisions of this chapter.
2. The Director, or his authorized designee, shall be responsible for the enforcement of this chapter.
3. All MSW and recyclables as defined in this title shall be collected sufficiently and frequently to prevent the occurrence of nuisances and public health problems. The collection of MSW within the City shall be under the jurisdiction of the Sanitation and Environmental Services Department.
4. All site plans must be reviewed and approved by the Director or his designee before building permits may be issued.

Sec. 17-103. - Premises to be kept clean.

All persons, firms, and corporations within the corporate limits of the City are hereby required to keep their premises in a clean and sanitary condition, free from accumulations of MSW, offal, filth, and trash. All persons, firms, and corporations are hereby required to store such MSW in sanitary containers of the type described in this chapter between intervals of collection or to dispose of such material in a manner prescribed by the Director so as not to cause a nuisance or become injurious to the public health and welfare.

Sec. 17-104. - Prohibited practices.

1. It shall be unlawful for any person, firm, or corporation to dump MSW in any form into any stream, ditch, storm sewer, sanitary sewer, or other drain within the City.
2. The disposal of MSW in any quantity by an individual, householder, establishment, firm, or corporation in any place, public or private, other than at the site or sites designated and/or with properly approved permits from the Tennessee Department of Solid Waste Management is expressly prohibited. All disposal of MSW shall be by methods approved by the Department under Tennessee Department of Solid Waste Management guidelines. Such methods shall include the maximum practical rodent, insect, and nuisance control at the place of disposal.
3. It shall be unlawful for any person, firm, or corporation to burn or attempt to burn MSW on private or public property within the corporate limits of the City of Franklin.

Sec. 17-105. - Prohibited substances.

1. Substances prohibited from collection and, which shall not be deposited in a dumpsters or rollout containers include, but are not limited to:
 - a. Flammable liquids, solids or gases, such as gasoline, benzene, alcohol or other similar substances.
 - b. Any material that could be hazardous or injurious to City employees or which could cause damage to City equipment.
 - c. Hot materials such as ashes, cinders, etc.
 - d. Human or animal waste, which shall be prohibited unless it is placed and secured in a plastic bag.
 - e. Infectious wastes and hypodermic syringes. Used hypodermic syringes shall not be placed with other waste for collection by the City, but be disposed of by a licensed medical waste disposal firm.
 - f. Construction and demolition waste as defined by section 17-101. The removal and disposal of such materials shall be the responsibility of the construction contractor, developer or property owner. The Department may remove this non-containerized waste from curbside, with signed approval request from the property owner, for an added service fee as defined in Appendix A. Comprehensive Fees and Penalties.
 - g. Rocks, dirt, bricks, concrete, broken glass, and sharp metal are not permitted in rollout containers or dumpsters.

Sec. 17-106. - Refusal of service.

The City reserves the right to refuse service to any occupant, tenant, owner, or business guilty of severe or repeated violations of this chapter or for hampering or interfering with employees of the Department in the discharge of their duties hereunder, and shall have the authority to require proof of alternate arrangements should service be refused. Should service be refused, the occupant, tenant, owner, or business may, within five working days, submit a written appeal to the City Administrator. The City Administrator shall, within five working days of receipt of an appeal, issue a written response, upholding, vacating, or modifying the decision.

Sec. 17-107. - Accumulation of MSW.

Each owner, occupant, tenant, subtenant, lessee or others, using or occupying any building, house, structure, or grounds within the corporate limits of the City of Franklin where MSW materials or substances as defined in this chapter accumulate, or are likely to accumulate, shall provide an adequate number of suitable containers of a type approved by the Director for the storage of such MSW.

Sec. 17-108. - Yard waste and brush

1. *Leaves, lawn clippings, etc.*

- a. It shall not be the responsibility of the Department of the City to shovel or pick up from the ground any loose accumulation of MSW, including leaves, lawn clippings, brush, etc. All yard waste must be placed in biodegradable paper bags of not more than 33-gallon capacity.
- b. The City shall not be responsible to remove any brush or limbs of trees unless said brush and limbs have been cut into lengths of not more than ten feet or 18 inches in diameter. Limbs of trees measuring 15 inches or more in diameter shall be cut into lengths of not more than six feet and weigh less than 75 pounds. Such material shall be piled at curbside in an area at least three feet away from obstacles, including mailboxes and utility boxes. Brush and limbs placed under overhead lines will not be collected. There shall be no MSW mixed with yard waste, bulky waste or brush left at curbside for collection.

Sec. 17-109. - Nurserymen, lawn services; duty to remove yard waste.

Every nurseryman, lawn service, or other person who cuts trees or trims shrubs or grass as a contractor shall remove and dispose all such yard waste from the premises serviced by him.

Sec. 17-110. - Residential service.

1. A fee for Residential service shall be mandatory within the City as defined in Appendix A, Comprehensive Fees and Penalties. Residential service as defined shall be made available within the City limits however is not mandatory for use.
2. The City shall provide one rollout container to each residential service location when that service is first added to the collection system and applicable administrative set-up fees have been received. Container shall remain the property of the City and be used to properly store one week's accumulation of MSW.
3. The Director may require any residential service location regularly exceeding 90 gallons of MSW in a collection period to make other approved disposal arrangements or to request use of a second container from the City. Any additional container will require an additional administrative set-up fee and monthly payment for collection, as defined in Appendix A. Comprehensive Fees and Penalties.
4. The Department is authorized to confiscate or to remove unsatisfactory storage containers from the premises of residences and establishments, public and private, when in the discretion of the Director or his designated representative, such containers do not meet the requirements of this chapter. Such unsatisfactory containers shall be removed and disposed of at a place and in a manner designated by the Department only after the Department has diligently attempted to notify the owner or owners of such containers.
5. The Department shall not be obligated to provide service where adequate containers are not provided.
6. The owner or developer of all new residential construction and development within the City of Franklin, shall supply at their expense, a City-approved rollout container for that residence or housing unit, which shall become the property of the City of Franklin. As a condition of the

issuance of the City building permit, the owner or developer shall pay the sum as specified in Appendix A, Comprehensive Fees and Penalties, per City-approved rollout container that will remain at that address. This fee is payable to the City when the building permit is issued.

7. The containers shall remain the property of the City at the property address where delivered, and are provided and assigned to residences for the health, safety, convenience and general welfare of the occupants. Containers that are damaged, destroyed, or stolen through neglect, improper use or abuse by the occupant-users shall be replaced by the City at the expense of the occupants or the owner of the residence. Containers which are damaged during normal and reasonable usage or which are damaged or destroyed, through no abuse, neglect, or improper use of the occupant-users or residence owner shall be repaired or replaced by the City at no charge to the occupant-users or residence owners. The containers shall not be damaged, destroyed, defaced, or removed from the premises by any person; markings and identification devices on the containers except as placed or specifically permitted by the City are expressly prohibited and shall be regarded as damage to the containers.
8. It shall be the responsibility of each occupant, on the scheduled day of collection, to place their container on the property side of the curb or street, or at the edge of the alley where serviceable alleys are available, or in a City approved location for pick-up. Containers shall be placed in such a location as to be readily accessible for removal by the City. The container shall be placed in such a manner as not to interfere with other MSW containers, curbside mailboxes, overhead power lines or tree branches, parked cars, vehicular traffic, utility boxes or in any other way that would constitute a public hazard or nuisance. Generally, this shall be within two feet of the curb or edge of pavement, at least three feet from obstructions such as those listed, and at least five feet from any vehicle parked along the street. Rollout containers shall not be placed, without the express permission of the City, on a public sidewalk, in the street, or in a drainage ditch.
9. Where service alleys are available, container shall be placed in a designated area on collection day. The area shall provide for one-way access by collection vehicles.
10. Containers shall be placed for collection no earlier than 7:00 P.M. on the day before collection, and no later than 7:00 A.M. on the scheduled day of collection. Containers must be removed from the curb, street, or alleyway no later than 7:00 P.M. on the day of collection.
11. Construction and demolition waste and yard waste, as defined in this chapter, are hereby prohibited from being placed in the rollout or other City approved MSW collection containers.
12. City collectors shall not enter houses, stores, garages, or open gates for the collection of MSW, nor shall they accept any money or valuable gifts for their services from persons served.
13. All MSW must be drained of all liquids and wrapped in plastic or other equivalent material prior to placing it in any MSW storage container. To eliminate odor and insects, reduce spillage and litter and discourage animals from getting into MSW containers, all waste shall be placed in plastic or paper bags which must be tightly secured. No waste shall be placed loose in the MSW container. Collection crews will not pick up spills and litter resulting from loose waste placed in containers.

14. The containers shall be maintained in a clean and sanitary manner and shall be thoroughly cleaned by washing or other method as often as necessary to prevent the breeding of flies and the occurrences of offensive odors.
15. MSW shall not be stored near other personal effects which are not desired to be collected, but shall be reasonably separated in order that the collectors can clearly distinguish between what is to be collected and what is not.

Sec. 17-111. - Nonresidential service.

1. Every nonresidential service location shall place all MSW in a City approved container, and shall maintain the container and the surrounding area in a clean, neat and sanitary condition. All containers shall be cleaned and disinfected on a regular basis.
2. Any establishment that furnishes and maintains a dumpster, or containers suitable for handling by City equipment may be serviced by the City as required provided that such container shall be of sufficient size and number. Dumpsters shall always be kept in a place easily accessible to City equipment as approved by the Director. At no time shall objects, obstructions, or vehicles hinder in any way whatsoever the servicing of said containers.
3. All dumpsters to be serviced by City equipment shall be front-end loading enclosed, metal containers. Before any such container shall be serviced by the City, it shall be specifically approved by the Director as to capacity, size, type and location. No container shall exceed eight cubic yards capacity.
4. Dumpsters shall be placed on approved service pads to be constructed of six-inch thick concrete reinforced with steel and of a size of no less than 12 by 12 feet square. Screening shall be permitted on three sides only. A gate must be placed on the dumpster screening. Service of containers in gated enclosures may be provided by the Department if the gates meet all specifications set by the department.
5. Nonresidential customers using rollout containers for collection shall provide sufficient containers to properly store one week's accumulation of MSW. The administrative set-up fee for a City approved rollout container shall be as specified in Appendix A, Comprehensive Fees and Penalties. All nonresidential customers requiring any combination of six or more rollout containers for weekly service shall be required to acquire dumpsters for service. Any exceptions must be specifically approved by the Director.
6. Nothing in this section shall prohibit nonresidential establishments or private residents from removing their own MSW or from contracting with a private collector for such removal, provided said private collector shall have a valid permit or license to do business within the City.
7. Right-of-Way Obstruction Permit
 - a. In no event shall public or private dumpsters, roll out containers or other containers be placed on a public street, right-of-way or public property:

- i. Without express written consent of the City Street Department director upon application, approval and tender of a permit fee as specified in Appendix A, Comprehensive Fees and Penalties, for a Right-of-Way Obstruction permit;
 - ii. Without posting a cash bond or certified check deposit as specified in Appendix A, Comprehensive Fees and Penalties, to secure payment for any damage to the street, right-of-way or public property;
 - iii. For longer than 30 days unless the Right-of-Way Obstruction permit is renewed and approved by the City Street Department director; or
 - iv. Such that it poses a hazard to public safety or a health and sanitation nuisance under the provisions of Title 13, Chapter 1 of this Code.
- b. Failure to secure a Right-of-Way Obstruction permit or to abide by its conditions shall subject the violator the penalties in section 17-116 of this Code. In addition, the Department is authorized to remove any dumpster or container which is not in compliance with this section. Prior to any removal, the Street Department director shall give a notice of intent to remove a dumpster to the permittee or MSW collector by first class mail and by posting a copy of the notice on the dumpster or container. The notice shall set out the conditions that render the dumpster or container to be noncompliant. If such conditions are not corrected to the director's satisfaction within five days from the date of the notice, the director or his designee shall remove the dumpster at the expense of the permittee or MSW collector.

Sec. 17-112. - Special collection services.

1. *Non-containerized bulky waste collection.* Any non-containerized bulky waste shall be placed adjacent to and back of the curb, or adjacent to and back of the drainage ditch or catch basin, alley or street line if there is no curb, without blocking the roadway, any sidewalk, drainage ditch, or catch basin. Customers will be assessed a non-containerized bulky waste collection fee per 20 cubic yard truck load or any portion thereof as specified in Appendix A, Comprehensive Fees and Penalties for bulky waste collection services.
2. *Rollout container excess.* Any waste collected at service locations utilizing City issued roll out container that does not fit in the provided number of rollout containers will be assessed an extra pick-up fee as specified in Appendix A, Comprehensive Fees and Penalties. The nature of the MSW may be such that standard non-containerized bulky waste collection fees may be required, as specified in Appendix A, Comprehensive Fees and Penalties.
3. *Remodeling/clean-up dumpsters.* Dumpsters for the disposal of remodeling, light construction, or general clean up waste may be available from the Department. Each dumpster (2-8 cubic yards) shall be properly loaded and emptied at least once per week. A maximum usage of three consecutive calendar weeks will be allowed. For the safety of collectors, no dumpster with protruding items from the sides or top will be serviced. Fees for residential remodeling/clean-up dumpster services are specified in Appendix A. Comprehensive Fees and Penalties.

4. Backdoor Residential. Backdoor residential rollout container services may be provided at no additional charge for customers with a medical condition. Each customer desiring backdoor service shall complete an application for such service on an annual basis.

Sec. 17-113. Billing for Sanitation Collection Services.

1. Bills may be rendered weekly, semi-monthly, or monthly, at the option of the City. Bills will consist of fees for services obtained by the customer from the City in accordance with the Application and Agreement for Service and the City and the provisions of the Municipal Code.
2. Sanitation collection service bills must be paid on or before the due date shown thereon. Payments made after the due date shall incur a penalty of ten (10) percent of the amount billed for sanitation services. If the delinquent balance is not paid within 60 days of the bill due date, nonresidential collection service may be discontinued without further notice until the balance of the bill is paid in full. The City shall not be liable for any damages resulting from discontinued service under the provisions of this section, even though payment of the bill is made at any time on the day that service is discontinued. Failure to receive a bill will not release a customer from payment obligation nor extend the due date.
3. Customers will have consolidated bills presented for other services including, but not limited to, water, wastewater, MSW and stormwater in accordance with the provisions of this Code.
4. Should the final date of payment of bill fall on a weekend or a holiday, the business day next following the final date will be the last day to avoid a penalty. A remittance received by mail after the time limit for payment will be accepted by the City if the envelope is date stamped on or before the final date for payment.

Section 17-114. Billing for Transfer Station Tipping Fees.

1. Transfer station services are billed one month in arrears. The amount billed is due on the 15th of the month following the service month. Transfer station bills must be paid in full on or before the due date shown thereon to avoid an added penalty of ten percent.
2. If a balance is not paid in full within 30 days of the bill due date, the customer's account will be deemed delinquent and use of the transfer station services will be suspended until the entire account balance is paid in full.
3. Failure to receive a bill will not release a customer from payment obligation nor extend the due date. City shall not be liable for any damages resulting from discontinued service(s) under the provisions of this section, even though payment of the bill is made at any time on the day the service is discontinued.
4. Should the final date of payment of bill fall on a weekend or a holiday, the business day next following the final date will be the last day to avoid a penalty. A remittance received by mail after the time limit for payment will be accepted by the City if the envelope is date stamped on or before the final date for payment.

Sec. 17-115. - Schedule of fees for disposal of MSW and frequency of collection.

1. *Residential.* A fee as specified in Appendix A, Comprehensive Fees and Penalties, shall be paid to the City for the disposal of MSW for every household container (once per-week pickup).
2. *Nonresidential.*
 - a. *[Rollout and dumpster.]* A fee as specified in Appendix A, Comprehensive Fees and Penalties, shall be charged for every rollout and dumpster serviced by the City.
 - b. *Special event.* To be determined by the Director, depending on size, duration and nature of event.
 - c. *Nonresidential cardboard recycling service.* A fee as specified in Appendix A, Comprehensive Fees and Penalties, shall be charged for nonresidential cardboard recycling service.
3. *Transfer station tipping fee(s).* Tipping fee(s), as specified in Appendix A, Comprehensive Fees and Penalties, shall be charged for all MSW delivered to the City owned transfer station for hauling and disposal at landfill locations.

Sec. 17-116. - Penalties.

1. Any person violating any of the provisions of this chapter shall be served by the City with written notice stating the nature of the violation and providing up to 10 days for the satisfactory correction thereof. The offender shall, within the time stated in such notice, permanently cease all violations. Service will be discontinued until the violation is corrected.
2. Any person who shall continue any violation beyond the time provided for in subsection 17-116(1) shall be guilty of a misdemeanor and shall be punishable under the general penalty clause of this Code.
3. Any person violating any of the provisions of this chapter shall become liable to the City for any expense, loss or damage occasioned by City personnel or equipment because of such violation.

CHAPTER 2. - RECYCLING

Sec. 17-201. - Definitions.

1. *Recyclables.* any item approved by the Director for collection as a commodity designated for reuse or delivery to an established recycling center, from all residences and establishments, public and private, but shall not include hazardous waste, infectious waste, commercial, industrial or residential solid waste, sewage, body wastes, recognizable industrial or medical by-products.

Recyclables may include cardboard, aluminum, tin, steel, newspaper or any other item approved by the Director.

Sec. 17-202. - Responsibility for administration.

1. The Director, or his authorized representative, shall have the authority to make and modify regulations as necessary concerning the placement of recycling collection containers, hours of operation and or such other matters pertaining to the collection, transporting and disposal of

recyclable materials; provided that such regulations are not in violation of the provisions of this chapter.

2. The Director or his authorized representative, shall be responsible for the enforcement of this chapter.
3. All recyclables shall be collected sufficiently and frequently to prevent the occurrence of nuisance and public health problems. The collection of residential recyclables within the City of Franklin shall be under the jurisdiction of the Department.

Sec. 17-203. - Premises to be kept clean.

All areas where containers are placed are hereby required to be kept in a clean and sanitary condition, by the entity that operates and/or services the area.

Sec. 17-204. - Prohibited practices.

1. It shall be unlawful for any person, firm or corporation to dump recyclables in any form into any stream, ditch, storm sewer, sanitary sewer, or other drain within the City of Franklin.

Sec. 17-205. - Prohibited substances.

1. Substances prohibited from collection and shall not be deposited in recycling containers include, but are not limited to:
 - a. Flammable liquids, solids or gases, such as gasoline, benzene, alcohol or other similar substances.
 - b. Any material that could be hazardous or injurious to City employees or which could cause damage to City equipment.
 - c. Hot materials such as ashes, cinders, etc.
 - d. Human or animal waste shall be prohibited.
 - e. Infectious waste and hypodermic syringes. Used hypodermic syringes shall not be placed with recyclables for collection by the City of Franklin, but be disposed of by a licensed medical waste disposal firm.
 - f. Construction and Demolition waste as defined by section 17-101. The removal and disposal of such materials shall be the responsibility of the construction contractor, developer or property owner. The Department may remove this non-containerized waste from curbside, with signed approval request from the property owner, for an added service fee as defined in Appendix A, Comprehensive Fees and Penalties.
 - g. Rocks, dirt, bricks, concrete, broken glass, and sharp metal are not permitted with recyclables.

Sec. 17-206. - Penalties.

1. Any person violating any of the provisions of this chapter or the conditions of any permit issued hereunder shall be served by the City with written notice stating the nature of the violation and

providing up to ten days for the satisfactory correction thereof. The offender shall, within the time stated in such notice, permanently cease all violations. Service will be discontinued until the violation is corrected.

2. Any person who shall continue any violation beyond the time provided for in subsection 17-116(1) shall be guilty of a misdemeanor and shall be punishable under the general penalty clause of this Code.
3. Any person violating any of the provisions of this chapter shall become liable to the City for any expense, loss or damage occasioned by City personnel or equipment because of such violation.

SECTION II: BE IT ORDAINED BY THE BOARD OF MAYOR AND ALDERMEN OF THE CITY OF FRANKLIN, Tennessee, that Appendix A, Chapter 17, of the Franklin Municipal Code is hereby amended by deleting Appendix A, Chapter 17 in its entirety and replaced as follows:

APPENDIX A – COMPREHENSIVE FEES AND PENALTIES

CHAPTER 17. - MUNICIPAL SOLID WASTE DISPOSAL

<i>Containers—Administrative Setup Fee</i>	
Residential —City approved rollout container	\$75.00 per container
Nonresidential— City approved rollout container	\$75.00 per container
<i>Collection Service Fees</i>	
Residential	
First rollout container	\$17.50 per month (one pick-up/week)
Second and subsequent rollout containers	\$7.50 per month/per container
Nonresidential	
First rollout container	\$30.00 per month/per container (one pick-up service/week)
Rollout—Additional pick-up service	\$30.00 per pick-up service
<i>Residential and Nonresidential Dumpster Service</i>	
Dumpster service	\$110.00 per month/per container (one pick-up service/week)

	\$205.00 per month/per container (two pick-up services/week)
	\$300.00 per month/per container (three pick-up services/week)
	\$395.00 per month/per container (four pick-up services/week)
	\$490.00 per month/per container (five pick-up services/week)
Dumpster service—Customer provides city approved container	\$95.00 per month/per container (one pick-up service/week)
	\$180.00 per month/per container (two pick-up services/week)
	\$265.00 per month/per container (three pick-up services/week)
	\$350.00 per month/per container (four pick-up services/week)
	\$435.00 per month/per container (five pick-up services/week)
Dumpster—Additional pick-up service	\$95.00 per service
Container delivery truck service	\$50.00 per dumpster/service
<i>Special Collection Services</i>	
Pick-up service for noncontainerized bulky waste	\$125.00 per vehicle/per request
Residential remodeling/clean-up dumpster	\$110.00 per service
<i>Special Event</i>	
Collection service	As determined by the Sanitation and Environmental Services Director

<i>Non-Residential Cardboard Recycling Service</i>	\$65.00 per month (one pick-up service/week)
	\$115.00 per month (two pick-up services/week)
	\$165.00 per month/per container (three pick-up services/week)
	\$215.00 per month/per container (four pick-up services/week)
	\$265.00 per month/per container (five pick-up services/week)
<i>Tipping Fees at Transfer Station</i>	
Class I	\$55.00 per ton—\$25.00 minimum

SECTION III: BE IT FINALLY ORDAINED by the Board of Mayor and Aldermen of the City of Franklin, Tennessee, that this Ordinance shall take effect on June 1, 2018, and after its passage on second and final reading for the health, safety and welfare of the citizens of Franklin, Tennessee requiring it.

(See next page for signatures)

ATTEST:

CITY OF FRANKLIN, TENNESSEE:

By: _____
Eric Stuckey
City Administrator/Recorder

By: _____
Dr. Ken Moore
Mayor

Approved as to form by:

Shauna R. Billingsley
City Attorney

PASSED FIRST READING _____
PASSED SECOND READING _____



Proposed Title 17 Modifications

Sanitation & Environmental Services
Jack Tucker, SES Director
Mark Hilty, ACA Public Works

Proposed Title 17 Modifications

- Customer Service Classifications,
- Rollout container ownership,
- Right of way obstruction permit
- Backdoor residential services, and
- Guidelines for billing practices associated with collection services and transfer station accounts.
- General Clarifications and cleanup

Proposed Title 17 Modifications – Customer Service Classifications

- Current Service Definitions
 - *Commercial solid waste.* The term "commercial solid waste" shall mean solid waste resulting from the operation of any commercial, industrial, institutional or agricultural establishment, and multiple housing facilities.
 - *Residential solid waste.* The term "residential solid waste" shall mean solid waste resulting from the maintenance and operation of dwelling units, excluding multiple housing facilities. This also excludes specific wastes defined and/or included in other parts of this chapter.
- Proposed Customer Definitions:
 - *Nonresidential Service* - MSW service provided to Nonresidential service locations which includes but is not limited to federal, state, county and municipal government locations, educational institutions, hospitals, lodges, clubs, multifamily dwelling complexes of three (3) or more units, as well as business, commercial, industrial and office establishments. The service by the Department may be provided for through the use of a dumpster or rollout container, as determined by the Director.
 - Provision of this service by COF SES is optional
 - *Residential Service* - MSW service available from the Department to include single- or two-family dwelling units, townhomes, and mobile homes, as determined by the Director, that do not maintain centralized locations for MSW dumpsters. A monthly service fee will be charged to each location for which this service is available.

Proposed Title 17 Modifications

- Section 17-101 – Definitions
 - Established Administrative Set-up Fee
- Section 17-110 – Residential MSW
 - Establishes how Administrative Set-up Fee works and clarifies container ownership
- Section 17-111 – Nonresidential MSW
 - Establishes how Administrative Set-up Fee works and clarifies container ownership
 - Right-of-Way Obstruction Permit – this section cleans up the language relative to the ROW Obstruction Permit (Ord 2017-44 amending Title 16, Chapter 2) approved on February 27, 2018. (this eliminates permit fee in Appendix A, Chapter 17)

Proposed Title 17 Modifications - Continued

- Section 17-112 Special Collection Services
 - 4. Backdoor Residential. Backdoor residential rollout container services may be provided at no additional charge for customers with a medical condition. Each customer desiring backdoor service shall complete an application for such service on an annual basis.
- Section 17-113 and 17-114 – Billing for Collection Services and Transfer Station Tipping Fees
 - Largely related to cleaning up language relative to the City's authorities and notifications to customers.
- Appendix A – Chapter 17, Refuse and Trash Disposal
 - General clarifications
 - Elimination of permit and surety (permitting accomplished in ROW obstruction permit now)
 - Elimination of construction and demolition waste fee (currently not accepted by COF)

Discussion of Proposed Changes and Implementation Schedule

ORDINANCE 2016-42

TO BE ENTITLED: "AN ORDINANCE TO AMEND TITLE 17 – REFUSE AND TRASH DISPOSAL OF THE FRANKLIN MUNICIPAL CODE FOR THE PURPOSE OF PROVIDING FOR VARIOUS CLARIFICATIONS AND OTHER GENERAL HOUSEKEEPING, AND APPENDIX A – COMPREHENSIVE FEES AND PENALTIES TO BE CONSISTENT WITH THE AMENDMENTS TO TITLE 17"

WHEREAS, for the purpose of promoting the public health, safety, comfort, convenience, and general welfare of the people of Franklin, Tennessee the Board of Mayor and Aldermen is authorized to prescribe regulations and standards that encourage and advance the quality of life within the City; and

WHEREAS, in its legislative judgment, the Board of Mayor and Aldermen has found that ordinances and policies that regulate land use, guide the maintenance of the City's infrastructure, and delivery of essential services must be dynamic and modified from time to time to reflect changes in best practices, model codes, land and labor costs, and safety standards necessary to preserve and promote the private and public interest; and

WHEREAS, the Franklin Board of Mayor and Aldermen have, on behalf of the citizens of Franklin, invested in a solid waste management facility located at 411 Century Court; and

WHEREAS, the facility is used by the City of Franklin for solid waste transportation of waste collected by the City and private hauling companies; and

WHEREAS, the City of Franklin is endeavoring to equitably charge for services provided by the Sanitation and Environmental Services Department based on customer type, delivery method and type of container used for providing the service; and

WHEREAS, defining the required steps for establishing and remitting payment for City services are necessary and proper,

NOW, THEREFORE, BE IT ORDAINED BY THE BOARD OF MAYOR AND ALDERMEN OF THE CITY OF FRANKLIN, TENNESSEE, AS FOLLOWS:

SECTION I: BE IT ORDAINED BY THE BOARD OF MAYOR AND ALDERMEN OF THE CITY OF FRANKLIN, Tennessee, that Title 17, of the Franklin Municipal Code is hereby amended by deleting the text noted with a strikethrough and inserting the text noted are approved to read as follows:

SECTION II: BE IT FINALLY ORDAINED by the Board of Mayor and Aldermen of the City of Franklin, Tennessee, that this Ordinance shall take effect on June 1, 2018 and after its passage on second and final reading for the health, safety and welfare of the citizens of Franklin, Tennessee requiring it.

ATTEST:

CITY OF FRANKLIN, TENNESSEE:

By: _____

Eric Stuckey

City Administrator/Recorder

By: _____

Dr. Ken Moore

Mayor

Approved as to form by:

Shauna R. Billingsley

City Attorney

PASSED FIRST READING

PASSED SECOND READING

TITLE 17 - ~~REFUSE AND TRASH~~ MUNICIPAL SOLID WASTE DISPOSAL

CHAPTER 1. ~~--~~ MUNICIPAL SOLID WASTE

Sec. 17-101. - Definitions.

1. Municipal Solid Waste (MSW). Garbage, rubbish, refuse, industrial lunchroom or office waste, household waste, yard waste, and any other material resulting from the operation of residential, municipal, commercial or institutional establishments and from community activities.
2. Administrative Set-up Fee. Fee paid to the Sanitation and Environmental Services department per rollout container, including assembly, delivery, and any future repairs and parts replacement.
3. Brush. Limbs, large sticks and other similar cuttings from trees and shrubs.
4. Bulky Waste. Large items of MSW such as white goods (household appliances), mattresses, furniture and other oversize wastes whose large size precludes safe or convenient loading in approved waste containers or complicates handling by normal collection, processing, or disposal methods or vehicles.
5. City. The City of Franklin, Tennessee
6. Condominium. Types of buildings that include two (2) or more single-family dwelling units whose exterior building entrance leads to a common, shared hallway which has individual entrances leading from this common hallway into each individual single-family unit. These dwellings are classified as nonresidential for purposes of City MSW collection services and City service is not mandatory.
7. Department. The Department of Sanitation and Environmental Services unless otherwise specified as provided in this title.
8. Director. The director of the Sanitation and Environmental Services department, or his authorized agent or representative unless otherwise specified.
9. Dumpster. Steel waste receptacles of not less than two cubic yards and not more than eight cubic yards designed for the storage of MSW. The containers shall meet City standards for service, as provided in this title.
10. ~~Nonresidential Service by the Department of Sanitation and Environmental Services all service locations within the city except those locations specified under residential service. MSW service provided to NN~~nonresidential service locations ~~shall~~which includes but areis not limited to federal, state, county and municipal government locations, educational institutions, hospitals, lodges, clubs, multifamily dwelling complexes of three (3) or more units, as well as business, commercial, industrial and office establishments. The service by the Department may be provided for through the use of a dumpster or rollout container, as determined by the Director. Classification as a nonresidential service location shall normally preclude classification as a residential service location.limits of the City.
11. Residential Service. MSW service available from the Department ~~by the Department of Sanitation and Environmental Services to include~~ single- or two-family dwelling units,

townhomes, and mobile homes, as determined by the Director, that do not maintain centralized locations for MSW dumpsters. ~~a residential property. This service is mandatory for residentially occupied buildings, detached single-family dwellings, duplexes, mobile homes and townhomes.~~
A monthly service fee will be charged to each location for which this service is available.

~~1. Solid waste.~~ The term "solid waste," as hereinafter referred to in this chapter, shall include garbage, rubbish, and all other putrescible and nonputrescible, combustible and noncombustible materials originating from the preparation, cooking, and consumption of food, market refuse, waste from the handling and sale of produce, and other similar unwanted materials, from residences and establishments, public and private, but shall not include sewage, body waste, recognizable industrial or medical by-products.

~~2.12.~~ Hazardous ~~refuse~~waste. The term "hazardous refuse" shall mean ~~any~~Any chemical compound, mixture, substance or article which may constitute a hazard to health or may cause damage to property by reason of being explosive, flammable, poisonous, corrosive, unstable, irritating, radioactive, ~~infectious~~, or otherwise harmful.

~~3.13.~~ Infectious wastes. ~~The term "infectious waste" means waste~~Waste which contains pathogens with sufficient virulence and quantity so that exposure to the waste by a susceptible host could result in an infectious disease. For purposes of this policy, the following waste shall be considered to be infectious waste:

- a. *Isolation wastes.* Wastes contaminated by patients who are isolated due to communicable disease, as provided in the U.S. Centers for Disease Control Guidelines for Isolation Precautions, ~~in Hospitals, (February, 19972007), as it may be amended.~~
- b. *Cultures and stocks of infectious agents and associated biologicals.* Cultures and stocks of infectious agents, including specimen cultures from medical and pathological laboratories, cultures and stocks of infectious agents from research and industrial laboratories, wastes from the production of biologicals, discarded live and attenuated vaccines, and culture dishes and devices used to transfer, inoculate, and mix cultures.
- c. *Human blood and blood products.* Waste human blood and blood products such as serum, plasma, and other blood components.
- d. *Pathological wastes.* ~~Pathological wastes, such as t~~Issues, organs, body parts, and body fluids.
- e. *Contaminated sharps.* All discarded sharps (e.g. hypodermic needles, syringes, pasteur pipettes, broken glass, scalpel blades) used in patient care or which have come into contact with infectious agents during use in medical, research, or industrial laboratories.
- f. *Contaminated animal carcasses, body parts, and bedding.* Contaminated carcasses, body parts (including fluids), and bedding of animals that were intentionally exposed to pathogens in research, in the production of biologicals, or in the in vivo testing of pharmaceuticals.
- g. *Facility-specified infectious wastes.* Other wastes determined to be infectious by a written facility policy.

~~4-14.~~ Industrial waste. ~~The term "industrial waste" shall mean all~~ All such wastes peculiar to industrial, manufacturing or processing plants and shall include hazardous waste-refuse. Waste produced by industrial activity which includes any material that is rendered useless during a manufacturing process.

~~5-15.~~ Construction and demolition waste. ~~The term "construction waste" shall mean materials~~ Nonhazardous materials from construction, demolition, remodeling, construction site preparation, including but not limited to concrete and other masonry materials, rocks, ~~trees,~~ debris, dirt, bricks, fill, plaster, rebar, paving material and all types of scrap building materials.

~~6. Commercial solid waste.~~ The term "commercial solid waste" shall mean solid waste resulting from the operation of any commercial, industrial, institutional or agricultural establishment, and multiple housing facilities.

~~7. Residential solid waste.~~ The term "residential solid waste" shall mean solid waste resulting from the maintenance and operation of dwelling units, excluding multiple housing facilities. This also excludes specific wastes defined and/or included in other parts of this chapter.

~~8-16.~~ Yard waste. ~~The term "yard waste" shall mean,~~ Leaves, small trees, grass clippings and shrubby trimmings.

~~9. Grass clippings.~~ The term "grass clippings" shall mean any residue remaining from the cutting, clipping, or trimming of grass from any home, business, or agricultural endeavor.

~~10. Garbage.~~ The term "garbage" shall include all putrescible waste, except sewage and body waste, including vegetable and animal offal and carcasses of dead domesticated animals at the discretion of the sanitation and environment services department director, but excluding recognizable industrial by-products, from all public and private residences.

~~11. Rubbish.~~ The term "rubbish" shall include all nonputrescible waste materials except ashes from all public and private residences and establishments.

~~12-17.~~ Ashes. ~~The term "ashes" shall include the waste products~~ Residue from coal, wood, and other ~~fuels used for cooking and heating combustible materials~~ from all public and private residences and establishments.

~~13-18.~~ Collector. ~~The term "collector" shall mean any person or firm, corporation, or political subdivision that~~ entity that collects, transports, or disposes of any ~~refuse~~ MSW within the corporate limits of the City of Franklin.

~~14. Health officer.~~ The term "health officer" shall mean the Sanitation and Environment Services Department Director of the City of Franklin or his authorized representative.

~~15. Bulk container.~~ The term "bulk container" shall mean steel waste receptacles of not less than two cubic yards and not more than eight cubic yards designed for the storage of solid waste. The containers shall meet industry standards for service.

~~16-19.~~ Roll-out/rollout container. ~~The term "roll-out container" shall mean a~~ A standard ~~city~~ City issued ~~90-gallon~~ container designed for the storage of ~~solid waste~~ MSW or recyclables prior to collection.

~~17. The pronouns *he, him* and *his* shall refer to persons of the female as well as the male gender, as applicable.~~

20. *Tipping fees.* ~~The term "*tipping fee(s)*" shall mean~~ the fee(s) imposed at the City of Franklin Transfer Station on all waste brought to the facility for transfer to an appropriate disposal site.

21. *Recyclables.* Any item approved by the Director for collection as a commodity designated for reuse or delivery to an established recycling center, from all residences and establishments, public and private, but shall not include hazardous waste, infectious waste, nonresidential MSW, industrial or residential MSW, sewage, body wastes, recognizable industrial or medical by-products.

22. *Scavenging.* Act of unauthorized persons going through solid waste or recyclables set out by customers for curbside collection.

23. *Service Fee.* The fee(s) imposed by the City for waste collection, processing and disposal services.

~~18.~~

Sec. 17-102. - Responsibility ~~for administration.~~

1. The ~~sanitation and environment services department director~~ Director, or his authorized representative, shall have the authority to make and modify ~~regulations~~ operations as necessary concerning the days of collection, location of containers, and such other matters pertaining to the collection, transporting and disposal of ~~solid waste refuse~~ MSW; provided that such regulations are not in violation of the provisions of this chapter.
2. The ~~sanitation and environment services department director~~ Director, or his authorized ~~representative~~ designee, shall be responsible for the enforcement of this chapter.
3. All ~~refuse~~ MSW (including garbage and rubbish) and recyclables as ~~heretofore~~ defined in this title shall be collected sufficiently and frequently to prevent the occurrence of nuisances and public health problems. The collection of ~~refuse~~ MSW within the City ~~of Franklin~~ shall be under the jurisdiction of the ~~sanitation~~ Sanitation and ~~environmental~~ Environmental services ~~Services department~~ Department.
4. All site plans must be reviewed and approved by the ~~sanitation and environment services department director~~ Director or his designee before building permits may be issued.

Sec. 17-103. - Premises to be kept clean.

All persons, firms, and corporations within the corporate limits of the City ~~of Franklin~~ are hereby required to keep their premises in a clean and sanitary condition, free from accumulations of ~~refuse~~ MSW, offal, filth, and trash. All persons, firms, and corporations are hereby required to store such ~~refuse~~ MSW in sanitary containers of the type described in this chapter between intervals of collection or to dispose of such material in a manner prescribed by the ~~sanitation and environment services department director~~ Director so as not to cause a nuisance or become injurious to the public health and welfare.

Sec. 17-104. - Prohibited practices.

1. It shall be unlawful for any person, firm, or corporation to dump ~~refuse~~MSW in any form into any stream, ditch, storm sewer, sanitary sewer, or other drain within the City ~~of Franklin~~.
2. The disposal of ~~refuse~~MSW in any quantity by an individual, householder, establishment, firm, or corporation in any place, public or private, other than at the site or sites designated and/or with properly approved permits from the Tennessee Department of Solid Waste Management is expressly prohibited. All disposal of ~~refuse~~MSW ~~and garbage~~ shall be by methods approved by the ~~sanitation and environmental services d~~Department under Tennessee Department of Solid Waste Management guidelines. Such methods shall include the maximum practical rodent, insect, and nuisance control at the place of disposal.
3. It shall be unlawful for any person, firm, or corporation to burn or attempt to burn ~~refuse~~MSW on private or public property within the corporate limits of the City of Franklin ~~without first securing the approval of the appropriate city departments having jurisdiction~~.

Sec. 17-105. - Prohibited substances.

1. Substances prohibited from ~~pick-up~~collection and, which shall not be deposited in ~~garbage containers~~a dumpsters or rollout containers include, but are not limited to:
 - a. Flammable liquids, solids or gases, such as gasoline, benzene, alcohol or other similar substances.
 - b. Any material that could be hazardous or injurious to ~~city~~City employees or which could cause damage to ~~city~~City equipment.
 - c. Hot materials such as ashes, cinders, etc.
 - d. Human or animal waste, which shall be prohibited unless it is placed and secured in a plastic bag.
 - e. Infectious wastes and hypodermic syringes. Used hypodermic syringes shall not be placed with other waste for collection by the City ~~of Franklin~~, but be disposed of by a licensed medical waste disposal firm.
 - f. ~~"Construction~~ and demolition ~~waste"~~ as defined by section 17-101. The removal and disposal of such materials shall be the responsibility of the construction contractor, developer or property owner. The Department may remove this non-containerized waste from curbside, with signed approval request from the property owner, for an added service fee as defined in Appendix A. Comprehensive Fees and Penalties.
 - g. Rocks, dirt, bricks, concrete, broken glass ~~unless in approved container~~, and sharp ~~metal~~ metal are not permitted in rollout containers or dumpsters~~glass~~.

Sec. 17-106. - Refusal of service.

The City ~~of Franklin~~ reserves the right to ~~refuse~~refuse service to any occupant, tenant, owner, or business guilty of severe or repeated violations of this chapter or for hampering or interfering with

employees of the ~~sanitation and environmental services~~ Department in the discharge of their duties hereunder, and shall have the authority to require proof of alternate arrangements should service be refused. Should service be refused, the occupant, tenant, owner, or business may, within five working days, submit a written appeal to the ~~city~~City administratorAdministrator. The ~~city~~City administratorAdministrator shall, within five working days of receipt of an appeal, issue a written response, upholding, vacating, or modifying the decision.

Sec. 17-107. - Accumulation of ~~refuse~~MSW.

Each owner, occupant, tenant, subtenant, lessee or others, using or occupying any building, house, structure, or grounds within the corporate limits of the City of Franklin where ~~refuse~~MSW materials or substances as defined in this chapter accumulate, or are likely to accumulate, shall provide an adequate number of suitable containers of a type approved by the ~~sanitation and environment services department director~~Director for the storage of such ~~refuse~~MSW.

Sec. 17-108. - Yard waste ~~and brush~~:-

1. *Leaves, lawn clippings, etc.*

- a. It shall not be the responsibility of the ~~sanitation and environmental services~~ Department of the ~~city~~City to shovel or pick up from the ground any loose accumulation of ~~refuse~~MSW, including leaves, lawn clippings, brush, etc. ~~Effective December 31, 2003, a~~All yard waste must be placed in biodegradable paper bags of not more than 33-gallon capacity.
- b. ~~The City shall not be responsible to remove any brush or limbs of trees unless said brush and limbs have been cut into lengths of not more than ten (10) feet or 18 inches in diameter. Limbs of trees measuring 15 inches or more in diameter shall be cut into lengths of not more than six (6) feet and weigh less than 75 pounds. All such said material shall be piled at curbside in an area at least three (3) feet away from obstacles, including mailboxes and utility boxes. Brush and limbs placed under overhead lines will not be collected. There shall be no MSW mixed with yard waste, bulky waste or brush left at curbside for collection. The collecting agency of the city shall not be responsible to remove any brush, trunks, or limbs of trees unless said brush shall have been cut into lengths of not more than ten feet. The trunks or limbs of trees measuring 15 inches or more in diameter shall be cut into lengths of not more than six feet and of a weight of no more than 75 pounds, and all of said material shall be piled at curbside.~~

Sec. 17-109. - Nurserymen, lawn services; duty to remove ~~trash~~yard waste.

Every nurseryman, lawn service, or other person who cuts trees or trims shrubs or grass as an ~~independent~~ contractor shall remove ~~and dispose or cause to be removed~~ all such ~~trash~~yard waste from the premises serviced by him.

Sec. 17-110. - Residential ~~solid waste~~MSWservice.

1. A fee for Residential service, as defined in this title, is shall be mandatory within the City as defined in Appendix A, Comprehensive Fees and Penalties. Residential service as defined shall be made available within the City limits however is not mandatory for use.

- ~~1-2.~~ The City shall provide one rollout container to each residential service location when that service is first added to the collection system and applicable administrative set-up fees have been received. Container shall remain the property of the City and be used to properly store one week's accumulation of MSW. ~~All residents shall provide sufficient city issued 90-gallon regulation containers to properly store one week's accumulation of refuse (including garbage and rubbish).~~
- ~~2-3.~~ The Director may require any residential ~~household~~ service location regularly exceeding 90 gallons of MSW in a collection period to make other approved disposal arrangements or to request use of a second container from the City. Any additional container will require an ~~second~~ additional administrative set-up fee and ~~a second~~ monthly payment for collection, as defined in Appendix A. Comprehensive Fees and Penalties. ~~The sanitation and environment services department director may require any residential household regularly exceeding 90 gallons or 200 pounds of garbage in a collection period, to make other approved disposal arrangements, or to purchase a second container from the city, which requires a second monthly payment for collection.~~
- ~~3-4.~~ The ~~official refuse collecting agency of the city~~ Department is ~~herein~~ authorized to confiscate or to remove unsatisfactory storage containers from the premises of residences and establishments, public and private, when in the discretion of the ~~sanitation and environment services department director~~ Director or his designated representative, such containers do not meet the requirements of this chapter. Such unsatisfactory containers shall be removed and disposed of at a place and in a manner designated by the ~~Department official collecting agency~~ only after the ~~agency~~ Department has diligently attempted to notify the owner or owners of such containers.
- ~~4-5.~~ The ~~sanitation and environmental services d~~ Department shall not be obligated to provide service where adequate containers are not provided.
- ~~5-6.~~ The owner or developer of all new, residential construction and development, within the City of Franklin, shall supply, at their expense, a ~~city~~ City-approved ~~garbage-rollout~~ container for that residence or housing unit, which shall become the property of the City of Franklin. As a condition of the issuance of the ~~city~~ City building permit, the owner or developer shall pay the sum as specified in ~~appendix~~ Appendix A, ~~comprehensive fees and penalties~~ Comprehensive Fees and Penalties, per ~~city~~ City-approved rollout container, that will remain at that address. This fee is payable to the ~~city~~ City when the building permit is issued.
- ~~6-7.~~ The containers shall remain the property of the ~~city~~ City at the property address where delivered, and are provided and assigned to residences for the health, safety, convenience and general welfare of the occupants. Containers that are damaged, destroyed, or stolen through neglect, improper use or abuse by the occupant-users shall be replaced by the ~~city~~ City at the expense of the occupants or the owner of the residence. Containers which are damaged ~~in the course of~~ during normal and reasonable usage or which are damaged or destroyed, through no abuse, neglect, or improper use of the occupant-users or residence owner shall be repaired or replaced by the ~~city~~ City at no charge to the occupant-users or residence owners. The containers shall not be damaged, destroyed, defaced, or removed from the premises by any person;

markings and identification devices on the containers except as placed or specifically permitted by the cityCity are expressly prohibited and shall be regarded as damage to the containers.

- ~~7. It shall be unlawful for any person, other than the occupant-user, to move, remove, upset, scatter, tamper, use, carry, away deface, mutilate, destroy, damage or interfere with the garbage container, or any refuse left for collection.~~
8. It shall be the responsibility of each occupant, on the scheduled day of collection, to place their container on the property side of the curb or street, or at the edge of the alley where serviceable alleys are available, or in a cityCity approved location for pick-up. Containers shall be placed in such a location as to be readily accessible for removal by the cityCity. The container shall be placed in such a manner as not to interfere with other MSW containers, curbside mailboxes, overhead power lines or tree branches, parked cars, vehicular traffic, utility boxes or in any other way that would constitute a public hazard or nuisance. Generally, this shall be within two (2) feet of the curb or edge of pavement, at least three (3) feet from obstructions such as those listed, and at least five (5) feet from any vehicle parked along the street. ~~Rollout Garbage~~ containers shall not be placed, without the express permission of the cityCity, on a public sidewalk, in the street, or in a drainage ditch.
9. Where service alleys are available, container shall be placed in a designated area on collection day. The area shall provide for one-way access by collection vehicles. ~~and shall be constructed of a 24" x 24" concrete pad for each container.~~
10. Containers shall be placed for collection no earlier than 7:00 P.M. on the day before collection, and no later than 7:00 A.M. on the scheduled day of collection. Containers must be removed from the curb, street, or alleyway no later than 7:00 P.M. on the day of collection.
11. Construction and demolition waste and yard waste, as defined in this chapter, are hereby prohibited from being placed in the 90-gallon rollout or other cityCity approved ~~residential garbage~~MSW collection containers.
12. City ~~garbage~~ collectors shall not enter houses, stores, garages, or open gates for the collection of ~~garbage or rubbish~~MSW, nor shall they accept any money or valuable gifts for their services from persons served.
- ~~13.~~ All ~~garbage or refuse~~MSW must be drained of all liquids and wrapped in plastic or other equivalent material prior to placing it in any MSW storage ~~receptacle~~container. To eliminate odor and insects, reduce spillage and litter and discourage animals from getting into MSW containers, all waste shall be placed in plastic or paper bags which must be tightly secured. No waste shall be placed loose in the MSW container. Collection crews will not pick up spills and litter resulting from loose waste placed in containers.
- ~~13.~~14. The containers shall be maintained in a clean and sanitary manner and shall be thoroughly cleaned by washing or other method as often as necessary to prevent the breeding of flies and the occurrences of offensive odors.

~~14.15.~~ Garbage and refuse MSW shall not be stored in close proximity to other personal effects which are not desired to be collected, but shall be reasonably separated in order that the collectors can clearly distinguish between what is to be collected and what is not.

~~15. Collection of white goods stoves, refrigerators, freezers, window type air conditioners, shall be collected by the collection agency. Refrigerators and freezers, shall have doors removed or secured in accordance with Tennessee Code Annotated, § 39-6-104, and have all contents removed. White goods shall be stored out of public view until collection. The city shall not be responsible for the removal of water heaters, central heat and air systems or other commercially installed appliances.~~

Sec. 17-111. - Commercial Nonresidential solid waste MSW service.

1. Every commercial nonresidential establishment service location shall place all garbage MSW in a cityCity approved container, and shall maintain the container and the surrounding area in a clean, neat and sanitary condition. All bulk containers containers shall be cleaned and disinfected on a regular basis.
2. Any establishment that furnishes and maintains a bulk container dumpster, or containers suitable for handling by cityCity equipment may be serviced by the cityCity as required provided that such container shall be of sufficient size and number. Bulk container Dumpsters shall at all times be kept in a place easily accessible to cityCity equipment as approved by the sanitation and environment services department director Director. At no time shall objects, obstructions, or vehicles hinder in any way whatsoever the servicing of said containers.
3. All bulk container dumpsters to be serviced by cityCity equipment shall be front-end loading enclosed, metal containers. Before any such container shall be serviced by the cityCity, it shall be specifically approved by the sanitation and environment services department director Director as to capacity, size, type and location. No container shall exceed eight cubic yards capacity.
4. Bulk container Dumpsters shall be placed on approved service pads to be constructed of six-inch thick concrete reinforced with steel and of a size of no less than 12 by 12 feet square. Screening shall be permitted on three sides only. A gate must be placed on the dumpster screening. Service of containers in gated enclosures may be provided by the City of Franklin Department of Sanitation and Environment Services Department if the gates meet all specifications set by the department.
5. Businesses using regulation 90-gallon cans Nonresidential customers using rollout containers for collection shall provide sufficient containers to properly store one week's accumulation of refuse MSW. The cost of a city approved 90-gallon administrative set-up fee for a City approved rollout container can shall be as specified in appendix Appendix A, comprehensive fees and penalties Comprehensive Fees and Penalties. All businesses nonresidential customers requiring any combination of six or more regulation 90-gallon rollout containers, for weekly service shall be required to acquire regulation bulk container dumpsters for service. Any exceptions must be specifically approved by the Director.

6. Nothing in this section shall prohibit ~~commercial-nonresidential~~ establishments or private residents from removing their own ~~solid-waste~~MSW or from contracting with a private collector for such removal, provided said private collector shall have a valid permit or license to do business within the ~~city~~City. ~~However, private citizens who contract with a private collector for removal will still be subject to the monthly refuse collection service fee from the city.~~

(7) Right-of-Way Obstruction Permit

- a. In no event shall public or private ~~commercial~~ dumpsters, roll ~~off-out~~ containers or other ~~bulk-container~~containers be placed on a public street, right-of-way or public property:
- i. Without express written consent of the ~~sanitation and environment services department~~City Street Department director upon application, approval and tender of a permit fee as specified in ~~appendix~~Appendix A, ~~comprehensive fees and penalties~~Comprehensive Fees and Penalties, for a ~~commercial dumpster permit~~Right-of-Way Obstruction permit;
 - ii. Without posting a cash bond or certified check deposit as specified in ~~appendix~~Appendix A, ~~comprehensive fees and penalties~~Comprehensive Fees and Penalties, to secure payment for any damage to the street, right-of-way or public property;
 - iii. For longer than 30 days unless the ~~commercial dumpster permit~~Right-of-Way Obstruction permit is renewed and approved by ~~the sanitation and environment services department~~the City Street Department director; ~~and or~~
 - iv. Such that it poses a hazard to public safety or a health and sanitation nuisance under the provisions of ~~title~~Title 13, ~~chapter~~Chapter 1 of this Code.
- b. Failure to secure a ~~commercial dumpster~~Right-of-Way Obstruction permit or to abide by its conditions shall subject the violator the penalties in section 17-~~114~~116 of this Code. In addition, the ~~sanitation and environmental services d~~Department is authorized to remove any dumpster or container which is not in compliance with this section. Prior to any removal, the ~~sanitation and environment services~~Street department Department director shall give a notice of intent to remove a dumpster to the permittee or ~~refuse~~MSW collector by first class mail and by posting a copy of the notice on the dumpster or container. The notice shall set out the conditions that render the dumpster or container to be noncompliant. If such conditions are not corrected to the director's satisfaction within five days from the date of the notice, the director or his designees shall remove the dumpster at the expense of the permittee or ~~refuse~~MSW collector.

Sec. 17-112. - Special collection services.

1. ~~Commercial-Non-containerized bulky waste~~ collection. Any non-containerized bulky waste collected ~~at shall be placed adjacent to and back of the curb, or adjacent to and back of the drainage ditch or catch basin, alley or street line if there is no curb, without blocking the roadway, any sidewalk, drainage ditch, or catch basin. Customers will be assessed a non-containerized bulky waste collection fee per 20 cubic yard truck load or any portion thereof as~~

specified in Appendix A, Comprehensive Fees and Penalties for bulky waste collection services~~commercial establishments utilizing bulk (2-8 cubic yard) containers shall be assessed a noncontainerized fee per 20 cubic yard truck load or any portion thereof.~~

2. ~~Roll-out commercial container excess.~~ Any waste collected at ~~commercial establishments service locations~~ utilizing ~~a city~~City issued 90-gallon-roll-out ~~cart~~s-container that does not fit in the provided number of 90-gallon-roll-out ~~cart~~s-containers, will be assessed an extra pick-up fee as specified in Appendix A, Comprehensive Fees and Penalties. ~~Volumes~~ The nature of the waste~~MSW may, at the discretion of the sanitation and environment services department director or his designee, be such that standard commercial non-containerized bulky waste collection fees may be required, as specified in Appendix A, Comprehensive Fees and Penalties.~~
3. ~~Residential excess.~~ Any excess waste collected at residences may, based on volume and at the discretion of the sanitation and environment services department director or his designee, be assessed a fee commiserate with the standard commercial bulk collection fees.
3. Remodeling/clean-up dumpsters. Dumpsters for the disposal of remodeling, light construction, or general clean up waste may be available from the Department. Each dumpster (2-8 cubic yards) shall be properly loaded and emptied at least once per week. A maximum usage of three ~~(3)~~ consecutive calendar weeks will be allowed. For the safety of collectors, no dumpster with protruding items from the sides or top will be serviced ~~until the customer removes said items.~~ Fees for residential remodeling/clean-up dumpster services are specified in Appendix A. Comprehensive Fees and Penalties. ~~Remodeling/clean-up containers.~~ Bulk containers for the disposal of remodeling, light construction, or general clean up may be available from the sanitation and environmental services department. Each eight cubic yard container shall be properly loaded and emptied at least once per week. A maximum usage of three consecutive weeks will be allowed.
4. Backdoor Residential. Backdoor residential rollout container services may be provided at no additional charge for customers with a medical condition. Each customer desiring backdoor service shall complete an application for such service on an annual basis.

Sec. 17-113. Billing for Sanitation Collection Services.

1. Bills may be rendered weekly, semi-monthly, or monthly, at the option of the City. Bills will consist of fees for services obtained by the customer from the City in accordance with the Application and Agreement for Service and the City and the provisions of the Municipal Code.
2. Sanitation collection service bills must be paid on or before the due date shown thereon. Payments made after the due date shall incur a penalty of ten (10) percent of the amount billed for sanitation services. If the delinquent balance is not paid within 60 days of the bill due date, nonresidential collection service may be discontinued without further notice until the balance of the bill is paid in full. The City shall not be liable for any damages resulting from discontinued service under the provisions of this section, even though payment of the bill is made at any time on the day that service is discontinued. Failure to receive a bill will not release a customer from payment obligation nor extend the due date.

3. Customers will have consolidated bills presented for other services including, but not limited to, water, wastewater, MSW and stormwater in accordance with the provisions of this Code.
4. Should the final date of payment of bill fall on a weekend or a holiday, the business day next following the final date will be the last day to avoid a penalty. A remittance received by mail after the time limit for payment will be accepted by the City if the envelope is date stamped on or before the final date for payment.

Section 17-114. Billing for Transfer Station Tipping Fees.

1. Transfer station services are billed one ~~(4)~~ month in arrears. The amount billed is due on the 15th of the month following the service month. Transfer station bills must be paid in full on or before the due date shown thereon to avoid an added penalty of ten ~~(10)~~ percent.
2. If a balance is not paid in full within 30 days of the bill due date, the customer's account will be deemed delinquent and use of the transfer station services will be suspended until the entire account balance is paid in full.
3. Failure to receive a bill will not release a customer from payment obligation nor extend the due date. City shall not be liable for any damages resulting from discontinued service(s) under the provisions of this section, even though payment of the bill is made at any time on the day the service is discontinued.
4. Should the final date of payment of bill fall on a weekend or a holiday, the business day next following the final date will be the last day to avoid a penalty. A remittance received by mail after the time limit for payment will be accepted by the City if the envelope is date stamped on or before the final date for payment.

Sec. 17-1135. - Schedule of fees for disposal of ~~garbage and refuse~~MSW and frequency of collection.

1. *Residential.* A fee as specified in ~~appendix~~Appendix A, ~~comprehensive~~Comprehensive fees-Fees and ~~penalties~~Penalties, shall be paid to the ~~city~~City for the disposal of ~~solid waste~~MSW for every household container (once per-week pickup).
2. ~~Commercial~~*Nonresidential.*
 - a. *[Roll-out and dumpster.]* A fee as specified in ~~appendix~~Appendix A, ~~comprehensive fees and penalties~~Comprehensive Fees and Penalties, shall be charged for every ~~rollout~~rollout and dumpster served by the City.
 - b. *Special event.* To be determined by the ~~sanitation and environment services department director~~Director, depending on size, duration and nature of event.
 - c. ~~Commercial~~*Nonresidential* cardboard recycling service. A fee as specified in ~~appendix~~Appendix A, ~~comprehensive fees and penalties~~Comprehensive Fees and Penalties, shall be charged for ~~commercial nonresidential~~ cardboard recycling service.
3. *Transfer station tipping fee(s).* Tipping fee(s), as specified in ~~appendix~~Appendix A, ~~comprehensive fees and penalties~~Comprehensive Fees and Penalties, shall be charged for all

~~solid waste~~MSW delivered to the ~~Century Court~~City owned transfer station for hauling and disposal at landfill locations.

Sec. 17-1146. - Penalties.

1. Any person violating any of the provisions of this chapter ~~or the conditions of any permit issued hereunder~~ shall be served by the ~~city~~City with written notice stating the nature of the violation and providing up to 10 days ~~time limit~~ for the satisfactory correction thereof. The offender shall, within the time period stated in such notice, permanently cease all violations. Service will be discontinued until such time as the violation is corrected.
2. Any person who shall continue any violation beyond the time provided for in subsection 17-1146(1) shall be guilty of a misdemeanor and shall be punishable under the general penalty clause of this Code.
3. Any person violating any of the provisions of this chapter shall become liable to the ~~city~~City for any expense, loss or damage occasioned by ~~city~~City personnel or equipment by reason of such violation.

~~Secs. 17-115—17-117. [Deleted.]~~

~~These sections were deleted by Ord. No. 2002-31, Dec. 2002.~~

CHAPTER 2. - RECYCLING

Sec. 17-201. - Definitions.

1. *Recyclables.* ~~The term "recyclables," as hereinafter referred to in this chapter, shall include~~ any item approved by the ~~sanitation and environment services department director~~Director for collection as a commodity designated for reuse or delivery to an established recycling center, from all residences and establishments, public and private, but shall not include hazardous waste, infectious waste, commercial, industrial or residential solid waste, sewage, body wastes, recognizable industrial or medical by-products.

Recyclables may include cardboard, aluminum, tin, steel, newspaper or any other item approved by the ~~sanitation and environment services department d~~Director and Williamson Recycles.

- ~~2. *Hazardous refuse.* The term "hazardous refuse" shall mean any chemical compound, mixture, substance or article which may constitute a hazard to health or may cause damage to property by reason of being explosive, flammable, poisonous, corrosive, unstable, irritating, radioactive, infectious, or otherwise harmful.~~

Sec. 17-202. - Responsibility for administration.

1. The ~~sanitation and environment services department director~~Director, or his authorized representative, ~~in conjunction with Williamson Recycles,~~ shall have the authority to make and modify regulations as necessary concerning the placement of recycling collection containers, hours of operation and or such other matters pertaining to the collection, transporting and disposal of recyclable materials; provided that such regulations are not in violation of the provisions of this chapter.

2. The ~~sanitation and environment services department director~~ Director or his authorized representative, shall be responsible for the enforcement of this chapter.
- ~~3.~~ All recyclables shall be collected sufficiently and frequently to prevent the occurrence of nuisance and public health problems. The collection of residential recyclables within the City of Franklin shall be under the jurisdiction of the ~~sanitation and environmental services department~~ Department in conjunction with Williamson Recycles.
- ~~4.3.~~ ~~Williamson Recycles or the City of Franklin Sanitation and Environmental Services Department shall be the only provider of bulk containers for recycling commodities in excess of eight cubic yards within the City of Franklin. All proposed container locations must be reviewed and approved by the sanitation and environment services department director or his designee before containers are placed.~~

Sec. 17-203. - Premises to be kept clean.

All areas where containers are placed are hereby required to be kept in a clean and sanitary condition, ~~free from accumulations of refuse, offal, filth, and trash~~ by the entity that operates and/or services the area.

Sec. 17-204. - Prohibited practices.

1. It shall be unlawful for any person, firm or corporation to dump recyclables in any form into any stream, ditch, storm sewer, sanitary sewer, or other drain within the City of Franklin.
- ~~2. The disposal of recyclables in any quantity by an individual, householder, establishment, firm, or corporation in any place, public or private, other than at the site or sites designated and/or properly approved by the Sanitation and Environmental Services Department of the City of Franklin and Williamson Recycles is expressly prohibited.~~

Sec. 17-205. - Prohibited substances.

1. Substances prohibited from collection and shall not be deposited in recycling containers include, but are not limited to:
 - a. Flammable liquids, solids or gases, such as gasoline, benzene, alcohol or other similar substances.
 - b. Any material that could be hazardous or injurious to ~~city~~ City employees or which could cause damage to ~~city~~ City equipment.
 - c. Hot materials such as ashes, cinders, etc.
 - d. Human or animal waste shall be prohibited.
 - e. Infectious waste and hypodermic syringes. Used hypodermic syringes shall not be placed with recyclables for collection by the City of Franklin, but be disposed of by a licensed medical waste disposal firm.
 - f. Construction and Demolition waste as defined by section 17-101. The removal and disposal of such materials shall be the responsibility of the construction contractor,

developer or property owner. The Department may remove this non-containerized waste from curbside, with signed approval request from the property owner, for an added service fee as defined in Appendix A, Comprehensive Fees and Penalties.

e.g. Rocks, dirt, bricks, concrete, broken glass, and sharp metal are not permitted with recyclables.

Sec. 17-206. - Penalties.

1. Any person violating any of the provisions of this chapter or the conditions of any permit issued hereunder shall be served by the ~~city~~City with written notice stating the nature of the violation and providing up to ten days ~~time limit~~ for the satisfactory correction thereof. The offender shall, within the time period stated in such notice, permanently cease all violations. Service will be discontinued until such time as the violation is corrected.
2. Any person who shall continue any violation beyond the time provided for in subsection 17-11~~76~~(1) shall be guilty of a misdemeanor and shall be punishable under the general penalty clause of this Code.
3. Any person violating any of the provisions of this chapter shall become liable to the ~~city~~City for any expense, loss or damage occasioned by ~~city~~City personnel or equipment by reason of such violation.

APPENDIX A – COMPREHENSIVE FEES AND PENALTIES

CHAPTER 17. - ~~REFUSE AND TRASH~~ MUNICIPAL SOLID WASTE DISPOSAL

<i>Containers—Initial Cost <u>Administrative Setup Fee</u></i>	
Residential— City- approved <u>rollout</u> container	\$75.00 per container
Non-residential— <u>City approved rollout container</u> 90-gallon container	\$75.00 per container
<i>Collection Service Fees</i>	
Residential	
—1st <u>First rollout</u> container	\$17.50 per month (one pick-up/week)
2nd <u>Second</u> and subsequent <u>rollout</u> containers	\$7.50 per month/per container
Non-residential	
<u>First rollout container</u>	\$30.00 per month/per container (one pick-up service/week)
Rollout—Additional pick-up service	\$30.00 per pick-up service
<i><u>Residential and Nonresidential Dumpster Service</u></i>	
Dumpster service	\$110.00 per month/per container (one pick-up service/week)
	\$205.00 per month/per container (two pick-up services/week)

	\$300.00 per month/per container (three pick-up services/week)
	\$395.00 per month/per container (four pick-up services/week)
	\$490.00 per month/per container (five pick-up services/week)
Dumpster service—Customer provides city-approved container	\$95.00 per month/per container (one pick-up service/week)
	\$180.00 per month/per container (two pick-up services/week)
	\$265.00 per month/per container (three pick-up services/week)
	\$350.00 per month/per container (four pick-up services/week)
	\$435.00 per month/per container (five pick-up services/week)
Dumpster—Additional pick-up service	\$95.00 per service
Container delivery truck service	\$50.00 per dumpster/service
<i><u>Special Collection Services</u></i>	
Pick-up service for noncontainerized <u>bulky waste-refuse</u>	\$125.00 per vehicle/per request
Residential remodeling/clean-up dumpster	\$110.00 per service
<i>Special Event</i>	

Collection service	As determined by the sanitation Sanitation and environment Environmental services Services department dDirector
<i>Non-Residential Cardboard Recycling Service</i>	\$65.00 per month (one pick-up service/week)
	\$115.00 per month (two pick-up services/week)
	\$165.00 per month/per container (three pick-up services/week)
	\$215.00 per month/per container (four pick-up services/week)
	\$265.00 per month/per container (five pick-up services/week)
<i>Tipping Fees at Transfer Station</i>	
Class I	\$ 45 55.00 per ton—\$ 20 25.00 minimum
—Class III and IV —(construction and demolition)	\$50.00 per ton—\$25.00 minimum
Public Right of Way Permits/Trash Containers	
—Permit	\$100.00
—Damage surety (cash bond or certified check)	\$1,000.00

**CONTRACT NO 2011274-C
BETWEEN
REGIONAL TRANSPORTATION AUTHORITY
AND
CITY OF FRANKLIN**

This Contract, entered into on the 1st Day of July, 2016, by and between the Regional Transportation Authority, located at 430 Myatt Drive, Nashville TN 37115, (hereinafter "RTA"), and the City of Franklin, located at 109 3rd Avenue South, Franklin, TN 37064, (hereinafter "the City"). This contract is for the provision of Regional Bus Service (hereinafter "the Service") between Nashville/Davidson County and the City of Franklin.

The RTA was established pursuant to statutory law enacted by the Tennessee General Assembly, T.C.A. 64-8-101 et seq.

A. SCOPE OF SERVICES

The RTA shall provide regional bus service between Nashville/Davidson County, and City of Franklin, Tennessee. The City shall have no obligation for services rendered by the RTA which are not performed within the specified period or between the specified route terminus.

B. TERM

The Contract term start date shall be July 1, 2016; the Contract end date shall be June 30, 2017.

C. PAYMENT

In consideration for RTA's provision of regional bus services, the City shall provide RTA the amount of fifty six thousand one hundred eighty five and no/100 dollars (\$56,185.00).

The RTA shall invoice the City its route subsidy share as stated above and shown in Attachment 1. RTA will send the City a lump sum invoice in the amount of fifty six thousand one hundred eighty five and no/100 dollars (\$56,185.00) to be paid directly to RTA. The amount represents the full amount owed to RTA by the City.

The City shall send payment to RTA, 430 Myatt Drive, Nashville, TN 37115, Attn: Accounting Department.

D. STANDARD TERMS AND CONDITIONS

1. The RTA nor the City are bound by this Contract until it is executed by the parties.
2. This Contract may be modified only by a written amendment executed by all parties hereto.
3. The RTA may terminate this Contract and the Service obligations if adequate Grant Funds are not available to continue the Service. In the event of termination the City shall receive a share of any of their route subsidy that remains. The reimbursement will be determined by dividing fifty six thousand one hundred eighty five and no/100 dollars (\$56,185.00) by 12 and multiplying that amount by the number of months the City did not receive Service.
4. The RTA warrants that no person shall be excluded from participation in, be denied benefits of, or be otherwise subjected to discrimination in the performance of this Contract or in the employment practices of the RTA on the grounds of handicap and/or disability, age, race, color, religion, sex, national origin, or any other classification protected by Federal, Tennessee State constitutional, or statutory law. The RTA shall, upon request, show proof of such nondiscrimination and shall post in conspicuous places, available to all employees and applicants, notices of nondiscrimination.
5. The RTA warrants that no part of the Contract amount shall be paid directly or indirectly to an employee or official of City as wages, compensation, or gifts in exchange for acting as an officer, agent, employee, or consultant to the RTA in connection with any work contemplated or performed relative to this Contract.
6. This Contract shall be governed by and construed in accordance with the laws of the State of Tennessee.
7. As part of the RTA yearly audit, a full audit of this project will be conducted by an outside auditing firm and made available to the City. All financial records will be consistent with internal accounting procedures.

IN WITNESS WHEREOF, as of the date written above the parties have caused this Contract to be signed by their duly authorized representatives.

Regional Transportation Authority

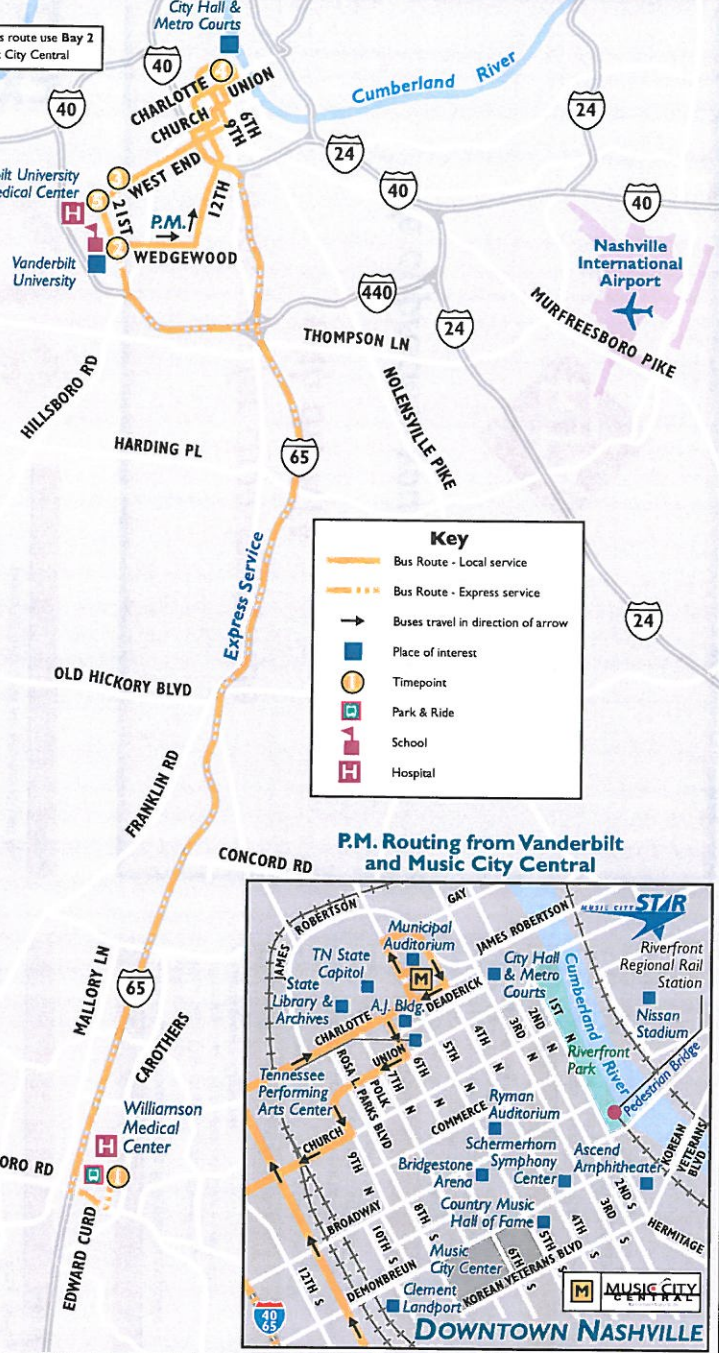
City of Franklin, Tennessee

Stephen G. Bland, CEO

Date

Date

91X Franklin Express



WEEKDAYS

to Nashville

Williamson Medical Center	21st & Children's Way	20th & West End	Music City Central Bay 2	21st & West End	21st & Children's Way
1	2	3	4	5	2
6:33	EXPRESS	7:04	7:16	7:20	
6:58	7:36	7:44	8:04		
7:11	EXPRESS	7:50	8:03	8:08	

WEEKDAYS

from Nashville

21st & West End	21st & Children's Way	Music City Central Bay 2	21st & West End	21st & Children's Way	Williamson Medical Center
5	2	4	3	2	1
3:45	3:52	4:15	EXPRESS	4:54	
4:08	4:15	4:40	EXPRESS	5:27	
		4:55	5:11	5:15	6:04

NO SERVICE SATURDAYS, SUNDAYS OR HOLIDAYS

a.m. trips p.m. trips



We appreciate your business!

*Thank you for choosing to ride
with the RTA.*

Franklin Express

91X

Franklin, TN
Music City Central - Bay 2
Vanderbilt University
Vanderbilt University
Medical Center

EXPRESS



Service operated by



Customer Care and
ADA Coordinator
(615) 862-5950
rtarelaaxandride.com
@MiddleTN_RTAT

Effective March 28, 2016

Pets

Only service animals are permitted on board.

Customer Care

We are here to assist you with your commute. If you need additional information, please call Customer Care at (615) 862-5950.

Regional Transportation Authority
430 Myatt Drive, Nashville, TN 37115
designed by CH2M America - dharcnca.com

Fares

Regular Fare
1-Ride Express Bus \$4.25
(All travel between Nashville and Franklin)

Reduced Fare
1-Ride Express Bus \$2.00
(Youth age 19 and younger, active & retired military, seniors age 65 and older, people with disabilities, and Medicare cardholders. Valid ID required)

Children age 4 and younger No Charge

Multi-Ride Pass
20-Ride Express Bus \$73.50

Please Note: MTA Passes are not valid on this route.

For more information or to purchase passes, please call Customer Care at (615) 862-5950 or see RTA's website at rtarelaaxandride.com.

♿ All buses are accessible.

Franklin Express

You work hard. Your schedule is tight. Money is even tighter. It's time someone did something to make your life a little easier.

So we did. Welcome to Route 91X - Franklin Express - a convenient bus route serving Nashville and Williamson County.

Enjoy fast, comfortable service to work, shopping and entertainment.

Have time to read ... organize your day ... or just sit back and take a break.



Holiday Service

On the following major holidays, RTA does not operate weekday service:

- New Year's Day • Martin Luther King Jr. Day • Memorial Day • Independence Day • Labor Day • Thanksgiving • Christmas

Other Connecting Routes

If your trip requires you to board a connecting MTA bus from an RTA express bus, you must pay the appropriate MTA fare for that portion of your trip unless you have been issued a proximity card. Call Customer Care at (615) 862-5950 for details.

Multi-Ride Tickets

The 20-Ride Express Bus is a fare card designed to offer convenience and savings over single cash fares. It is valid for 20 rides on this route and all other RTA and MTA routes. Tickets are available at all MTA ticket locations and online at nashvillenta.org.

Ridesharing

If the Franklin Express route does not meet your commute needs, there may be other options. Call the Rideshare program at (615) 862-8833 to find out if there is a potential car pool or van pool for your work trip.

Emergency Ride Home

Regular RTA riders? Join the Emergency Ride Home Program and have a free ride home in case of an emergency, illness, or unexpected overtime.

Call the RTA at (615) 862-8833 for details.

Park & Ride

A Park and Ride lot is available at the Williamson Medical Center in Franklin. The owners of this location have agreed to designate a portion of their parking lot for commuter's use. Remember, these spots are designated for your convenience and you park at your own risk.

Attachment 1

FY17 v. 3 (StMch CMAQ)



Franklin (91X) Relax & Ride Budget
July 1, 2015-June 30, 2018

	2015-16 Budget	2016-17 Budget	2017-18 Budget
Number of Daily Trips	6	6	6
Days of Service	254	254	254
Ridership	37,133	29,206	30,082
Operating Hours per Day (including deadhead)	15.00	15.00	15.00
Cost per hour of Service	\$111.85	\$116.33	\$119.83
Daily Cost of Service	\$1,677.75	\$1,744.95	\$1,797.45
<u>Cost of Service</u>			
Cost of Runs	426,149	443,217	456,552
Fuel Contingency (n/a)	0	0	0
Board-Initiated R&R RESERVE	0	475	0
<i>Total Costs</i>	426,149	443,692	456,552
<u>Estimated Revenues</u>			
Estimated Cash Fares - including State Easy Ride	95,010	56,900	57,469
ADD: Subsidy from SIR Account			
ADD: Bus Seat Guarantee (covering 3rd year of original service)			
ADD: CMAQ Funding			
FED (80%)	127,200	127,200	127,200
ST (10%)	15,900	15,900	15,900
CAPITAL LOCAL MATCH (partial)			
\$5307 CCoC Funding (100% dollars-- Fed/St/Loc)			
ADD: RTA \$5307 Operating Funding			
Federal (50%)			0
Local Match (50%)			0
<i>Total Estimated Revenues</i>	238,110	200,000	200,569
<i>Estimated Net Cost</i>	188,039	243,692	255,983
<u>Route Subsidy</u>			
TDOT Operating Subsidy	60,308	76,087	55,741
Davidson County	42,577	55,710	66,748
Franklin	42,577	56,185	66,747
Thompson Station	0	0	0
Brentwood	0	0	0
Williamson County	42,577	55,710	66,747
<i>Total Subsidy (100%)</i>	188,039	243,692	255,983
<u>Balance</u>	0	0	0

H:\2-123-EXCEL\RTA\BUDGET PLANNING BDGT FY17\FY17 - 3 (St Mch for CMAQ) 7-13-16\R&R FY17 - 3 (St Mch CMAQ)\Jr 91X Franklin FY2017-3 (StMch CMAQ).xlsx - 91X Franklin

Shelly McElhoney
8/3/2016



User Agreement

Contact Information

Primary Point of Contact	Steve LoGrasso	slograsso@mbssecurities.com	(866) 330-0861
Technical Point of Contact	Marti Raymus	mraymus@mbssecurities.com	(888) 857-3956
Senior Vice President	Tim Peacock	tpeacock@mbssecurities.com	(800) 967-9041

Powered by Multi-Bank Securities, Inc.
1000 Town Center, Suite 2300
Southfield, MI 48075
800-967-9052

LICENSING AGREEMENT

This Agreement is between:

Multi-Bank Securities, Inc. (MBS)
1000 Town Center, Suite 2300
Southfield, Michigan 48075

and

City of Franklin

ACCOUNT HOLDER

Finance Dept. City Hall - 109 3rd Ave South (PO BOX 305)

STREET ADDRESS

Franklin, TN 37065

CITY, STATE, ZIP

This contract governs your use of services, ability to post deposit rates, and access to inventory offered by eConnectDirect®, a registered trademark of Multi-Bank Securities, Inc. and related commerce, products and services corresponding to your access to eConnectDirect.com. You may need to execute additional agreements or provide MBS account numbers if you request additional Opt-in Services in conjunction with your unique eConnectDirect® user name and password. "Opt-in Services" refers to additional trading functionality and supportive services provided through eConnectDirect® or Multi-Bank Securities, Inc.

The terms "MBS," "we," "us" and "our" refer to Multi-Bank Securities, Inc. The terms "Subscriber," "you" and "your" refer to the undersigned Account Holder and additional users identified in Schedule A. The term "eConnectDirect® CD" (also known as "Physical CD" or "Listing Service" Deposits) refers to CDs which are transacted directly between the issuing institution and investing entity through eConnectDirect®. The term "Securities," generally refers to all other assets purchased or viewed on eConnectDirect® that are NOT eConnectDirect® CDs. All Securities (ie: Bonds, DTC Eligible CDs) will have a corresponding CUSIP number and funds will be settled into a user selected Securities account (Cash or Delivery Versus Payment "DVP"). If your preferred safekeeping agent, is something other than a Pershing LLC account, originated through Multi-Bank Securities, Inc., delivery and settlement instructions ("DVP Instructions"), must be provided prior to gaining access to security's inventory monitors.

User Access Start Date

Start Date: ____/____/ 2016

Your user access and all other rights under this eConnectDirect® Licensing Agreement will commence on the "Start Date" listed above if we have received from you a signed copy of this agreement and a complete set of all duly executed settlement documents required to purchase or issue a CD or security.

Modification/Cancellation

MBS reserves the right to:

- modify or cancel any feature of eConnectDirect® at any time.
- discontinue user access at anytime for any reason, without penalty, with 30 days written notice, or
- immediately suspend user access at anytime as provided below.

Subscriber reserves the right to:

- discontinue any or all eConnectDirect® services at anytime for any reason, without penalty, by providing written notice to MBS.

All changes to the terms, conditions, fees, representations and warranties related to this agreement will be distributed in writing for signature, email consent or online confirmation. If at any time you find these terms unacceptable and do not agree with them, you can let us know that you would like to discontinue your user access without penalty.

ACCOUNT ADMINISTRATION:

The Account Administrator is responsible for maintaining the account profile and approving/assigning additional users and account access. The additional users need to be identified on Schedule A – Authorized User List. All users will be assigned a unique user name and password and will be required to acknowledge and accept the terms, conditions and licensing agreement pertaining to the use of eConnectDirect® upon initial login.

Account Administrator Name: Russ Truell
 Title: Finance Director
 Phone: (615) 791-1457
 Email: russ.truell@franklintn.gov

Secondary Contact Name: _____
 Title: _____
 Phone: _____
 Email: _____

Licensing/User Fees:

Any applicable licensing fees will be disclosed per Schedule B -Licensing Fee Schedule of this agreement and will be billed annually, payable on the anniversary of the user access start date and are NON-REFUNDABLE. You will not be liable for any increase in licensing fees or new fees related to your access to eConnectDirect® unless you agree to such change in writing or other authenticated means.

MBS is not responsible for any fees incurred or charged in relationship to an eConnectDirect® CD transaction by the issuing bank, settlement/wire fees, safekeeping charges by your securities' custodian or any other fees related to the purchase, issuance, settlement, or safekeeping of products offered through eConnectDirect®. You are responsible for all such fees. Any applicable fees or selling concessions related to the issuance of DTC eligible CDs are disclosed at the time of trade and per the terms of an executed terms agreement and related Brokerage Agreement.

Account Classification and user access: (Additional documentation may need to be submitted depending on your user access request(s))

Institution Classification City _____

User Access

- ☒ Investor
- ☒ Securities
- ☐ Listing Service Deposits (eConnectDirect® CDs) (Exhibit C Required)
- ☐ Issuer
- ☐ DTC Eligible CDs (Brokerage Agreement Required)
- ☐ Listing Service Offerings (eConnectDirect® CDs) (Exhibit C Required)
- ☒ Securities Safekeeping Agent
- ☐ Pershing LLC (Only to be used if originated by Multi-Bank Securities)
- ☒ DVP (Delivery-versus-Payment) (Delivery instructions Required)

Proprietary Rights in the eConnectDirect Software and Database:

Restrictions on Use: SUBSCRIBER AGREES THAT IT WILL NOT PERMIT ANY OTHER PERSON INCLUDING, BUT NOT LIMITED TO, ANY INSTITUTION, BROKER OR BUSINESS OF ANY TYPE, ACCESS TO THE SOFTWARE AND DATABASE.

License Limitations:

This eConnectDirect® Licensing Agreement allows access to eConnectDirect® using a computer and/or web access by a user from any approved browser. Subscriber agrees not to modify, adapt or translate, disassemble, decompile, reverse engineer or otherwise attempt to discover the source code of the software. Subscriber further agrees not to sublicense, assign, transfer, distribute, pledge, lease, rent or share any rights under this license except with prior written permission from MBS.

Transaction Settlements:

Subscriber agrees to pay for, settle on, deliver or make whole on any approved transaction(s) processed through eConnectDirect® or Multi-Bank Securities, Inc. Failure to pay for a purchase, delivery on a sell or settle on an approved and accepted CD issuance, may result in an IMMEDIATE suspension or cancellation of this user agreement.

Immediate Suspension:

MBS may immediately suspend user access and all rights under this agreement if MBS has not received payment of the applicable License Fee within 30 days of invoice or MBS believes the Subscriber has or may violate MBS' proprietary rights or has or may breach any other provision of this agreement.

Warranty Disclaimer / Liability:

Only to the extent permitted by Tennessee law, if applicable - MBS makes no warranty of any kind, express or implied, regarding the timeliness, sequence, accuracy or completeness of data provided on eConnectDirect®. MBS will not be liable for any damages whatsoever, whether direct, indirect, general, special, compensatory, consequential and/or incidental, arising out of or relating to your use of eConnectDirect®.

City of Franklin	Multi-Bank Securities, Inc.
Account Holder	Company
Signature	Signature
Russ Truell	Tim Peacock
Name	Name
Finance Director	Senior Vice President
Title	Title

SCHEDULES, EXHIBITS & SUPPORTING DOCUMENTS

SCHEDULE A: AUTHORIZED USERS** (NOTE: The Administrator must be listed)

NAME	TITLE	PHONE	EMAIL
Russ Truell	Finance Director	(615) 791-1457	russ.truell@franklintn.gov

**Permissions, notifications and account access are set and maintained by the administrator upon login. Securities' trading authorization is only permitted to those approved by the account administrator or in confirmation with a submitted resolution of authorized signers

SCHEDULE B: LICENSING FEE(S)

Applicable licensing fees will apply

ALL FEES ARE PAYABLE WITHIN 30 DAYS OF INVOICE AND ARE NON REFUNDABLE;

eConnectDirect® Account Access (Including Securities): \$ 0.00 per year

eConnectDirect® CD Listing Services \$ N/A per year

Special Notes / Instructions:



**Institutional Suitability Certificate
FINRA RULE 2111
Affirmative Indication by Institution – Exercising Independent Judgment**

In connection with any recommended transaction or investment strategy by a registered broker-dealer, the undersigned acknowledges on behalf of the Institution named below that:

1. *It is an “Institutional Account” as defined in FINRA Rule 4512(c);*

PLEASE CHECK THE APPROPRIATE BOX BELOW

- ☐ (1) a Credit Union with total assets of \$50 Million or more (or any other entity with total assets in excess of \$50 Million). If a Credit Union (or any other entity) has total assets LESS THAN \$50 Million, check Box 4, and provide your most recent Investment Policy;
- ☐ (2) a bank, savings and loan association, insurance company or registered investment company;
- ☐ (3) an investment adviser registered either with the SEC under Section 203 of the Investment Advisers Act or with a state securities commission (or any agency or office performing like functions);
- ☒ (4) MBS will determine suitability (Please provide your most recent Investment Policy)

2. *It (1) is capable of evaluating investment risks independently, both in general and with regard to all transactions and investment strategies involving a security or securities; and (2) will exercise independent judgment in evaluating the recommendations of any broker-dealer or its associated persons, unless it has otherwise notified the broker-dealer in writing;*

3. *MBS will be notified by the Institutional Account if anything in this Certificate ceases to be true;*

4. *He or she is authorized to sign on behalf of the Institutional Account named below.*

By signing this Certificate, the undersigned affirms that the above statements are accurate but does not waive any rights afforded under U.S. federal or state securities laws, including without limitation, any rights under Section 10(b) of the Securities Exchange Act of 1934, as amended, and the rules and regulations promulgated thereunder.

This Certificate shall apply with respect to all recommended transactions and investment strategies involving securities that are entered into by the “Institutional Account” named in this Certificate, whether for the account(s) of such Institutional Account or for the account of any beneficial owner that has delegated decision making authority to such Institutional Account.

City of Franklin

Institutional Account Name

City Hall - 109 3rd Ave. South (PO Box 305)

Address

Franklin, TN 37065

City, State, ZIP

62-6000290

U.S. Tax ID / EIN If applicable)

russ.truell@franklin.tn.gov

Email Address

Signature of Authorized Signatory

Russ Truell

Name of Authorized Signatory

Finance Director

Title of Authorized Signatory

Date

(615) 791-1457

Phone

ADDRESS 1000 Town Center, Suite 2300
Southfield, Michigan 48075

PHONES 800.967.9045
248.291.1100

FAX 248.291.1101

2400 East Commercial Boulevard, Suite 812
Ft. Lauderdale, Florida 33308

800.967.9045
954.351.6930
954.351.9197

Member of FINRA & SIPC; MSRB
A Veteran-Owned Business



User Agreement

Contact Information

Primary Point of Contact	Steve LoGrasso	slograsso@mbssecurities.com	(866) 330-0861
Technical Point of Contact	Marti Raymus	mraymus@mbssecurities.com	(888) 857-3956
Senior Vice President	Tim Peacock	tpeacock@mbssecurities.com	(800) 967-9041

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and

ACCOUNT HOLDER

STREET ADDRESS

CITY, STATE, ZIP

This contract governs your use of services, ability to post deposit rates, and access to inventory offered by eConnectDirect®, a registered trademark of Multi-Bank Securities, Inc. and related commerce, products and services corresponding to your access to eConnectDirect.com. You may need to execute additional agreements or provide MBS account numbers if you request additional Opt-in Services in conjunction with your unique eConnectDirect® user name and password. "Opt-in Services" refers to additional trading functionality and supportive services provided through eConnectDirect® or Multi-Bank Securities, Inc.

The terms "MBS," "we," "us" and "our" refer to Multi-Bank Securities, Inc. The terms "Subscriber," "you" and "your" refer to the undersigned Account Holder and additional users identified in Schedule A. The term "eConnectDirect® CD" (also known as "Physical CD" or "Listing Service" Deposits) refers to CDs which are transacted directly between the issuing institution and investing entity through eConnectDirect®. The term "Securities," generally refers to all other assets purchased or viewed on eConnectDirect® that are NOT eConnectDirect® CDs. All Securities (ie: Bonds, DTC Eligible CDs) will have a corresponding CUSIP number and funds will be settled into a user selected Securities account (Cash or Delivery Versus Payment "DVP"). If your preferred safekeeping agent, is something other than a Pershing LLC account, originated through Multi-Bank Securities, Inc., delivery and settlement instructions ("DVP Instructions"), must be provided prior to gaining access to security's inventory monitors.

User Access Start Date

Start Date: ____/____/ 2016

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Modification/Cancellation

MBS reserves the right to:

- modify or cancel any feature of eConnectDirect® at any time.
- discontinue user access at anytime for any reason, without penalty, with 30 days written notice, or
- immediately suspend user access at anytime as provided below.

Subscriber reserves the right to:

- discontinue any or all eConnectDirect® services at anytime for any reason, without penalty, by providing written notice to MBS.

All changes to the terms, conditions, fees, representations and warranties related to this agreement will be distributed in writing for signature, email consent or online confirmation. If at any time you find these terms unacceptable and do not agree with them, you can let us know that you would like to discontinue your user access without penalty.

ACCOUNT ADMINISTRATION:

The Account Administrator is responsible for maintaining the account profile and approving/assigning additional users and account access. The additional users need to be identified on Schedule A – Authorized User List. All users will be assigned a unique user name and password and will be required to acknowledge and accept the terms, conditions and licensing agreement pertaining to the use of eConnectDirect® upon initial login.

Account Administrator Name: _____
 Title: _____
 Phone: _____
 Email: _____

Secondary Contact Name: _____
 Title: _____
 Phone: _____
 Email: _____

Licensing/User Fees:

Any applicable licensing fees will be disclosed per Schedule B -Licensing Fee Schedule of this agreement and will be billed annually, payable on the anniversary of the user access start date and are NON-REFUNDABLE. You will not be liable for any increase in licensing fees or new fees related to your access to eConnectDirect® unless you agree to such change in writing or other authenticated means.

MBS is not responsible for any fees incurred or charged in relationship to an eConnectDirect® CD transaction by the issuing bank, settlement/wire fees, safekeeping charges by your securities' custodian or any other fees related to the purchase, issuance, settlement, or safekeeping of products offered through eConnectDirect®. You are responsible for all such fees. Any applicable fees or selling concessions related to the issuance of DTC eligible CDs are disclosed at the time of trade and per the terms of an executed terms agreement and related Brokerage Agreement.

Account Classification and user access: (Additional documentation may need to be submitted depending on your user access request(s))

Institution Classification City

User Access

- ☒ Investor
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- ☐ Listing Service Deposits (eConnectDirect® CDs) (Exhibit C Required)
- ☐ Issuer
- ☐ DTC Eligible CDs (Brokerage Agreement Required)
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License Limitations:

This eConnectDirect® Licensing Agreement allows access to eConnectDirect® using a computer and/or web access by a user from any approved browser. Subscriber agrees not to modify, adapt or translate, disassemble, decompile, reverse engineer or otherwise attempt to discover the source code of the software. Subscriber further agrees not to sublicense, assign, transfer, distribute, pledge, lease, rent or share any rights under this license except with prior written permission from MBS.

Transaction Settlements:

Subscriber agrees to pay for, settle on, deliver or make whole on any approved transaction(s) processed through eConnectDirect® or Multi-Bank Securities, Inc. Failure to pay for a purchase, delivery on a sell or settle on an approved and accepted CD issuance, may result in an IMMEDIATE suspension or cancellation of this user agreement.

Immediate Suspension:

MBS may immediately suspend user access and all rights under this agreement if MBS has not received payment of the applicable License Fee within 30 days of invoice or MBS believes the Subscriber has or may violate MBS' proprietary rights or has or may breach any other provision of this agreement.

Warranty Disclaimer / Liability:

MBS makes no warranty of any kind, express or implied, regarding the timeliness, sequence, accuracy or completeness of data provided on eConnectDirect®. MBS will not be liable for any damages whatsoever, whether direct, indirect, general, special, compensatory, consequential and/or incidental, arising out of or relating to your use of eConnectDirect®.

_____	Multi-Bank Securities, Inc.
Account Holder	Company
_____	_____
Signature	Signature
_____	Tim Peacock
Name	Name
_____	Senior Vice President
Title	Title

SCHEDULES, EXHIBITS & SUPPORTING DOCUMENTS

SCHEDULE A: AUTHORIZED USERS** (NOTE: The Administrator must be listed)

NAME	TITLE	PHONE	EMAIL

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SCHEDULE B: LICENSING FEE(S)

Applicable licensing fees will apply

ALL FEES ARE PAYABLE WITHIN 30 DAYS OF INVOICE AND ARE NON REFUNDABLE;

eConnectDirect® Account Access (Including Securities): \$0 per year

eConnectDirect® CD Listing Services \$Waived per year

Special Notes / Instructions:

You may cancel this service at any time.



**Institutional Suitability Certificate
FINRA RULE 2111
Affirmative Indication by Institution – Exercising Independent Judgment**

In connection with any recommended transaction or investment strategy by a registered broker-dealer, the undersigned acknowledges on behalf of the Institution named below that:

1. *It is an “Institutional Account” as defined in FINRA Rule 4512(c);*

PLEASE CHECK THE APPROPRIATE BOX BELOW

- ☐ (1) a Credit Union with total assets of \$50 Million or more (or any other entity with total assets in excess of \$50 Million). If a Credit Union (or any other entity) has total assets LESS THAN \$50 Million, check Box 4, and provide your most recent Investment Policy;
- ☐ (2) a bank, savings and loan association, insurance company or registered investment company;
- ☐ (3) an investment adviser registered either with the SEC under Section 203 of the Investment Advisers Act or with a state securities commission (or any agency or office performing like functions);
- ☐ (4) MBS will determine suitability (Please provide your most recent Investment Policy)

2. *It (1) is capable of evaluating investment risks independently, both in general and with regard to all transactions and investment strategies involving a security or securities; and (2) will exercise independent judgment in evaluating the recommendations of any broker-dealer or its associated persons, unless it has otherwise notified the broker-dealer in writing;*

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This Certificate shall apply with respect to all recommended transactions and investment strategies involving securities that are entered into by the “Institutional Account” named in this Certificate, whether for the account(s) of such Institutional Account or for the account of any beneficial owner that has delegated decision making authority to such Institutional Account.

Institutional Account Name

Signature of Authorized Signatory

Address

Name of Authorized Signatory

City, State, ZIP

Title of Authorized Signatory

U.S. Tax ID / EIN If applicable)

Date

Email Address

Phone

ADDRESS 1000 Town Center, Suite 2300
Southfield, Michigan 48075

2400 East Commercial Boulevard, Suite 812
Ft. Lauderdale, Florida 33308

PHONES 800.967.9045
248.291.1100

800.967.9045

954.351.6930

FAX 248.291.1101

954.351.9197

**Member of FINRA & SIPC; MSRB
REGISTERED A Veteran-Owned
Business**



User Agreement

Contact Information

Primary Point of Contact	Steve LoGrasso	slograsso@mbssecurities.com	(866) 330-0861
Technical Point of Contact	Marti Raymus	mraymus@mbssecurities.com	(888) 857-3956
Senior Vice President	Tim Peacock	tpeacock@mbssecurities.com	(800) 967-9041

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1000 Town Center, Suite 2300
Southfield, MI 48075
800-967-9052

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Southfield, Michigan 48075

and

City of Franklin

ACCOUNT HOLDER

Finance Dept. City Hall - 109 3rd Ave South (PO BOX 305)

STREET ADDRESS

Franklin, TN 37065

CITY, STATE, ZIP

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Start Date: ____/____/ 2016

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- immediately suspend user access at anytime as provided below.

Subscriber reserves the right to:

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ACCOUNT ADMINISTRATION:

The Account Administrator is responsible for maintaining the account profile and approving/assigning additional users and account access. The additional users need to be identified on Schedule A – Authorized User List. All users will be assigned a unique user name and password and will be required to acknowledge and accept the terms, conditions and licensing agreement pertaining to the use of eConnectDirect® upon initial login.

Account Administrator Name: Russ Truell
 Title: Finance Director
 Phone: (615) 791-1457
 Email: russ.truell@franklintn.gov

Secondary Contact Name: _____
 Title: _____
 Phone: _____
 Email: _____

Licensing/User Fees:

Any applicable licensing fees will be disclosed per Schedule B -Licensing Fee Schedule of this agreement and will be billed annually, payable on the anniversary of the user access start date and are NON-REFUNDABLE. You will not be liable for any increase in licensing fees or new fees related to your access to eConnectDirect® unless you agree to such change in writing or other authenticated means.

MBS is not responsible for any fees incurred or charged in relationship to an eConnectDirect® CD transaction by the issuing bank, settlement/wire fees, safekeeping charges by your securities' custodian or any other fees related to the purchase, issuance, settlement, or safekeeping of products offered through eConnectDirect®. You are responsible for all such fees. Any applicable fees or selling concessions related to the issuance of DTC eligible CDs are disclosed at the time of trade and per the terms of an executed terms agreement and related Brokerage Agreement.

Account Classification and user access: (Additional documentation may need to be submitted depending on your user access request(s))

Institution Classification City

User Access

- ☒ Investor
- ☒ Securities
- ☐ Listing Service Deposits (eConnectDirect® CDs) (Exhibit C Required)
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- ☐ DTC Eligible CDs (Brokerage Agreement Required)
- ☐ Listing Service Offerings (eConnectDirect® CDs) (Exhibit C Required)
- ☒ Securities Safekeeping Agent
- ☐ Pershing LLC (Only to be used if originated by Multi-Bank Securities)
- ☒ DVP (Delivery-versus-Payment) (Delivery instructions Required)

Proprietary Rights in the eConnectDirect Software and Database:

Restrictions on Use: SUBSCRIBER AGREES THAT IT WILL NOT PERMIT ANY OTHER PERSON INCLUDING, BUT NOT LIMITED TO, ANY INSTITUTION, BROKER OR BUSINESS OF ANY TYPE, ACCESS TO THE SOFTWARE AND DATABASE.

License Limitations:

This eConnectDirect® Licensing Agreement allows access to eConnectDirect® using a computer and/or web access by a user from any approved browser. Subscriber agrees not to modify, adapt or translate, disassemble, decompile, reverse engineer or otherwise attempt to discover the source code of the software. Subscriber further agrees not to sublicense, assign, transfer, distribute, pledge, lease, rent or share any rights under this license except with prior written permission from MBS.

Transaction Settlements:

Subscriber agrees to pay for, settle on, deliver or make whole on any approved transaction(s) processed through eConnectDirect® or Multi-Bank Securities, Inc. Failure to pay for a purchase, delivery on a sell or settle on an approved and accepted CD issuance, may result in an IMMEDIATE suspension or cancellation of this user agreement.

Immediate Suspension:

MBS may immediately suspend user access and all rights under this agreement if MBS has not received payment of the applicable License Fee within 30 days of invoice or MBS believes the Subscriber has or may violate MBS' proprietary rights or has or may breach any other provision of this agreement.

Warranty Disclaimer / Liability:

Only to the extent permitted by Tennessee law, if applicable - MBS makes no warranty of any kind, express or implied, regarding the timeliness, sequence, accuracy or completeness of data provided on eConnectDirect®. MBS will not be liable for any damages whatsoever, whether direct, indirect, general, special, compensatory, consequential and/or incidental, arising out of or relating to your use of eConnectDirect®.

City of Franklin	Multi-Bank Securities, Inc.
Account Holder	Company
Signature	Signature
Russ Truell	Tim Peacock
Name	Name
Finance Director	Senior Vice President
Title	Title

SCHEDULES, EXHIBITS & SUPPORTING DOCUMENTS

SCHEDULE A: AUTHORIZED USERS** (NOTE: The Administrator must be listed)

NAME	TITLE	PHONE	EMAIL
Russ Truell	Finance Director	(615) 791-1457	russ.truell@franklintn.gov

**Permissions, notifications and account access are set and maintained by the administrator upon login. Securities' trading authorization is only permitted to those approved by the account administrator or in confirmation with a submitted resolution of authorized signers

SCHEDULE B: LICENSING FEE(S)

Applicable licensing fees will apply

ALL FEES ARE PAYABLE WITHIN 30 DAYS OF INVOICE AND ARE NON REFUNDABLE;

eConnectDirect® Account Access (Including Securities): \$ 0.00 per year

eConnectDirect® CD Listing Services \$ N/A per year

Special Notes / Instructions:



**Institutional Suitability Certificate
FINRA RULE 2111
Affirmative Indication by Institution – Exercising Independent Judgment**

In connection with any recommended transaction or investment strategy by a registered broker-dealer, the undersigned acknowledges on behalf of the Institution named below that:

1. *It is an “Institutional Account” as defined in FINRA Rule 4512(c);*

PLEASE CHECK THE APPROPRIATE BOX BELOW

- ☐ (1) a Credit Union with total assets of \$50 Million or more (or any other entity with total assets in excess of \$50 Million). If a Credit Union (or any other entity) has total assets LESS THAN \$50 Million, check Box 4, and provide your most recent Investment Policy;
- ☐ (2) a bank, savings and loan association, insurance company or registered investment company;
- ☐ (3) an investment adviser registered either with the SEC under Section 203 of the Investment Advisers Act or with a state securities commission (or any agency or office performing like functions);
- ☒ (4) MBS will determine suitability (Please provide your most recent Investment Policy)

2. *It (1) is capable of evaluating investment risks independently, both in general and with regard to all transactions and investment strategies involving a security or securities; and (2) will exercise independent judgment in evaluating the recommendations of any broker-dealer or its associated persons, unless it has otherwise notified the broker-dealer in writing;*

3. *MBS will be notified by the Institutional Account if anything in this Certificate ceases to be true;*

4. *He or she is authorized to sign on behalf of the Institutional Account named below.*

By signing this Certificate, the undersigned affirms that the above statements are accurate but does not waive any rights afforded under U.S. federal or state securities laws, including without limitation, any rights under Section 10(b) of the Securities Exchange Act of 1934, as amended, and the rules and regulations promulgated thereunder.

This Certificate shall apply with respect to all recommended transactions and investment strategies involving securities that are entered into by the “Institutional Account” named in this Certificate, whether for the account(s) of such Institutional Account or for the account of any beneficial owner that has delegated decision making authority to such Institutional Account.

City of Franklin

Institutional Account Name

Signature of Authorized Signatory

City Hall - 109 3rd Ave. South (PO Box 305)

Address

Russ Truell

Name of Authorized Signatory

Franklin, TN 37065

City, State, ZIP

Finance Director

Title of Authorized Signatory

62-6000290

U.S. Tax ID / EIN If applicable

Date

russ.truell@franklintn.gov

Email Address

(615) 791-1457

Phone

ADDRESS 1000 Town Center, Suite 2300
Southfield, Michigan 48075

PHONES 800.967.9045
248.291.1100

FAX 248.291.1101

2400 East Commercial Boulevard, Suite 812
Ft. Lauderdale, Florida 33308

800.967.9045
954.351.6930
954.351.9197

Member of FINRA & SIPC; MSRB
A Veteran-Owned Business

**CITY OF FRANKLIN, TENNESSEE
PROFESSIONAL SERVICES AGREEMENT
COF Contract No. 2016-0322**

THIS PROFESSIONAL SERVICES AGREEMENT ("Agreement") is by and between the City of Franklin, Tennessee, hereinafter referenced as City, and **THE PFM GROUP**, hereinafter referenced as Consultant, who mutually agree as follows:

DECLARATIONS. City desires to retain Consultant to provide engineering, related technical, and other services in connection with City's project hereinafter referenced as Project. The Project is described as follows:

Consultant shall act as financial advisor to the City and will provide, upon request of the City, services related to financial planning, budget, strategic advice and planning, policy development and services related to debt issuance ("Project")

1. **SCOPE OF SERVICES.** Consultant shall provide preliminary engineering and related technical services for the Project in accordance with the SCOPE OF SERVICES. The SCOPE OF SERVICES as found in Attachment A which shall be considered as an integral part hereof.
2. Consultant shall be reimbursed based on the FEE SCHEDULE for the Project based on the detailed Attachment A. The FEE SCHEDULE shall be considered as an integral part hereof.
3. In event of a conflict between this Agreement and the attached document(s), this Agreement shall supersede conflicting terms and conditions.

The Board of Mayor and Aldermen Approved this Agreement on the _____ day of _____ 2016.

TERMS AND CONDITIONS FOR PROFESSIONAL SERVICES

ARTICLE 1. SERVICES. Consultant will:

- 1.1 Act for City in a professional manner, using that degree of care and skill ordinarily exercised by and consistent with standards of competent consultants using the standards in the industry:
- 1.2 Consider all reports to be confidential and distribute copies of the same only to those persons specifically designated by the City.
- 1.3 Perform all services under the general direction of a senior professional employee, licensed and/or registered in the State of Tennessee, when appropriate.
- 1.4 Designate, in writing, the sole Project representative to coordinate with City the Services to be provided, including all contact information.
- 1.5 Unless provided for in the Project Scope of Services (Attachment A), Consultant shall perform all Services with his own forces (employees). Should sub-consultants be proposed to be used in the Project, a listing of said sub-consultants with Services to be performed shall be provided. After approval of this Agreement, no substitute for sub-consultants shall be allowed unless approved by City.
- 1.6 Retain pertinent records relating to the services performed for a period of seven (7) years following the completion of the work; during this period the records shall be available for review by City at all reasonable times.

ARTICLE 2. CITY'S RESPONSIBILITIES. City, or its authorized representative, will:

- 2.1 Provide Consultant with all information regarding the Project, which is available to, or reasonably obtainable by, the City.
- 2.2 Furnish right-of-entry onto the Project site for Consultant's necessary field studies and surveys. Consultant will endeavor to restore the site to its original condition and shall remain solely liable for all damages, costs and expenses, including reasonable attorneys' fees, for failure to make such restoration.
- 2.3 Designate, in writing, the sole Project representative to coordinate with and direct the Consultant, including all contact information.
- 2.4 Guarantee to Consultant that it has the legal capacity to enter into this contract and that sufficient monies are available to fund Consultant's compensation.

ARTICLE 3. GENERAL CONDITIONS.

- 3.1 Consultant, by the performance of services covered hereunder, does not in any way assume, abridge or abrogate any of those duties, responsibilities or authorities customarily vested in other professionals or agencies participating in the Project.

- 3.2 Consultant shall be responsible for the acts or omissions of any party involved in concurrent or subsequent phases of the Project acting upon written instruction issued by the Consultant.
- 3.3 Neither City nor Consultant may assign or transfer its duties or interest in this Agreement without written consent of the other party.
- 3.4 **ALLOCATION OF RISK AND LIABILITY; GENERAL.** Considering the potential liabilities that may exist during the performance of the services of this Agreement, the relative benefits and risks of the Project, and the Consultant's fee for the services rendered, and in consideration of the promises contained in this Agreement, the City and the Consultant agree to allocate and limit such liabilities in accordance with this Article.
- 3.5 **INDEMNIFICATION.** Consultant agrees to indemnify and hold City harmless from and against legal liability for all judgments, losses, damages, and expenses to the extent such judgments, losses, damages, or expenses are caused by Consultant's negligent act, error or omission in the performance of the services of this Agreement. In the event judgments, losses, damages, or expenses are caused by the joint or concurrent negligence of Consultant and City, they shall be borne by each party in proportion to its own negligence.
- 3.5.1 **SURVIVAL.** The terms and conditions of this paragraph shall survive completion of this services agreement.
- 3.6 **LIMITATIONS OF RESPONSIBILITY.** Consultant shall not be responsible for (a) construction means, methods, techniques, sequences, procedures, or safety precautions and programs in connection with the Project unless specifically undertaken in Attachment A, Scope of Services ; (b) the failure of any contractor, subcontractor, Consultant, or other Project participant, not under contract to Consultant, to fulfill contractual responsibilities to City or to comply with federal, state, or local laws, regulations, and codes; or (c) procuring permits, certificates, and licenses required for any construction unless such procurement responsibilities are specifically assigned to Consultant in Attachment A, Scope of Services.

ARTICLE 4. TERMINATION BY THE CITY. The City may terminate this Agreement in accordance with the following terms and conditions:

- 4.1 **Termination for Convenience.** The City may, when in the interests of the City, terminate performance under this Agreement with the Consultant, in whole or in part, for the convenience of the City. The City shall give written notice of such termination to the Consultant specifying when termination becomes effective. The Consultant shall incur no further obligations in connection with the work so terminated, other than warranties and guarantees for completed work and installed equipment, and the Consultant shall stop work when such

termination becomes effective. The Consultant shall also terminate outstanding orders and subcontracts for the affected work. The Consultant shall settle the liabilities and claims arising out of the termination of subcontracts and orders. The City may direct the Consultant to assign the Consultant's right, title and interest under termination orders or subcontracts to the City or its designee. The Consultant shall transfer title and deliver to the City such completed or partially completed work and materials, equipment, parts, fixtures, information and Contract rights as the Consultant has in its possession or control. When terminated for convenience, the Consultant shall be compensated as follows:

- (1) The Consultant shall submit a termination claim to the City specifying the amounts due because of the termination for convenience together with costs, pricing or other data required by the City. If the Consultant fails to file a termination claim within one (1) year from the effective date of termination, the City shall pay the Consultant the amount the City deems the Consultant is due.
- (2) The City and the Consultant may agree to the compensation, if any, due to the Consultant hereunder.
- (3) Absent agreement to the amount due to the Consultant, the City shall pay the Consultant the following amounts:
 - (a) Contract costs for labor, materials, equipment and other services accepted under this Agreement;
 - (b) Reasonable costs incurred in preparing to perform and in performing the terminated portion of the work, and in terminating the Consultant's performance, plus a fair and reasonable allowance for direct job site overhead and earned profit thereon (such profit shall not include anticipated profit or consequential damages); provided however, that if it reasonably appears that the Consultant would have not profited or would have sustained a loss if the entire Agreement would have been completed, no profit shall be allowed or included and the amount of compensation shall be reduced to reflect the anticipated rate of loss, if any;

The total sum to be paid the Consultant under this Section shall not exceed the total Agreement Price, as properly adjusted, reduced by the amount of payments otherwise made, and shall in no event include duplication of payment.

- 4.2 Termination for Cause. If the Consultant does not perform the work, or any part thereof, in a timely manner, supply adequate labor, supervisory personnel or proper equipment or materials, or if it fails to timely discharge its obligations for labor, equipment and materials, or proceeds to disobey applicable law, or otherwise commits a violation of a material provision of this Agreement, then the City, in addition to any other rights it may have against the Consultant or others, may terminate the performance of the Consultant, in whole or in part at the City's sole option, and assume possession of the Project Plans and materials and may complete the work.

In such case, the Consultant shall not be paid further until the work is complete. After Completion has been achieved, if any portion of the Contract Price, as it may be modified hereunder, remains after the cost to the City of completing the work, including all costs and expenses of every nature incurred, has been deducted by the City, such remainder shall belong to the Consultant. Otherwise, the Consultant shall pay and make whole the City for such cost. This obligation for payment shall survive the termination of the Agreement.

In the event the employment of the Consultant is terminated by the City for cause pursuant to this Section and it is subsequently determined by a Court of competent jurisdiction that such termination was without cause, such termination shall thereupon be deemed a Termination for Convenience under this Section and the provisions of Section 4.1 shall apply.

- 4.3 Termination for Non-Appropriation. The City may also terminate this Agreement, in whole or in part, for non-appropriation of sufficient funds to complete or partially complete the Project, regardless of the source of such funds, and such termination shall be on the terms of Section 4.1.
- 4.4 The City's rights under this Section shall be in addition to those contained elsewhere herein or provided by law.

ARTICLE 5. SCOPE OF SERVICES. Consultant shall provide the Services as described in Attachment A, Scope of Services.

5.1 By mutual agreement, this Agreement and scope can be amended by the parties. The scope and fee for any additional tasks or services under such amendment shall be mutually negotiated and agreed to in writing prior to beginning such additional tasks or services.

5.2 **ENVIRONMENTAL RESPONSIBILITY.**

Where drilling/sampling services are involved, the samples obtained from the Project site are the property of the City. Should any of these samples be recognized by the Consultant to be contaminated, the City shall remove them from the Consultant's custody and transport them to a disposal site, all in accordance with applicable government statutes, ordinances, and regulations. For all other samples, the Consultant shall retain them for a sixty (60)-day period following the submission of the drilling/sampling report unless the City directs otherwise; thereafter, the Consultant shall discard the samples in accordance with all federal, state and local laws.

ARTICLE 6. SCHEDULE.

6.1 **TIME OF THE ESSENCE.** The parties agree that time is of the essence with respect to the parties' performance of all provisions of the Agreement.

6.2 Before executing this Agreement, the Consultant shall have prepared and submitted for approval to the City a Completion Schedule for the Project with milestones for the various stages (tasks) of the Services as outlined in the Scope of Services. The Consultant shall submit and obtain the City's approval for any proposed changes to the logic, durations, sequences, or timing of tasks as approved in the Completion Schedule.

6.3 **FORCE MAJEURE.** Neither party will be liable to the other for any delay or failure to perform any of the services or obligations set forth in this Agreement due to causes beyond its reasonable control, and performance times will be considered extended for a period of time equivalent to the time lost because of such delay plus a reasonable period of time to allow the parties to recommence performance of their respective obligations hereunder. Should a circumstance of force majeure last more than ninety (90) days, either party may by written notice to the other terminate this Agreement. The term "force majeure" as used herein shall mean the following: acts of God; strikes, lockouts or other industrial disturbances; acts of public enemies; orders or restraints of any kind of the government of the United States or of the State or any of their departments, agencies or officials, or any civil or military authority; insurrections, riots, landslides, earthquakes, fires, storms, tornadoes, droughts, floods, explosions, breakage or accident to

machinery, transmission pipes or canals; or any other cause or event not reasonably within the control of either party.

- 6.4 Should City request changes in the scope, extent, or character of the Project, the fee and the time of performance of Consultant's Services as indicated in Attachment A shall be adjusted equitably.

ARTICLE 7. USE OF DOCUMENTS, DATA.

- 7.1 All Documents, including, but not limited to, reports, drawings, specifications, and computer software prepared by Consultant pursuant to this Agreement are instruments of service in respect to the Project. Consultant shall retain an ownership and property interest therein (including the right of reuse at the discretion of the Consultant) whether or not the Project is completed.

7.1.1 **USE OF DATA SYSTEMS:** Ownership, property interests and proprietary rights in data systems used by Consultant do not extend to the data created by or supplied to Consultant by the City; all rights to that data (including derivative or hidden data such as metadata) shall vest solely in City at the moment of creation.

7.1.2 **DISCLOSURE OF DOCUMENTS/DATA.** City may be required to disclose documents or data under state or federal law. City shall notify Consultant if a request for data or documents has been made and shall give Consultant a reasonable opportunity under the circumstances to respond to the request by redacting proprietary or other confidential information. Consultant waives any right to confidentiality of any document, e-mail or file it fails to clearly mark on each page as confidential or proprietary. In exchange, Consultant agrees to indemnify, defend, and hold harmless City for any claims by third parties relating thereto or arising out of (i) the City's failure to disclose such documents or information required to be disclosed by law, or (ii) the City's release of documents as a result of City's reliance upon Consultant representation that materials supplied by Consultant (in full or redacted form) do not contain trade secrets or proprietary information, provided that the City impleads Consultant and Consultant assumes control over that claim.

- 7.2 By execution of this Agreement, Consultant and his sub-consultant(s) grant the City a royalty-free, perpetual, irrevocable, and assignable license to use any and all intellectual property interest Consultant or his sub-consultant(s) possess to any drawings, details, specifications, documents, and other information created before each of their first involvement with the Project and subsequently incorporated into the Project's documents. City-furnished data that may be relied upon by Consultant is limited to the printed copies that are delivered to the

- Consultant pursuant to Article 2 of this Agreement. Any copyrighted electronic files furnished by City shall be used by Consultant only for the Project as described herein. City's posting or publication of such documents created by Consultant for City shall constitute fair use and shall not constitute an infringement of Consultant's copyright, if any.
- 7.3 Documents that may be relied upon by City are limited to the printed copies (also known as hard copies) that are signed or sealed by the Consultant. Files in electronic media format of text, data, graphics, or of other types that are furnished by Consultant to City are only for convenience of City, unless the delivery of the Project in electronic media format has been dictated in Attachment A, Scope of Services. Any conclusion or information obtained or derived from electronic files provided for convenience will be at the user's sole risk.
- 7.4 Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within sixty (60) days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any errors detected within the 60-day acceptance period will be corrected by the party delivering the electronic files. Unless stated otherwise herein, Consultant shall not be responsible to maintain documents stored in electronic media format after acceptance by City.
- 7.5 When transferring documents in electronic media format, Consultant makes no representations as to long term compatibility, usability, or readability, of documents resulting from the use of software application packages, operating systems, or computer hardware differing from that as required of, and used by, Consultant at the beginning of this Project.
- 7.6 City may make and retain copies of Documents for information and reference in connection with use on the Project by the City, or his authorized representative. Such Documents are not intended or represented to be suitable for reuse by City or others on extensions of the Project or on any other project. Any such reuse or modifications without written verification or adaptation by Consultant, as appropriate for the specific purpose intended, will be at City's sole risk and without liability or legal exposure to the Consultant or to Consultant's sub-consultants.
- 7.7 If there is a discrepancy between the electronic files and the hard copies, the hard copies govern.
- 7.8 Any verification or adaptation of the Documents for extensions of the Project or for any other project will entitle Consultant to further compensation at rates to be agreed upon by City and Consultant.

ARTICLE 8. INSURANCE.

- 8.1 During the performance of the Services under this Agreement, Consultant shall maintain the following minimum insurance:
- a) General Liability Insurance with a combined single limit of \$1,000,000 per occurrence and \$2,000,000 annual aggregate.
 - b) Automobile Liability Insurance with a combined single limit of \$1,000,000 for each person and \$1,000,000 for each accident.
 - c) Workers' Compensation Insurance Coverage A in accordance with statutory requirements and Coverage B, Employer's Liability Insurance, with a limit of \$500,000 for each occurrence.
 - d) Professional Liability Insurance with a limit of \$1,000,000 annual aggregate.
- 8.2 Consultant shall add the City an additional insured on all policies unless otherwise prohibited.
- 8.3 Consultant shall, upon execution of this Agreement, furnish City certificates of insurance, which shall include a provision that such insurance shall not be canceled without at least thirty (30) days' written notice to City.
- 8.4 No insurance, of whatever kind or type is to be considered as in any way limiting other parties' responsibility for damages resulting from their activities in the execution of the Project. City agrees to include, or cause to be included, in the Project's construction contract, such requirements for insurance coverage and performance bonds by the Project's construction contractor as City deems adequate to indemnify City, Consultant, and other concerned parties against claims for damages and to insure compliance of work performance and materials with Project requirements.

ARTICLE 9. PAYMENT.

- 9.1 City will pay Consultant for services and expenses in accordance with the Fee Schedule proposal submitted for the Project as part of the Scope of Services. Consultant's invoices will be presented at the completion of the work or monthly and will be payable upon receipt. Payment is due upon presentation of invoice and is past due thirty (30) days from invoice date. City shall give prompt written notice of any disputed amount and shall pay the remaining amount.
- 9.2 Consultant shall be paid in full for all services under this Agreement, including City authorized overruns of the Project budget or unforeseen need for Consultant's services exceeding the original Scope of Services.
- 9.3 **TRAVEL; EXPENSES**
City shall reimburse reasonable expenses, including travel and meals, when specified in the Scope of Services, but only in accordance with the City's Travel and Expense Policy and Procedures Manual. The maximum amount will be applied as of the date of travel and as listed

in the per diem reimbursement rates on the “CONUS” website developed by the United States General Services Administration, located at www.gsa.gov [click on ‘per diem rates’ under the ‘etools’ category].

ARTICLE 10. MISCELLANEOUS PROVISIONS

- 10.1 **EQUAL EMPLOYMENT OPPORTUNITY.** In connection with this Agreement and the Project, City and Consultant shall not discriminate against any employee or applicant for employment because of race, color, sex, national origin, disability or marital status. City and Consultant will take affirmative action to ensure that the contractor used for the Project does not discriminate against any employee and employees are treated during employment without regard to their race, age, religion, color, gender, national origin, disability or marital status. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination, rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- 10.1.1 Consultant shall insert the foregoing provision in all contracts relating to this Project.
- 10.2 **TITLE VI – CIVIL RIGHTS ACT OF 1964.** City and Consultant shall comply with all the requirements imposed by Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), 49 C.F.R., Part 21, and related statutes and regulations.
- 10.2.1 Consultant shall insert the foregoing provision in all contracts relating to this Project.
- 10.3 **NO THIRD PARTY RIGHTS CREATED.** City and Consultant each binds itself and its successors, executors, administrators, permitted assigns, legal representatives and, in the case of a partnership, its partners, to the other party to this Agreement and to their successors, executors, administrators, permitted assigns, legal representatives and partners of such other party in respect to all provisions of this Agreement. The Services provided for in this Agreement are for the sole use and benefit of City and Consultant. Nothing in this Agreement shall be construed to give any rights or benefits to anyone other than City and Consultant.
- 10.4 **WARRANTIES/LIMITATION OF LIABILITY/WAIVER.** City reserves all rights afforded to local governments under law for all general and implied warranties. City does not waive any rights it may have to all remedies provided by law and therefore any attempt by Consultant to limit its liability shall be void and unenforceable.

ARTICLE 11. EXTENT OF AGREEMENT:

- 11.1 **APPLICABLE LAW/CHOICE OF FORUM AND VENUE.** This Agreement is made under and will be construed in accordance with the laws of the State of Tennessee without giving effect to that state's choice of law rules. The parties' choice of forum and venue shall be exclusively in the courts of Williamson County, Tennessee. Any provision of this Agreement held to violate a law or regulation shall be deemed void, and all remaining provisions shall continue in force.
- 11.2 **ENTIRE AGREEMENT.** This Agreement, including these terms and conditions, represent the entire Agreement between City and Consultant for this Project and supersedes all prior negotiations, representations or agreements, written or oral. This Agreement may be amended only by written instrument signed by City and Consultant.

ARTICLE 12. DISPUTE RESOLUTION, BREACH.

- 12.1 If a dispute should arise relating to the performance of or payment for the Services under this Agreement, the aggrieved party shall notify the other party of the dispute within a reasonable time after such dispute arises. During the pendency of any dispute, the parties shall continue diligently to fulfill their respective obligations hereunder. No arbitration or mediation shall be required as a condition precedent to filing any legal claim arising out of or relating to this Agreement. No arbitration or mediation shall be binding.
- 12.2 **BREACH.** Upon deliberate breach of the Agreement by either party, the non-breaching party shall be entitled to terminate the Agreement with notice, with all of the remedies it would have in the event of termination, and may also have such other remedies as it may be entitled to in law or in equity.

ARTICLE 13. SURVIVAL.

The provisions contained in this Professional Services Agreement shall survive the completion of or any termination of the Agreement, contract or other document to which it may accompany or incorporate by reference or which subsequently may be modified, unless expressly excepted from this Article upon consent of both parties.

BY: _____
Consultant's Signature
TITLE: _____
Date: _____

BY: _____
Dr. Ken Moore
Mayor
Date: _____

Approved as to Form By:

Shauna R. Billingsley, City Attorney



Attachment A

Suite 160
530 Oak Court Drive
Memphis, TN 38117
901.682.8356

October 19, 2016

Mr. Russell Truell
City of Franklin, TN
109 3rd Avenue South
Franklin, TN 37064

Dear Mr. Truell:

The purpose of this letter (this "Engagement Letter") is to confirm and memorialize our agreement that PFM Financial Advisors, LLC ("PFM") will act as financial advisor to the City of Franklin, TN (the "Client"), pursuant to that certain Resolution of the City of Franklin, TN to be dated October 25, 2016 (the "Authorizing Resolution"). The City may also refer to this Engagement Letter or agreement with PFM as Contract Number 2016-0322. PFM will provide, upon request of the Client, services related to financial planning, budget and strategic advice and planning, policy development and services related to debt issuance, as applicable and set forth in Exhibit A to this Engagement Letter. Most tasks requested by Client will not require all services provided for in Exhibit A and as such the specific scope of services for such task shall be limited to just those services required to complete the task. Any material changes in or additions to the scope of services described in Exhibit A shall be promptly reflected in a written supplement or amendment to this Engagement Letter. Upon request of Client, PFM or an affiliate of PFM may agree to additional services to be provided by PFM or an affiliate of PFM, by a separate agreement between the Client and PFM or its respective affiliate.

MSRB Rule G-42 requires that municipal advisors make written disclosures to its Clients of all material conflicts of interest and certain legal or disciplinary events. Such disclosures are provided in PFM's Disclosure Statement delivered to Client together with this Engagement Letter.

PFM is a registered municipal advisor with the Securities and Exchange Commission (the "SEC") and the Municipal Securities Rulemaking Board (the "MSRB"), pursuant to the Securities Exchange Act of 1934 Rule 15Ba1-2. If Client has designated PFM as its independent registered municipal advisor ("IRMA") for purposes of SEC Rule 15Ba1-1(d)(3)(vi) (the "IRMA exemption"), then services provided pursuant to such designation shall be the services described in Exhibit A hereto, subject to any limitations described thereon. PFM shall not be responsible for, or have any liability in connection with, verifying that PFM is independent from any other party seeking to rely on the IRMA exemption (as such independent status is required pursuant to the IRMA exemption, as interpreted from time to time by the SEC). Client acknowledges and agrees that any reference to PFM, its personnel and its role as IRMA, including in the written representation of Client required under SEC Rule 15Ba1-1(d)(3)(vi)(B) shall be subject to prior approval by PFM. Client further agrees not to represent that PFM is Client's IRMA with respect to any aspect of a municipal securities issuance or municipal financial product, outside of the scope of services without PFM's prior written consent.



For the services described in Exhibit A, PFM's professional fees will be paid as provided in Exhibit B. In addition to fees for services, PFM will be reimbursed for necessary, reasonable, and documented out-of-pocket expenses incurred, including travel, meals, lodging, telephone, mail, and other ordinary cost and any actual extraordinary cost for graphics, printing, data processing and computer time which are incurred by PFM. Upon request of Client, documentation of such expenses will be provided.

This Engagement Letter shall be effective from October 1, 2016 and will extend automatically and shall remain in effect unless canceled in writing by either party upon thirty (30) days written notice to the other party. PFM shall not assign any interest in this Engagement Letter or subcontract any of the work performed under this Engagement Letter without the prior written consent of the Client; provided that upon notice to Client, PFM may assign this Engagement Letter or any interests hereunder to a municipal advisor entity registered with the SEC that directly or indirectly controls, is controlled by, or is under common control with, PFM.

All information, data, reports, and records ("Data") in the possession of the Client or any third party necessary for carrying out any services to be performed under this Engagement Letter shall be furnished to PFM and the Client shall, and shall cause its agent(s) to, cooperate with PFM in its conduct of reasonable due diligence in performing the services. To the extent Client requests that PFM provide advice with regard to any recommendation made by a third party, Client will provide to PFM written direction to do so as well as any Data it has received from such third party relating to its recommendation. Client acknowledges and agrees that while PFM is relying on the Data in connection with its provision of the services under this Engagement Letter, PFM makes no representation with respect to and shall not be responsible for the accuracy or completeness of such Data.

All notices given under this Engagement Letter will be in writing, sent by registered United States mail, with return receipt requested, addressed to the party for whom it is intended, at the addresses on the first page of this Engagement Letter.

All materials, except functioning or dynamic financial models, prepared by PFM pursuant exclusively to this Engagement Letter will be the property of the Client. Subject to the preceding exception, upon termination of this Engagement Letter, PFM will deliver to the Client copies of any and all material pertaining to this Engagement Letter.

The following professional employees of PFM will provide the services set forth in this Engagement Letter: Ricardo Callender, Lisa Daniel, Lauren Lowe, Olivia Menick, Micah Wasserman, Kyle Wright, Nick Yatsula. PFM may, from time to time, supplement or otherwise amend team members. The Client has the right to request, for any reason, PFM to replace any member of the advisory staff. Should the Client make such a request, PFM will promptly suggest a substitute for approval by the Client.

PFM will maintain insurance coverage with policy limits not less than as stated in Exhibit C. Except to the extent caused by willful misconduct, bad faith, gross negligence or reckless



disregard of obligations or duties under this Engagement Letter on the part of PFM or any of its associated persons, neither PFM nor any of its associated persons shall have liability to any person for any act or omission in connection with performance of its services hereunder, or for any error of judgment or mistake of law, or for any loss arising out of any issuance of municipal securities, any municipal financial product or any other financial product or investment, or for any financial or other damages resulting from Client's election to act or not to act, as the case may be, contrary to or, absent negligence on the part of PFM or any of its associated persons, upon any advice or recommendation provided by PFM to Client.

PFM, its employees, officers and representatives at all times will be independent contractors and will not be deemed to be employees, agents, partners, servants and/or joint ventures of Client by virtue of this Engagement Letter or any actions or services rendered under this Engagement Letter.

This Engagement Letter and the Authorizing Document represents the entire agreement between Client and PFM.

Sincerely,
PFM FINANCIAL ADVISORS LLC

A handwritten signature in cursive script that reads 'Lauren S. Lowe'.

Lauren S. Lowe
Managing Director



EXHIBIT A
SCOPE OF SERVICES

1. Services Related to Debt Transactions (Includes short term financings, notes, loans, letters of credit, line of credit and bonds); provided that if the transaction is competitive, the services of the financial advisor will be modified to reflect that process. Upon the request of the Client:

- Analyze financial and economic factors to determine if the issuance of bonds is appropriate.
- Develop a financing plan in concert with Client's staff which would include recommendations as to the timing and number of series of bonds to be issued.
- Assist the Client by recommending the best method of sale, either as a negotiated sale, private placement or a public sale. In a public sale, make recommendation as to the determination of the best bid. In the event of a negotiated sale, assist in the solicitation, review and evaluation of any investment banking proposals, and provide advice and information necessary to aid in such selection.
- Advise as to the various financing alternatives available to the Client.
- Develop alternatives related to debt transaction including evaluation of revenues available, maturity schedule and cash flow requirements.
- Evaluate benefits of bond insurance and/or security insurance for debt reserve fund.
- If appropriate, develop credit rating presentation and coordinate with the Client the overall presentation to rating agencies.
- Review underwriter's proposals and submit a written analysis of same to the Client.
- Assist the Client in the procurement of other services relating to debt issuance such as printing, paying agent, registrar, etc.
- Identify key bond covenant features and advise as to the financial consequences of provisions to be included in bond indentures, resolutions or other governing documents regarding security, creation of reserve funds, flow of funds, redemption provisions, additional parity debt tests, etc.; review and comment on successive drafts of bond governing documents.
- Review the requirements and submit analysis to bond insurers, rating agencies and other professionals as they pertain to the Client's obligation.



- Review the terms, conditions and structure of any proposed debt offering undertaken by the Client and provide suggestions, modifications and enhancements where appropriate and necessary to reflect the constraints or current financial policy and fiscal capability.
- Coordinate with Client's staff and other advisors as respects the furnishing of data for offering documents, it being specifically understood that PFM is not responsible for the inclusion or omission of any material in published offering documents.
- As applicable, advise the Client on the condition of the bond market at the time of sale, including volume, timing considerations, competing offerings, and general economic considerations.
- Assist and advise the Client in negotiations with investment banking groups regarding fees, pricing of the bonds and final terms of any security offering, and make recommendations regarding a proposed offering to obtain the most favorable financial terms based on existing market conditions.
- Arrange for the closing of the transaction including, but not limited, to bond printing, signing and final delivery of the bonds.



EXHIBIT B
COMPENSATION FOR SERVICES

1. Fixed Rate Transaction Fees (Competitive and Negotiated)

The compensation for this transaction will be a flat fee of \$35,000.

2. Non-Transaction Related Compensation

PFM's Non-Transaction related compensation will be based on PFM's Contract Number 2010-0136 Amendment One with the Client.

3. Expenses

Out-of-pocket expenses includes expenses incurred, including travel, meals, lodging, telephone, mail, and other ordinary cost and any actual extraordinary cost for graphics, printing which are incurred by PFM. Appropriate documentation will be provided to the Client.



EXHIBIT C
INSURANCE

PFM Financial Advisors LLC (“PFMFA”) has a complete insurance program, including property, casualty, comprehensive general liability, automobile liability and workers compensation. PFMFA maintains professional liability and fidelity bond coverages which total \$25 million and \$10 million, respectively. PFMFA also carries a \$10 million cyber liability policy.

Our Professional Liability policy is a “claims made” policy and our General Liability policy claims would be made by occurrence.

Deductibles/SIR:

Automobile \$250 comprehensive & \$500 collision
Cyber Liability \$50,000
General Liability \$0
Professional Liability (E&O) \$1,000,000
Financial Institution Bond \$75,000

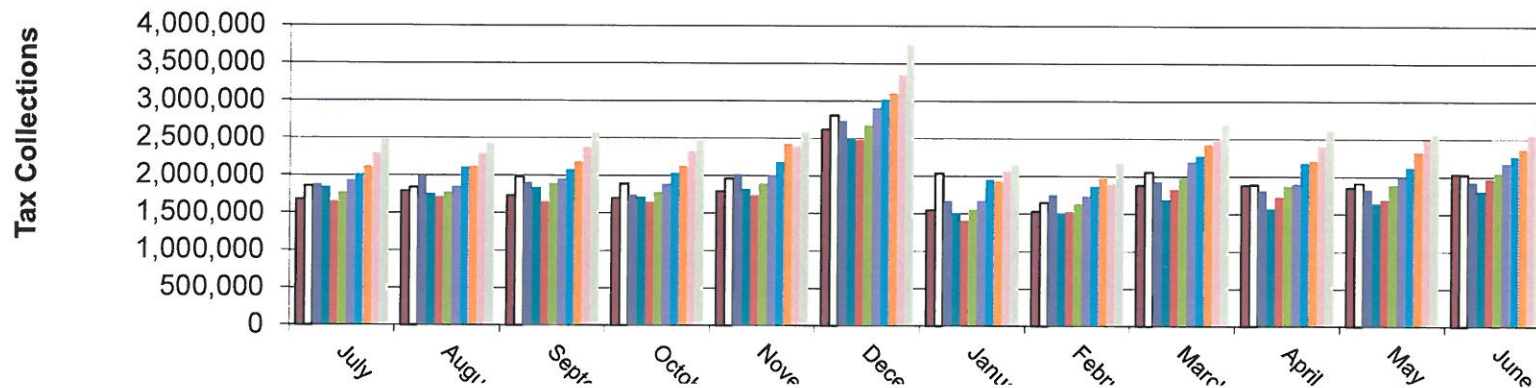
Insurance Company & AM Best Rating

Professional Liability (E&O)	Indian Harbor Insurance Company; and Continental Casualty Company; (both are A)
Financial Institution Bond	Federal Insurance Company; (A++)
Cyber Liability	Indian Harbor Insurance Company (A)
General Liability	Great Northern Ins. Company; (A++)
Automobile Liability	Federal Insurance Company
Excess /Umbrella Liability	Federal Insurance Company
Workers Compensation & Employers Liability	Pacific Indemnity Company; (A++)

Local Sales Tax Revenue Comparison

Month	FY06	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	% over prior yr	\$ over prior yr
July	1,676,862	1,857,805	1,876,318	1,842,160	1,646,449	1,769,523	1,925,395	2,003,719	2,113,374	2,288,457	2,477,647	8.3%	189,190
August	1,788,477	1,837,563	1,985,123	1,747,507	1,711,487	1,774,021	1,843,928	2,101,518	2,115,836	2,296,081	2,420,111	5.4%	124,030
September	1,724,983	1,977,557	1,900,808	1,834,296	1,645,241	1,888,809	1,946,970	2,065,402	2,178,174	2,374,572	2,571,550	8.3%	196,978
October	1,695,501	1,887,613	1,734,361	1,707,123	1,639,767	1,767,404	1,881,099	2,026,865	2,117,978	2,327,025	2,485,462	6.8%	158,437
November	1,787,276	1,960,370	2,018,105	1,816,125	1,736,146	1,892,149	1,998,723	2,176,371	2,419,578	2,399,941	2,579,786	7.5%	179,845
December	2,617,849	2,806,905	2,730,286	2,491,017	2,479,640	2,670,491	2,902,675	3,012,759	3,097,595	3,345,841	3,752,983	12.2%	407,142
January	1,544,557	2,034,121	1,664,281	1,502,087	1,401,398	1,552,324	1,664,281	1,948,752	1,926,687	2,079,073	2,151,378	3.5%	72,305
February	1,526,301	1,649,397	1,752,131	1,507,868	1,521,898	1,628,745	1,729,002	1,856,748	1,973,541	1,900,629	2,181,227	14.8%	280,598
March	1,880,654	2,054,793	1,925,296	1,688,794	1,819,095	1,979,080	2,191,405	2,265,006	2,421,918	2,479,918	2,689,471	8.5%	209,553
April	1,883,777	1,885,024	1,812,244	1,572,824	1,719,674	1,866,180	1,897,741	2,168,372	2,201,566	2,410,499	2,611,014	8.3%	200,515
May	1,846,229	1,909,074	1,824,127	1,642,271	1,686,756	1,884,275	1,989,477	2,109,923	2,323,975	2,489,724	2,559,116	2.8%	69,392
June	2,033,237	2,025,044	1,926,353	1,800,044	1,961,270	2,047,664	2,168,095	2,260,297	2,364,520	2,552,236	2,829,620	10.9%	277,383
Total Budgeted	22,005,703	23,885,264	23,149,433	21,152,117	20,968,821	22,720,667	24,138,792	25,995,732	27,254,742	28,943,994	31,309,365	28,943,994	2,365,370
	Up 11.2%	Up 8.5%	Down -3.1%	Down -8.6%	Down -0.9%	year to date 8.4%	year to date 6.2%	year to date 7.7%	year to date 4.8%	year to date 6.2%	year to date 8.2%	last yr YTD 28,943,994	YTD difference

Local Sales Taxes





MEMORANDUM

MEMORANDUM

August 15, 2016

TO: Eric Stuckey, City Administrator

FROM: Russ Truell, ACA/CFO

RE: Local Sales Tax Report

The local sales tax remittance from the State of Tennessee for August was \$2,829,620 compared to \$2,552,236 for the same month in 2015, an increase of \$277,383 or 10.9%. [The August remittance is for sales tax collected during the month of June, representing the final month of the fiscal year on an accrual basis.] During the same period, the State of Tennessee sales tax collections were up 5.9% from the prior year.

Year-to-date, the City has received \$31.3 million compared to \$28.9 million in the previous year, a difference of \$2,365,370 or 8.2%. The State of Tennessee sales tax collections, year-to-date, are \$8.27 billion compared to \$7.72 billion in the prior year, a difference of \$545 million or 7.1%.

For budget comparisons, the City originally anticipated collections of \$30.7 million through twelve months of the fiscal year and \$30.9 million after a mid-year budget amendment. Through the month of June, the City is \$344,312, or 1.2%, above budgeted collections. As a further comparison, the June collection of \$2.82 million compares to \$2.04 million in 2010, \$2.16 million in 2011, \$2.26 million in 2012, \$2.36 million in 2013 and \$2.55 million in 2014.

The local sales tax collections have increased year-over-year in 74 of the last 77 months reported.



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TENNESSEE DEPARTMENT OF REVENUE

COUNTY EXECUTIVE/MAYOR
WILLIAMSON COUNTY
1320 W MAIN ST STE 125
FRANKLIN TN 37064-3700

August 7, 2016

Month of: JULY
Tot. Collections: \$9,854,414.85
Cost of Admin: \$110,862.16
Net Collections: \$9,743,552.69

The Department of Revenue has collected and allocated the above total during the month indicated from Local Option Sales Tax as follows:

COUNTY/CITY NAME	TOTAL COLLECTIONS	LESS ADMIN. COSTS	NET COLLECTIONS
WILLIAMSON COUNTY	\$377,203.78	\$4,243.54	\$372,960.24
FRANKLIN	\$5,781,444.70	\$65,041.25	\$5,716,403.45
FAIRVIEW	\$230,797.06	\$2,596.47	\$228,200.59
BRENTWOOD	\$2,803,993.60	\$31,544.93	\$2,772,448.67
SPRING HILL	\$426,467.90	\$4,797.76	\$421,670.14
THOMPSON STATION	\$143,194.57	\$1,610.94	\$141,583.63
NOLENSVILLE	\$91,313.24	\$1,027.27	\$90,285.97

Note that we have deducted 1.125% state cost of administration leaving the net collections. The Department of Finance and Administration has been notified to issue a payment to the Trustee of your county in the amount of the net collections.

Please be aware that normal Local Option Sales Tax collections may fluctuate. This could be due to additional collections on assessments or reductions as a result of taxpayer refunds or returned checks. Should your collection amount increase significantly, it might be the result of an audit assessment.

For additional information regarding the allocation you may call the Division of Fiscal Services at (615) 532-8944 between 8:00 a.m. and 4:30 p.m., Monday through Friday, holidays excepted.

5,716,403.45

*2

2,858,201.73

x.99

2,829,619.71



FOR IMMEDIATE RELEASE
Wednesday, August 10, 2016

CONTACT: Lola Potter
OFFICE: 615-532-8560

JULY REVENUES

NASHVILLE, Tenn. – Total Tennessee tax revenues for July were slightly more than the budgeted expectation. Finance and Administration Commissioner Larry Martin reported today that July, which ended the accrual fiscal year, recorded a net positive growth of 0.74%, compared to July of 2015. Overall, July revenues were \$1.0 billion, which is \$13.8 million more than the state budgeted.

"July revenue results were somewhat mixed," Martin said. "With Sales taxes, we had stronger than anticipated growth but corporate and business taxes experienced negative growth. Also, all other taxes, taken as a group, were marginally below July 2015."

"Despite the mixed results in July, the year-to-date growth rate for all taxes ended the year well above last year's revenue performance. It is important to note that despite the underperformance with corporate business taxes in July, year to date these taxes have a strong positive growth."

On an accrual basis, July is the twelfth month in the 2015-2016 fiscal year.

General fund revenues exceeded the budgeted estimate in the amount of \$11.3 million. The four other funds that share in state tax revenues were also in excess of budgeted expectations by \$2.5 million.

Sales tax revenues were \$29.6 million more than the estimate for July. The July growth rate was positive 5.96%. For 12 months, August through July, revenues are over budget by \$375.7 million. The year-to-date growth rate for the 12-month period was positive 7.07%.

Franchise and excise taxes combined were \$22.1 million less than the budgeted estimate of \$78.4 million. The growth rate for July was negative 30.06%. For 12 months revenues are \$349.9 million more than the budget estimate. The year-to-date growth rate was positive 3.82%; however, after factoring out the one-time tax payments received last year, the effective growth rate for twelve months is approximately 11.41%.

Privilege tax revenues were \$4.8 million more than the July estimate. For 12 months revenues are \$39.6 million more than the budgeted estimate.

Business tax revenues were \$0.1 million less than the July estimate. Year-to-date revenues for 12 months are \$11.5 million more than the budgeted estimate.

- MORE -

Inheritance and estate tax revenues were \$2.4 million less than the July estimate. For 12 months revenues are \$16.6 million more than the budgeted estimate.

Hall income tax revenues for July were \$0.4 million less than the budgeted estimate. For 12 months revenues are \$55.5 million more than the budgeted estimate.

Tobacco tax revenues were \$0.4 million less than the budgeted estimate of \$23.2 million. For 12 months revenues are \$16.1 million in excess of the year-to-date estimate.

Gasoline and motor fuel revenues for July exceed estimates by \$4.9 million. For 12 months revenues exceeded estimates by \$40.1 million.

All other taxes for July were less than the budgeted estimates by a net of \$0.1 million.

Year-to-date revenues for 12 months were \$925.0 million more than the budgeted estimate. The general fund recorded revenues in the amount of \$852.4 million more than the budgeted estimate, and the four other funds \$72.6 million more than the budgeted estimate.

The budgeted revenue estimates for 2015-2016 are based on the State Funding Board's consensus recommendation of December 16, 2014 and adopted by the first session of the 109th General Assembly in April 2015. Also incorporated in the estimates are any changes in revenue enacted during the 2015 session of the General Assembly. These estimates are available on the state's website at <http://www.tn.gov/finance/article/fa-budget-rev>.

On November 13, 2015, the Funding Board met to hear updated revenue projections from the state's various economists. Meeting again on November 23, 2015, the board adopted revised recurring revenue growth ranges for the 2015-2016 fiscal year. The current fiscal year's revised ranges recognize growth in total taxes from a low of 2.80% to a high of 3.30%, and a general fund growth from a low of 2.90% to a high of 3.40%.

On April 14, 2016, in the second session of the 109th General Assembly, the legislature passed the 2016-2017 budget, which included the Funding Board's current year revised ranges. The governor signed the budget bill on April 21, 2016.

With passage of the appropriations act, Public Chapter 758, the General Assembly recognized an additional \$376.1 million in total tax revenue and a corresponding increase in general fund revenues in the amount of \$355.0 million for the current fiscal year.

Year-to-date revenues for fiscal year 2015-2016 are subject to final accrual adjustments.

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Table 1
Revenue Collections by Fund
July
2015-2016

Fund	2016				2015 Actual	2016	
	Actual	Budgeted	B/(W)	Percent		B/(W)	Percent
General Fund	\$851,366,000	\$840,047,000	\$11,319,000	1.35%	\$849,579,000	\$1,787,000	0.21%
Highway Fund	62,159,000	63,645,000	(1,486,000)	-2.33%	62,613,000	(454,000)	-0.73%
Sinking Fund	34,818,000	34,492,000	326,000	0.95%	31,769,000	3,049,000	9.60%
City & County Fund	77,675,000	74,004,000	3,671,000	4.96%	74,446,000	3,229,000	4.34%
Earmarked Fund	3,584,000	3,584,000	0	0.00%	3,582,000	2,000	0.06%
Total	\$1,029,602,000	\$1,015,772,000	\$13,830,000	1.36%	\$1,021,989,000	\$7,613,000	0.74%

Revenue Collections by Tax
July
2015-2016

Tax Source	2016				2015 Actual	2016	
	Actual	Budgeted	B/(W)	Percent		B/(W)	Percent
Franchise & Excise	\$56,301,000	\$78,400,000	(\$22,099,000)	-28.19%	\$80,502,000	(\$24,201,000)	-30.06%
Income	1,035,000	1,465,000	(430,000)	-29.35%	1,944,000	(909,000)	-46.76%
Inheritance & Estate	1,102,000	3,548,000	(2,446,000)	-68.94%	5,686,000	(4,584,000)	-80.62%
Gasoline	61,933,000	57,094,000	4,839,000	8.48%	59,507,000	2,426,000	4.08%
Petroleum Special	6,176,000	5,818,000	358,000	6.15%	5,944,000	232,000	3.90%
Tobacco	22,817,000	23,208,000	(391,000)	-1.68%	25,504,000	(2,687,000)	-10.54%
Beer	1,621,000	1,560,000	61,000	3.91%	1,637,000	(16,000)	-0.98%
Motor Vehicle Registration	23,760,000	21,184,000	2,576,000	12.16%	24,579,000	(819,000)	-3.33%
Motor Vehicle Title	2,072,000	1,605,000	467,000	29.10%	1,119,000	953,000	85.17%
Mixed Drink	8,384,000	8,041,000	343,000	4.27%	7,538,000	846,000	11.22%
Business	5,532,000	5,600,000	(68,000)	-1.21%	5,663,000	(131,000)	-2.31%
Privilege	32,545,000	27,741,000	4,804,000	17.32%	33,612,000	(1,067,000)	-3.17%
Gross Receipts	11,631,000	15,922,000	(4,291,000)	-26.95%	17,257,000	(5,626,000)	-32.60%
TVA - In Lieu of Tax Payments	28,454,000	29,062,000	(608,000)	-2.09%	28,623,000	(169,000)	-0.59%
Alcoholic Beverage	7,086,000	5,585,000	1,501,000	26.88%	5,560,000	1,526,000	27.45%
Sales and Use	742,662,000	713,100,000	29,562,000	4.15%	700,901,000	41,761,000	5.96%
Motor Vehicle Fuel	16,274,000	16,532,000	(258,000)	-1.56%	16,100,000	174,000	1.08%
Severance	119,000	201,000	(82,000)	-40.80%	151,000	(32,000)	-21.19%
Coin-operated Amusement	98,000	106,000	(8,000)	-7.55%	82,000	16,000	19.51%
Unauthorized Substance	0	0	0	NA	80,000	(80,000)	NA
Total	\$1,029,602,000	\$1,015,772,000	\$13,830,000	1.36%	\$1,021,989,000	\$7,613,000	0.74%

Table 2
Revenue Collections by Fund
Year-to-Date
August - July
2015-2016

Fund	2015 - 2016				2014-2015 Actual	2015-2016	
	Actual	Budgeted	B/(W)	Percent		B/(W)	Percent
General Fund	\$11,274,675,000	\$10,422,300,000	\$852,375,000	8.18%	\$10,678,862,000	\$595,813,000	5.58%
Highway Fund	721,494,000	710,000,000	11,494,000	1.62%	709,211,000	12,283,000	1.73%
Sinking Fund	412,584,000	409,000,000	3,584,000	0.88%	375,661,000	36,923,000	9.83%
City & County Fund	1,026,887,000	969,300,000	57,587,000	5.94%	959,553,000	67,334,000	7.02%
Earmarked Fund	42,999,000	43,000,000	(1,000)	0.00%	43,000,000	(1,000)	0.00%
Total	\$13,478,639,000	\$12,553,600,000	\$925,039,000	7.37%	\$12,766,287,000	\$712,352,000	5.58%

Revenue Collections by Tax
Year-to-Date
August - July
2015-2016

Tax Source	2015 - 2016				2014-2015 Actual	2015-2016	
	Actual	Budgeted	B/(W)	Percent		B/(W)	Percent
Franchise & Excise	\$2,287,822,000	\$1,937,900,000	\$349,922,000	18.06%	\$2,203,555,000	\$84,267,000	3.82%
Income	323,043,000	267,500,000	55,543,000	20.76%	302,826,000	20,217,000	6.68%
Inheritance & Estate	57,887,000	41,300,000	16,587,000	40.16%	80,367,000	(22,480,000)	-27.97%
Gasoline	660,214,000	626,400,000	33,814,000	5.40%	631,888,000	28,326,000	4.48%
Petroleum Special	67,437,000	65,400,000	2,037,000	3.11%	64,820,000	2,617,000	4.04%
Tobacco	261,052,000	245,000,000	16,052,000	6.55%	264,137,000	(3,085,000)	-1.17%
Beer	18,161,000	17,500,000	661,000	3.78%	17,860,000	301,000	1.69%
Motor Vehicle Registration	273,993,000	255,300,000	18,693,000	7.32%	264,634,000	9,359,000	3.54%
Motor Vehicle Title	22,781,000	18,000,000	4,781,000	26.56%	12,098,000	10,683,000	88.30%
Mixed Drink	96,389,000	88,700,000	7,689,000	8.67%	85,912,000	10,477,000	12.20%
Business	154,210,000	142,700,000	11,510,000	8.07%	150,499,000	3,711,000	2.47%
Privilege	378,262,000	338,700,000	39,562,000	11.68%	360,179,000	18,083,000	5.02%
Gross Receipts	20,446,000	29,600,000	(9,154,000)	-30.93%	28,849,000	(8,403,000)	-29.13%
TVA - In Lieu of Tax Payments	348,912,000	354,000,000	(5,088,000)	-1.44%	347,054,000	1,858,000	0.54%
Alcoholic Beverage	63,613,000	60,000,000	3,613,000	6.02%	58,228,000	5,385,000	9.25%
Sales and Use	8,269,944,000	7,894,200,000	375,744,000	4.76%	7,724,058,000	545,886,000	7.07%
Motor Vehicle Fuel	172,790,000	168,500,000	4,290,000	2.55%	166,766,000	6,024,000	3.61%
Severance	1,412,000	2,600,000	(1,188,000)	-45.69%	2,162,000	(750,000)	-34.69%
Coin-operated Amusement	270,000	300,000	(30,000)	-10.00%	314,000	(44,000)	-14.01%
Unauthorized Substance	1,000	0	1,000	NA	81,000	(80,000)	NA
Total	\$13,478,639,000	\$12,553,600,000	\$925,039,000	7.37%	\$12,766,287,000	\$712,352,000	5.58%

CITY of FRANKLIN
2015 PROPERTY TAX COLLECTIONS
As of June 30, 2016

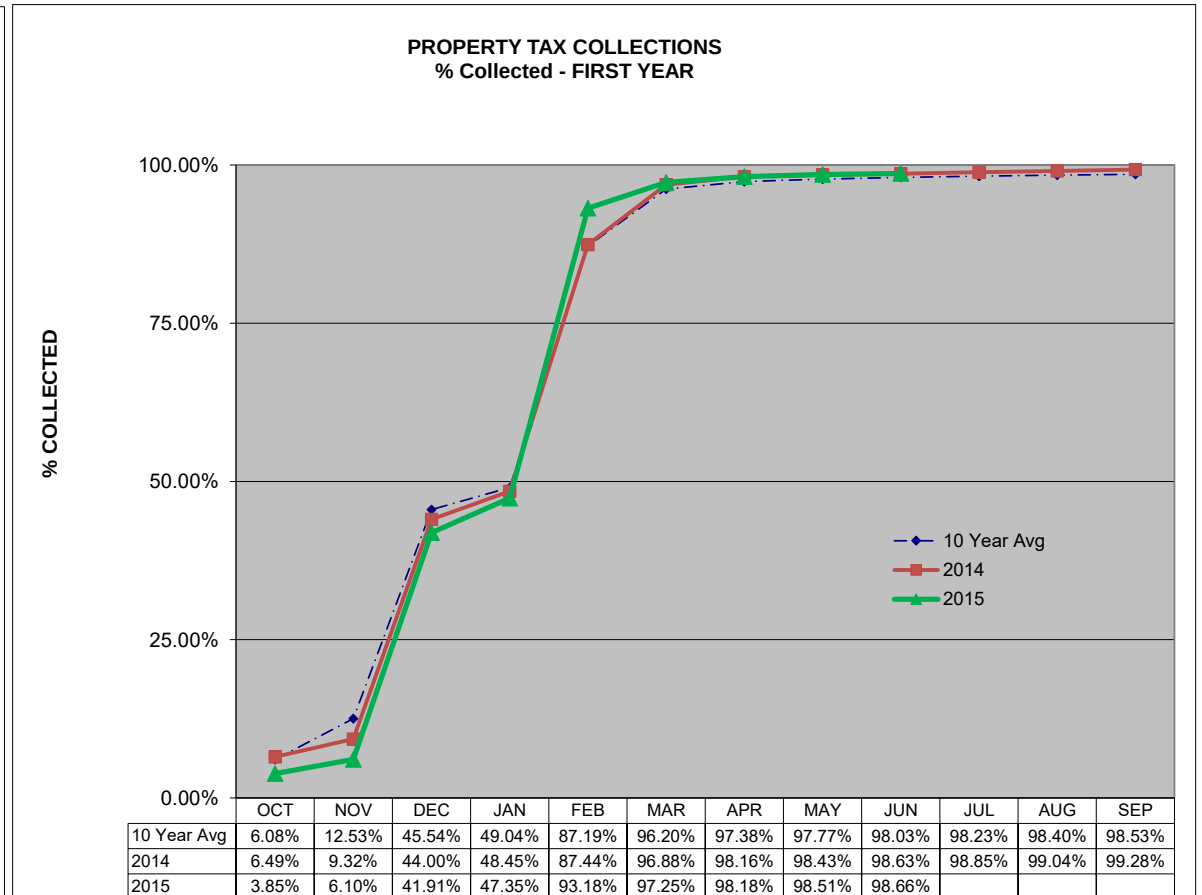
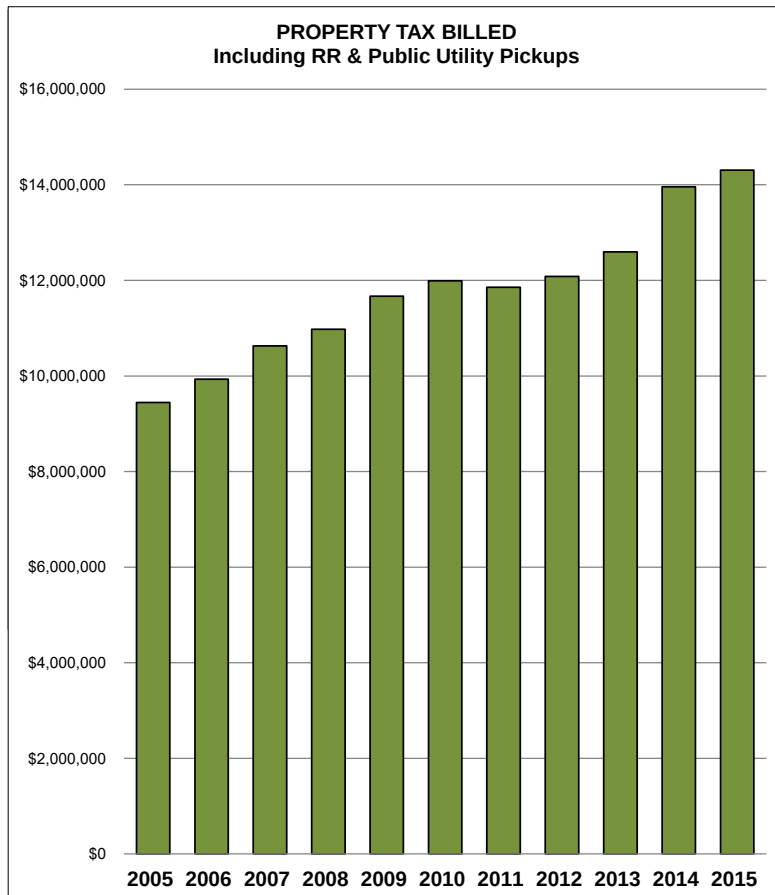
YEAR	Beginning Balance	150 Corrections	600 Refunds	400 Adjustments	100 Pickups	210 Cleanup	700 Returned Checks	200 Receipts	AR Balance
2015	\$14,306,693	(\$34,044)	\$79,959	(\$6,811)	\$269,776	\$311,408	(\$304,597)	(\$14,350,643)	\$271,741
2014	\$13,742,347	(\$443,588)	\$0	\$0	\$659,878	\$256,113	(\$256,113)	(\$13,940,264)	\$18,373
Prior Years (2004 - 2013)	\$74,656,547	(\$176,942)	\$837,477	(\$17,310)	\$1,725,590	(\$600)	\$22,114	(\$76,999,770)	\$47,107

Beginning Balance does not include RR & Public Utilities added later, rollbacks & other adjustments

RR and Public Utilities typically added in January (reflected in 100 PICKUPS); typically about \$200k annually

2011 and earlier have been turned over to Clerk & Master to initiate legal action for collection

Receipts include penalty & interest (bills are issued in October and Penalty/interest apply starting the following March)



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102322 Issue Date: 07/20/2016	225 CUMMINS ST 223 CUMMINS ST APT A	Other Residential	New	1832 / 1722	\$200,000.00	Garden Gate Homes INC 109 BATTLEFIELD DR FRANKLIN,TN 37064- (615)456-5515x matt.christensen@comcast.net	Contractor
103602 Issue Date: 07/11/2016	310 LIONHEART WAY Avalon Lot 127 New	Residential	New	7841 / 5773	\$1,000,000.00	Legacy Homes Of TN 245 NOAH DRIVE FRANKLIN, 37064- (615)794-7415 blake@tennesseevalleyhomes.com	Contractor
105360 Issue Date: 07/05/2016	108 EASTWIND CT STORPLACE AT FRANKLIN NEW BUILDING (MAIN BUILDING)	Nonresidential	New	34200 / 34200	\$3,500,000.00	HARVEST CONSTRUCTION CO. 630 SOUTHGATE AVE. NASHVILLE,TN 37203 (615)292-5700 lyates@harvestconstructionllc.com	Contractor
105744 Issue Date: 07/14/2016	3359 ASPEN GROVE DR STE 150 FRANKLIN SYNERGY BANK ASPEN GROVE	Nonresidential	Revovation	9883 / 9883	\$303,875.00	SKANSKA USA BUILDING 5000 Meridian Blvd. Ste 100 Franklin,TN 37067 (615)507-5608 russ.brown@skanska.com	Contractor
108048 Issue Date: 07/26/2016	104 TREEMONT LN Treemont Lot 13	Residential	New	5858 / 4202	\$664,500.00	McWaters Construction Inc 2851 Stage Village Cove, St 2 Bartlett,TN 38134 (901)634-1688	Contractor
108234 Issue Date: 07/18/2016	1522 LIBERTY PIKE 1522 Liberty Pike - Deck Expansion	Residential	Addition	200 / 0	\$3,800.00	MICHAEL HARRIS HOME IMPROVEMENT 1213 LIMERICK LANE FRANKLIN,TN 37067 (615)400-6120 MICHAEL_HARRIS@YAHOO.COM	Other
108422 Issue Date: 07/14/2016	120 9TH AVE S Synergy Bank - Interior Modifications - Demising W	Nonresidential	Revovation	50 / 50	\$25,000.00	SKANSKA USA BUILDING 5000 Meridian Blvd. Ste 100 Franklin,TN 37067 (615)507-5608 russ.brown@skanska.com	Contractor

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108634 Issue Date: 07/07/2016	2021 CANYON ECHO DR STREAM VALLEY LOT 192; ADDITION	Residential	Addition	216 / 216	\$15,000.00	Signature Building 2008 Lake Colonial Ct Arrington, TN 37014 (615)804-8641	Contractor
108709 Issue Date: 07/21/2016	5043 ROCKPORT AVE Stream Valley Lot #275	Residential	New	4472 / 3815	\$459,271.00	Ryan Homes 93 SEABOARD Lane Suite 201 BRENTWOOD, TN 37027 (615)864-6144 jaharris@nvrinc.com	Contractor
108741 Issue Date: 07/05/2016	231 PUBLIC SQ 231 Public Square - Temporary Vault	Nonresidential	Miscellaneous	0 / 0	\$5,000.00	Crews Public Square, LLC P. O. Box 681094 Franklin, TN 37068-1094 (615)456-2099 brian@heartland- partners.com	Owner
108882 Issue Date: 07/21/2016	733 BLACK HORSE PKWY screened porch and deck	Residential	Addition	272 / 272	\$24,800.00	DECKS UNLIMITED 796 Harpeth Bend Drive Nashville, TN 37221 (615)673-9499 decks.unlimited@comcast.net	Contractor
108924 Issue Date: 07/07/2016	9190 KEATS ST WESTHAVEN LOT 1564	Residential	New	4730 / 3550	\$300,000.00	Stonegate Homes, LLC p.o box 682461 franklin, tn 37067 (615)405-4401 PHUFF@STONEGATEHOMES.US	Contractor
108998 Issue Date: 07/06/2016	438 MAIN ST STARBUCKS FIVE POINTS .. EXTERIOR RENO	Nonresidential	Revovation	1000 / 0	\$15,000.00	PORTICO ENTERPRISES INC 2345 CLAY POND DRIVE OAKLAND, TENNESSEE 38060 (909)489-5018 WFAHR@COMCAST.NET	Contractor
109011 Issue Date: 07/07/2016	126 HARLINSDALE CT HARLINSDALE MANOR LOT 5, NEW	Residential	New	6443 / 4879	\$800,000.00	Montgomery Classic Construcion, LLC 5123 Virginia Way Ste. A-13 Brentwood, TN 37027 (615)593-8310 john@montgomeryccllc.com	Contractor
109052 Issue Date: 07/07/2016	217 VERDE MEADOW DR VILLAGE OF CLOVERCROFT LOT 45	Residential	Revovation	1 / 0	\$3,500.00	HENDERSON ENTERPRISES LLC 1919 APPOMATTOX DR LEBANON, TN 37087 (615)547-2333	Contractor

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109053 Issue Date: 07/25/2016	948 GLASS ST HARD BARGIN LOT 7	Residential	New	2631 / 1936	\$385,000.00	BEST BUILT CONSTRUCTION PO BOX 1631 BRENTWOOD, TN 37027 (615)394-2948 bestbuilt.inc@comcast.net	Contractor
109148 Issue Date: 07/27/2016	118 SEABOARD LN STE 100 Career Center for Workforce Essentials - RENO	Nonresidential	Revovation	15200 / 15200	\$250,000.00	ROMACH INC PO BOX 472 FRANKLIN, TN 37065 (615)794-8228x	Contractor
109219 Issue Date: 07/12/2016	2023 DAYLILY DR GARDEN CLUB POOL	Residential	New	0 / 0	\$60,000.00	Pvh Pools 7115 BAKERS BRIDGE AVE BRENTWOOD, 37027- (615)969-2526 brycroft@poolandspadepot.com	Contractor
109240 Issue Date: 07/05/2016	158 FRONT ST Pure Barre Westhaven - Ste 102	Nonresidential	Tenant Buildout	1605 / 1605	\$81,000.00	TENANT BUILDING GROUP 2414 CRUZEN STREET NASHVILLE, TN 37211 (615)254-1837 bobo@tenantbuildinggroup.com	Contractor
109284 Issue Date: 07/19/2016	2014 CANYON ECHO DR STREAM VALLEY LOT 216; NEW	Residential	New	3147 / 2588	\$298,324.00	Ryan Homes 93 SEABOARD Lane Suite 201 BRENTWOOD, TN 37027 (615)864-6144 jaharris@nvrinc.com	Contractor
109378 Issue Date: 07/20/2016	714 NEWCOMB ST LADD PARK LOT 709; NEW	Residential	New	3491 / 2851	\$256,014.60	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
109407 Issue Date: 07/06/2016	517 CHURCH ST 517 Church Street Office - Renovation	Nonresidential	Revovation	2600 / 2600	\$40,000.00	Lee Restoration PO BOX 162 SUMMERTOWN, TN 38483- (615)790-1830x jenna@leerestoration.com	Contractor
109408 Issue Date: 07/05/2016	1501 NEW HIGHWAY 96 W Mapco Express #3428 Renovation	Nonresidential	Revovation	500 / 500	\$15,000.00	CONSECO 2601 Westwood Dr. Nashville, TN 37204 (615)497-3960 brian@consecogroup.com	Contractor

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109409 Issue Date: 07/11/2016	1962 CHAMPIONSHIP BLVD WESTHAVEN LOT 1690, NEW (MODEL)	Residential	New	4938 / 3604	\$414,460.00	Mike Ford Custom Bldrs 390 MALLORY STATION ROAD STE 100 FRANKLIN,TN 37067 (615)503-9727 amanda.groves@mikeford.COM	Contractor
109413 Issue Date: 07/01/2016	425 AVON RIVER RD LADD PARK LOT 437	Residential	Addition	345 / 345	\$24,000.00	JOEL TOMLIN, III 4115 MALLORY LANE SUITE 100C FRANKLIN,TN 37067 (615)713-9113	Contractor
109466 Issue Date: 07/01/2016	459 PEARRE SPRINGS WAY WESTHAVEN LOT 628, RENOVATIONS	Residential	Revovation	0 / 0	\$33,650.00	HANNAH RESTORATION 100 COMMERCE DRIVE A HENDERSONVILLE,TN 37075 (615)431-5851 justin@hannahrestoration.com	Contractor
109467 Issue Date: 07/08/2016	2555 MERIDIAN BLVD STE 180 SOUTHERN SPRINGS CAPITAL GROUP RENOVATION	Nonresidential	Revovation	2581 / 2581	\$50,000.00	Flow Construction Company 3628 Trousdale Drive Suite E Nashville,TN 37204 (615)832-0707 bellis@flowconstruction.com	Contractor
109470 Issue Date: 07/27/2016	1307 MURFREESBORO RD QUALITY INN AND SUITES	Nonresidential	Revovation	111 / 111	\$23,000.00	ROBERT KROUSE 2733 JOE MACLIPSCOMB SPRINGFIELD,TN 37172 (615)946-4418	Contractor
109475 Issue Date: 07/06/2016	1025 BEAMON DR HIGHLANDS OF LADD PARK LOT 512, NEW	Residential	New	3071 / 2416	\$162,892.00	Signature Homes 381 Mallory Station Rd Franklin,TN 37067 (615)678-3205 thester@e- signaturehomes.com	Contractor
109485 Issue Date: 07/12/2016	169 HAROLD CT LADD PARK LOT 270	Residential	New	4401 / 3471	\$360,090.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN,TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor

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109513 Issue Date: 07/11/2016	712 FONTWELL LN Ladd Park Lot 1013	Residential	New	3950 / 2949	\$250,000.00	Signature Homes 381 Mallory Station Rd Franklin, TN 37067 (615)678-3205 thester@e-signaturehomes.com	Contractor
109543 Issue Date: 07/07/2016	8025 BROOKPARK AVE STREAM VALLEY LOT 235	Residential	New	3236 / 2544	\$318,438.00	Ryan Homes 93 SEABOARD Lane Suite 201 BRENTWOOD, TN 37027 (615)864-6144 jaharris@nvrinc.com	Contractor
109574 Issue Date: 07/13/2016	1007 MARKET ST MCKAY MILLS LOT 3	Residential	Miscellaneous	338 / 0	\$22,327.00	ENCORE HOMES 102 HAZEL PATH SUITE 6 HENDERSONVILLE, TN 37075 (615)681-7707	Contractor
109580 Issue Date: 07/12/2016	4031 GENERAL MARTIN LN BERRY FARMS LOT 110; NEW	Residential	New	3791 / 2799	\$285,000.00	Celebration Homes LLC 7123 CROSSROADS BLVD STE C Brentwood, TN 37027 (615)370-1686 NA@NA.COM	
109584 Issue Date: 07/18/2016	4025 GENERAL MARTIN LN BERRY FARMS LOT 111; NEW	Residential	New	4425 / 3168	\$290,000.00	Celebration Homes LLC 7123 CROSSROADS BLVD STE C Brentwood, TN 37027 (615)370-1686 NA@NA.COM	
109610 Issue Date: 07/12/2016	5043 LAUGHING BROOK LN Water's Edge Lot 28	Residential	New	4244 / 3521	\$361,829.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN, TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109618 Issue Date: 07/14/2016	1004 REESE DR Rizer Point Lot 12	Residential	New	2822 / 2209	\$332,390.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN, TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109626 Issue Date: 07/11/2016	250 3RD AVE S 250 3rd Ave South - Wall	Residential	Miscellaneous	1 / 0	\$12,000.00	Chester Constr 2517 Tisdale Dr. Thompsons Station, 37179 (615)642-5851 na@na.com	Contractor

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109629 Issue Date: 07/18/2016	5026 ROCKPORT AVE Stream Valley Lot 288	Nonresidential	New	4053 / 3376	\$439,692.00	Ryan Homes 93 SEABOARD Lane Suite 201 BRENTWOOD, TN 37027 (615)864-6144 jaharris@nvrinc.com	Contractor
109640 Issue Date: 07/12/2016	1005 REESE DR Rizer Point Lot 3	Residential	New	4801 / 4046	\$420,040.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN, TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109656 Issue Date: 07/11/2016	586 PLOUGHMANS BEND DR Maplewood - 586 Ploughmans Bend Dr. - Screen Porch	Residential	Addition	440 / 0	\$30,000.00	English Home IMprovements 6320 Hwy 41A Pleasantview, TN 37146 (615)746-0990 hankmarcum@gmail.com	Contractor
109671 Issue Date: 07/07/2016	1421 WESTHAVEN BLVD WESTHAVEN 1421 WESTHAVEN BLVD RENOVATION	Residential	Revovation	1200 / 1200	\$40,000.00	FAULKNER GROUP 2203 RANKIN DRIVE CHRISTIANA, TENNESSEE 37037 (615)869-9202 TRE@THEFAULKNERGROUP.NET	Contractor
109690 Issue Date: 07/14/2016	1002 REESE DR RIZER POIT LOT 11; NEW	Residential	New	4364 / 3543	\$377,500.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN, TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109694 Issue Date: 07/18/2016	2020 CANYON ECHO DR STREAM VALLEY LOT 213; NEW	Residential	New	3482 / 2822	\$324,193.00	Ryan Homes 93 SEABOARD Lane Suite 201 BRENTWOOD, TN 37027 (615)864-6144 jaharris@nvrinc.com	Contractor
109719 Issue Date: 07/08/2016	108 WERTHAN CIR UNIVERSAL SELF STORAGE FIRE ALARM	Nonresidential	Miscellaneous	0 / 0	\$15,686.00	Act Security 511 FAIRGROUND CT NASHVILLE, 37211 (615)333-6300 dhnafzger@actsecurity.net	Contractor
109720 Issue Date: 07/11/2016	5145 DONOVAN ST WESTHAVEN KIT 1604, NEW	Residential	New	4765 / 3518	\$404,570.00	Mike Ford Custom Bldrs 390 MALLORY STATION ROAD STE 100 FRANKLIN, TN 37067 (615)503-9727 amanda.groves@mikeford.COM	Contractor

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109725 Issue Date: 07/11/2016	5032 ROCKPORT AVE STREAM VALLEY LOT 285	Residential	New	3537 / 2960	\$384,070.00	Ryan Homes 93 SEABOARD Lane Suite 201 BRENTWOOD, TN 37027 (615)864-6144 jaharris@nvrinc.com	Contractor
109729 Issue Date: 07/20/2016	732 NEWCOMB ST LADD PARK LOT 712	Residential	New	3314 / 2834	\$246,101.00	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
109733 Issue Date: 07/06/2016	325 CIRCUIT RD LADD PARK LOT 32	Residential	New	4882 / 3739	\$450,000.00	8005 CHURCH STREET EAST STE 201 BRENTWOOD, TN 37027 (615)686-4358 patrick.aspen@icloud.com	Contractor
109746 Issue Date: 07/01/2016	158 FRONT ST WESTHAVEN HARDWARE	Nonresidential	Revovation	5586 / 5586	\$122,907.00	CONSECO 2601 Westwood Dr. Nashville, TN 37204 (615)497-3960 briank@consecogroup.com	Contractor
109769 Issue Date: 07/13/2016	1938 CHAMPIONSHIP BLVD WESTHAVEN LOT 1694	Residential	New	4452 / 3474	\$399,511.00	Mike Ford Custom Bldrs 390 MALLORY STATION ROAD STE 100 FRANKLIN, TN 37067 (615)503-9727 amanda.groves@mikeford.COM	Contractor
109773 Issue Date: 07/22/2016	1000 REESE DR RIZER POINT LOT 10	Residential	New	4599 / 3706	\$395,780.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN, TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109783 Issue Date: 07/05/2016	108 EASTWIND CT STORPLACE AT FRANKLIN NEW BUILDING (BUILDING STRUC	Nonresidential	Accessory Building	3750 / 0	\$58,890.00	HARVEST CONSTRUCTION CO. 630 SOUTHGATE AVE. NASHVILLE, TN 37203 (615)292-5700 lyates@harvestconstructionllc.com	Contractor
109784 Issue Date: 07/05/2016	108 EASTWIND CT STORPLACE AT FRANKLIN NEW BUILDING (BUILDING NO#2)	Nonresidential	Accessory Building	6250 / 0	\$144,461.00	HARVEST CONSTRUCTION CO. 630 SOUTHGATE AVE. NASHVILLE, TN 37203 (615)292-5700 lyates@harvestconstructionllc.com	Contractor

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109795 Issue Date: 07/21/2016	778 FONTWELL LN HIGHLANDS OF LADD PARK LOT 1003, NEW	Residential	New	3855 / 3007	\$197,888.00	Signature Homes 381 Mallory Station Rd Franklin, TN 37067 (615)678-3205 thester@e-signaturehomes.com	Contractor
109799 Issue Date: 07/25/2016	348 3RD AVE S RENOVATION & Addition	Residential	Addition	2098 / 1887	\$170,000.00	Bellus Construction Corp. 856 Lewisburg Pike Franklin, TN 37064 bellusconstructioncorp@gmail.com	Contractor
109800 Issue Date: 07/06/2016	209 LARKTON PL JACKSON LAKE DECK ADDITION	Residential	Miscellaneous	0 / 0	\$1,200.00	JANICE STEVENS 209 LARKTON PLACE FRANKLIN, TN 37069	Other
109804 Issue Date: 07/06/2016	260 DANDRIDGE DR 260 Dandridge Dr. - Pool Deck	Residential	Miscellaneous	150 / 150	\$1,500.00	CYNTHIA JOHNSON 260 DANDRIDGE DR FRANKLIN, TN 37067 (916)749-6557 cyndi.ncali@yahoo.com	Owner
109806 Issue Date: 07/19/2016	341 COOL SPRINGS BLVD STE 140 WADDELL AND REED	Nonresidential	Tenant Buildout	3348 / 3348	\$99,261.00	Thomas Constructors LLC 4711 TROUSDALE DR SUITE 120 NASHVILLE, TN 37220 (615)475-7050 patrick@thomasconstructors.com	Contractor
109808 Issue Date: 07/06/2016	226 GLOUCESTER ST YORK TOWN SUBDIVISION	Residential	Addition	520 / 0	\$19,000.00	TRIPLE SEVEN CONSTRUCTION 105 ROB ROY COURT FRANKLIN, TN 37064 (615)319-8854	Other
109812 Issue Date: 07/08/2016	106 DANIELS DR ROLLING RIVER LOT 24	Residential	Addition	432 / 432	\$44,000.00	DANIEL PETERSEN 106 DANIELS DRIVE FRANKLIN, TN 37064 (615)838-4406	Other
109816 Issue Date: 07/08/2016	104 DANIELS DR ROLLING RIVER LOT 24	Residential	Accessory Building	540 / 540	\$16,000.00	DANIEL PETERSEN 106 DANIELS DRIVE FRANKLIN, TN 37064 (615)838-4406	Other

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109837 Issue Date: 07/07/2016	104 FALLS CHURCH CT 104 FALLS CHURCH STREET	Residential	Revovation	580 / 0	\$51,381.00	Parkerson Construction 719 S. CHURCH STREET MURFREESBORO, 37133 (615)898-8282 jmathis@arcusrestoration.com	Contractor
109848 Issue Date: 07/18/2016	1956 CHAMPIONSHIP BLVD Westhaven Lot 1691	Residential	New	4786 / 3672	\$405,375.00	Mike Ford Custom Bldrs 390 MALLORY STATION ROAD STE 100 FRANKLIN,TN 37067 (615)503-9727 amanda.groves@mikeford.COM	Contractor
109852 Issue Date: 07/22/2016	5006 LAUGHING BROOK LN Water's Edge Lot 18	Residential	New	3236 / 2623	\$324,439.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN,TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109867 Issue Date: 07/22/2016	1016 REESE DR RIZER POINT LOT 18; NEW	Residential	New	3392 / 2697	\$358,990.00	Goodall Homes 393 MAPLE STREET SUITE 100 GALLATIN,TN 37066- (615)451-5029 tbraswell@goodallhomes.com	Contractor
109871 Issue Date: 07/18/2016	1401 LILLE CT McKAYS MILL-TOWNE PARK ..DECK ADDITION	Other Residential	Miscellaneous	276 / 0	\$3,200.00	MICHAEL HARRIS HOME IMPROVEMENT 1213 LIMERICK LANE FRANKLIN,TN 37067 (615)400-6120 MICHAEL_HARRIS@YAHOO.COM	Other
109895 Issue Date: 07/18/2016	1308 ADAMS ST 1308 ADAMS STREET	Residential	Revovation	2110 / 1730	\$135,700.00	Rsu Contractors 1461 BATTLEGROUNDR DR MURFREESBORO, 37129- (615)405-0670 jwalker@rsucontractors.com	Contractor
109896 Issue Date: 07/27/2016	923 HORNSBY DR LADD PARK LOT 1124	Residential	New	4469 / 3369	\$240,163.00	Signature Homes 381 Mallory Station Rd Franklin,TN 37067 (615)678-3205 thester@e- signaturehomes.com	Contractor

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109900 Issue Date: 07/25/2016	1214 LIBERTY PIKE CAROTHERS CROSSING EAST- RETAINING WALL PLANS	Nonresidential	Miscellaneous	0 / 0	\$425,000.00	DIVISION 2 CONSTRUCTORS, LLC 7856 MCCRORY LANE NASHVILLE, TN 37221 (615)646-3482 mknauss@div-2.com	Contractor
109902 Issue Date: 07/11/2016	243 HEATHSTONE CIR FIELDSTONE FARMS LOT 1847	Residential	Addition	312 / 0	\$9,000.00	Daniel's Home Improvement 1002 Mallory Lane Spring Hill, TN 37174 (615)519-6916 danielveach77@gmail.com	Contractor
109906 Issue Date: 07/11/2016	5011 ROCKPORT AVE STREAM VALLEY DECK ADDITION	Residential	Addition	0 / 0	\$2,000.00	THOMAS HANSON 5011 ROCKPORT AVE FRANKLIN, TN 37064 (704)953-1270	Other
109910 Issue Date: 07/27/2016	225 BEAMON DR LADD PARK LOT 1181	Residential	New	4575 / 3554	\$240,163.00	Signature Homes 381 Mallory Station Rd Franklin, TN 37067 (615)678-3205 thester@e-signaturehomes.com	Contractor
109937 Issue Date: 07/20/2016	973 RYECROFT LN LADD PARK LOT 690, NEW	Residential	New	3034 / 2061	\$214,947.00	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
109941 Issue Date: 07/18/2016	3042 BLOSSOM TRAIL LN Blossom Park Lot 20	Residential	New	4441 / 3306	\$314,070.00	Insignia Homes LLC 390 MALLORY STATION ROAD SUITE 100 FRANKLIN, TN 37067 (615)503-9727 amandalyons@mikefordbuilders.com	Contractor
109947 Issue Date: 07/18/2016	1553 FLEETWOOD DR Westhaven Lot 1280	Residential	New	4977 / 3955	\$454,825.00	Mike Ford Custom Bldrs 390 MALLORY STATION ROAD STE 100 FRANKLIN, TN 37067 (615)503-9727 amanda.groves@mikeford.COM	Contractor
109951 Issue Date: 07/20/2016	4601 CAROTHERS PKWY STE 350 Franklin Physicians Tower - Ste 350	Nonresidential	Revovation	3784 / 3784	\$67,117.00	MTLC Building Group 119 Southeast Pkwy Ct. Ste #200 Franklin, TN 37064 (615)567-5855 cheryl@mtlcbuild.com	Contractor

Building Permit Issued
Permit Dates: 07/01/2016 - 07/31/2016



<u>Permit #</u> <u>Issue Date</u>	<u>Address</u> <u>Project Name / Location Desc.</u>	<u>Usage</u>	<u>Work Type</u>	<u>Total SF/Heated SF</u>	<u>Valuation</u>	<u>Applicant/ Contractor Info/</u> <u>Phone/ Mailing/Email Address</u>	<u>Capacity</u>
109963 Issue Date: 07/13/2016	307 MESSENGER LN TYWATER CROSSING LOT 53, NEW	Residential	New	3179 / 2463	\$250,000.00	PATTERSON COMPANY 321 BILLINGSLEY CT. SUITE 19 FRANKLIN, TN 37067 (615)472-1317 efridley@buypatterson.com	Contractor
109976 Issue Date: 07/20/2016	513 ROCHESTER CLOSE WESTHAVEN LOT 1642, NEW	Residential	New	7477 / 5282	\$600,000.00	Stonegate Homes, LLC p.o box 682461 franklin, tn 37067 (615)405-4401 PHUFF@STONEGATEHOMES.US	Contractor
109990 Issue Date: 07/13/2016	810 RONALD DR REBEL MEADOWS INTERIOR RENOVATION	Residential	Revovation	0 / 0	\$60,000.00	Lee Restoration PO BOX 162 SUMMERTOWN, TN 38483- (615)790-1830x jenna@leerestoration.com	Contractor
110043 Issue Date: 07/19/2016	202 JAMES AVE PORCH ADDITION	Residential	Addition	162 / 0	\$2,000.00	SILVIA COBIAN 202 JAMES AVE FRANKLIN, TN 37064 (931)286-2890	Other
110058 Issue Date: 07/26/2016	1547 FLEETWOOD DR WESTHAVEN LOT 1281	Residential	New	4765 / 3518	\$404,570.00	Mike Ford Custom Bldrs 390 MALLORY STATION ROAD STE 100 FRANKLIN, TN 37067 (615)503-9727 amanda.groves@mikeford.COM	Contractor
110062 Issue Date: 07/25/2016	948 RYECROFT LN LADD PARK LOT 703	Residential	New	3489 / 2794	\$234,857.00	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
110066 Issue Date: 07/20/2016	936 RYECROFT LN LADD PARK LOT 701	Residential	New	3273 / 2684	\$247,220.00	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
110074 Issue Date: 07/25/2016	720 NEWCOMB ST Ladd Park Lot 710	Residential	New	3250 / 2734	\$241,902.94	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor

Building Permit Issued
Permit Dates: 07/01/2016 - 07/31/2016



<u>Permit #</u> <u>Issue Date</u>	<u>Address</u> <u>Project Name / Location Desc.</u>	<u>Usage</u>	<u>Work Type</u>	<u>Total SF/Heated SF</u>	<u>Valuation</u>	<u>Applicant/ Contractor Info/</u> <u>Phone/ Mailing/Email Address</u>	<u>Capacity</u>
110078 Issue Date: 07/25/2016	924 RYECROFT LN Ladd Park Lot 699	Residential	New	3582 / 2923	\$254,649.60	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
110082 Issue Date: 07/25/2016	708 NEWCOMB ST Ladd Park Lot 708	Residential	New	3803 / 3179	\$265,448.70	THE JONES COMPANY 1221 LIBERTY PIKE FRANKLIN, TN 37064 (615)595-5439 jones@jonescompany.com	Contractor
110107 Issue Date: 07/18/2016	204 3RD AVE S 204 3RD AVE SOUTH	Residential	Addition	125 / 125	\$150,000.00	RUSTY WOMACK 311 MERCURY DR FRANKLIN, TN 37064 (615)790-7738	Contractor
110112 Issue Date: 07/18/2016	106 LUCINDA CT 106 LUCINDA CT	Residential	Revovation	1100 / 0	\$23,600.00	KENNY CARTER 3851 MARTINS CHAPEL ROAD SPRINGFIELD, TN 37172 (615)414-2980	Contractor
110116 Issue Date: 07/21/2016	595 HILLSBORO RD STE 337 Harvest Martial Arts - Ste 337	Nonresidential	Revovation	1000 / 1000	\$500.00	JUSTIN MARTIN 784 Edwards Dr. Franklin, TN 37064 (615)310-8937 harvestmartialarts@gmail.com	Owner
110117 Issue Date: 07/27/2016	4017 MOSSY ROCK LN STREAM VALLEY DECK	Other Residential	Miscellaneous	380 / 0	\$9,150.00	CREATE AND CONSTRUCT 205 COTTONWOOD CT FRANKLIN, TN 37064 (706)531-6781 KARMAGLEAN@GMAIL.COM	Other
110137 Issue Date: 07/27/2016	213 HIDEAWAY TRL FIELDSTONE FARMS LOT 418	Residential	Addition	1750 / 1750	\$200,000.00	BRADLEY CONSTRUCTION GROUP, LLC 108 WILLIAMSBURG PL FRANKLIN, 37064 (615)829-2473 BRADLEYBCG@AOL.COM	Contractor
110146 Issue Date: 07/27/2016	8019 SUNRISE CIR MARTIN RODNEY D SUBDIVISION	Residential	Addition	285 / 285	\$8,357.00	CHAMPION WINDOW & PATIO 5780 CROSSINGS BLVD ANTIOCH, TN 37013- (615)841-7411x CCLARK@CHAMPIONWINDOW.COM	Contractor

Building Permit Issued
Permit Dates: 07/01/2016 - 07/31/2016



<u>Permit #</u> <u>Issue Date</u>	<u>Address</u> <u>Project Name / Location Desc.</u>	<u>Usage</u>	<u>Work Type</u>	<u>Total SF/Heated SF</u>	<u>Valuation</u>	<u>Applicant/ Contractor Info/</u> <u>Phone/ Mailing/Email Address</u>	<u>Capacity</u>
110160 Issue Date: 07/27/2016	1142 W MAIN ST 1142 WEST MAIN STREET	Residential	Addition	551 / 551	\$19,963.00	CHAMPION WINDOW & PATIO 5780 CROSSINGS BLVD ANTIOCH, TN 37013- (615)841-7411x CCLARK@CHAMPIONWINDOW.COM	Contractor
110168 Issue Date: 07/25/2016	120 HOLIDAY CT STE 4 SANCTUARY FUNCTIONAL MEDICINE	Residential	Revovation	1830 / 1830	\$10,000.00	Quality RRC, Inc 8702 Stewarts Ferry Pike 103 Mt Juliet, TN 37122 (615)557-8403	Contractor
111190 Issue Date: 07/20/2016	828 MURFREESBORO RD Church of the City - Fire Sprinkler Phase 2	Nonresidential	Miscellaneous	0 / 0	\$10,000.00	JOHN BOUCHARD & SONS CO ,	Contractor
111191 Issue Date: 07/26/2016	336 RIVER BLUFF DR River Bluff Lot 75	Residential	New	3994 / 3283	\$234,700.00	Willow Branch Partners LLC 121 FIRST AVENUE SOUTH SUITE 220 FRANKLIN, TN 37064 (615)499-9887 brittany@willowbranchpartners.com	Contractor
111196 Issue Date: 07/20/2016	1205 BUCKINGHAM CIR 1205 BUCKINGHAM CIRCLE	Residential	Addition	190 / 190	\$2,000.00	ANDREW MEADORS 2016 ARUM COURT SPRING HILL, TN 37174 (615)504-4232	Other
111238 Issue Date: 07/25/2016	947 HORNSBY DR HIGHLANDS OF LADD PARK LOT 1128, NEW	Residential	New	4404 / 3237	\$233,377.00	Signature Homes 381 Mallory Station Rd Franklin, TN 37067 (615)678-3205 thester@e- signaturehomes.com	Contractor
111264 Issue Date: 07/25/2016	302 CIRCUIT RD Ladd Park Lot 48	Residential	New	4989 / 3733	\$375,000.00	Boulevard Building Group LLC 7117 DONALD WILSON DRIVE FAIRVIEW, TN 37062 (615)252-6781 matt@buildwithblvd.com	Contractor
111271 Issue Date: 07/27/2016	3140 TRISTAN DR FRANKLIN GREEN LOT 3191 RENOVATION	Residential	Revovation	260 / 260	\$14,366.00	Tristar Building Services Inc 445 Metroplex Dr. Nashville, TN 37211 (615)383-5252 na@na.com	Contractor

Building Permit Issued
Permit Dates: 07/01/2016 - 07/31/2016



<u>Permit #</u> <u>Issue Date</u>	<u>Address</u> <u>Project Name / Location Desc.</u>	<u>Usage</u>	<u>Work Type</u>	<u>Total SF/Heated SF</u>	<u>Valuation</u>	<u>Applicant/ Contractor Info/</u> <u>Phone/ Mailing/Email Address</u>	<u>Capacity</u>
111300 Issue Date: 07/27/2016	953 HORNSBY DR Ladd Park Lot 1129	Residential	New	4585 / 3359	\$251,882.00	Signature Homes 381 Mallory Station Rd Franklin, TN 37067 (615)678-3205 thester@e-signaturehomes.com	Contractor
111353 Issue Date: 07/29/2016	1563 BIRCHWOOD CIR BOYD MILL ESTATES LOT 75	Residential	Miscellaneous	224 / 0	\$1,000.00	TARA DICKSON 1563 BIRCHWOOD CIR FRANKLIN, TN 37064 (501)940-0028 JALANDICKSON@GMAIL.COM	Owner
81471 Issue Date: 07/27/2016	117 PEBBLE VIEW DR STORAGE SHED	Residential	Accessory Building	0 / 0	\$3,000.00	DR OVERHOLT ,	Other
96435 Issue Date: 07/20/2016	1735 GALLERIA BLVD STE 1003 1735 Galleria Blvd. Ste. 1003	Nonresidential	Revovation	42100 / 0	\$232,999.00	Ideal Building Solutions LLC 6753 Jones Mill Ct. Ste F Norcross, GA 30092 (770)451-7183 jfinney@ibsroofing.com	Contractor
98880 Issue Date: 07/11/2016	2130 EDWARD CURD LN VERIZON CELL TOWER	Nonresidential	Miscellaneous	0 / 0	\$25,000.00	Neocom Solutions 10064 MAIN ST WOODSTOCK, GA 30188- (678)238-1818x	Contractor

Total Number of Permits: 106

Total Valuation: \$24,059,322.84



Franklin Marriott Cool Springs
700 Cool Springs Boulevard
Franklin, TN 37067
t: 615.261.6100 f: 615.261.6161
Marriott.com/BNACS

August 12, 2016

Mr. Russ Truell, CFO
City of Franklin, Tennessee
109 Third Avenue South
Franklin, TN 37064

Dear Mr. Truell:

Please find enclosed the Consolidated Profit and Loss Statement for the Cool Springs Conference Center for period end July 31, 2016.

A summary of the financial and distribution data is as follows:

COOL SPRINGS CONFERENCE CENTER
July, 2016

	CURRENT MONTH			YEAR-TO-DATE		
	ACTUAL	BUDGET	LAST YR	ACTUAL	BUDGET	LAST YR
GROSS REVENUE	486,962	432,828	413,595	486,962	432,828	413,595
HOUSE PROFIT	(21,174)	(25,625)	3,526	(21,174)	(25,625)	3,526
Less: FIXED EXPENSES	19,011	18,657	17,869	19,011	18,657	17,869
NET INCOME	(40,185)	(44,283)	(14,342)	(40,185)	(44,283)	(14,342)
Less: FF&E RESERVE 5%	24,348	21,641	20,680	24,348	21,641	20,680
NET CASH FLOW	(64,533)	(65,924)	(35,022)	(64,533)	(65,924)	(35,022)
TOTAL CURRENT BALANCE DUE TO OWNERS	(64,533.00)					
TOTAL DUE TO/(FROM) CITY OF FRANKLIN	(32,266.50)					
TOTAL DUE TO/(FROM) WILLIAMSON COUNTY	(32,266.50)					

The financial statements for the Cool Springs Conference Center, subject to routine year-end audit and adjustments, is true and correct in all material respects to the best of my knowledge.

Sincerely,

Randy Hansen
Controller

Michael Sanders
General Manager

The TMA Group
Statement of Activities
Franklin Transit Service
For the Twelve Months Ending June 30, 2016

	Month Actual	Month Budget	YTD Actual	YTD Budget	Total Budget
Revenues					
COF Transit Operating	(173,284.53)	43,057.87	462,423.49	516,694.00	516,694.00
Revenue - Contracts	600.00	833.37	13,885.00	10,000.00	10,000.00
Revenue - Other	0.00	0.00	0.00	0.00	0.00
Revenue - Fares Fixed Route	5,090.85	2,125.00	46,872.58	25,500.00	25,500.00
Revenue - Fares TODD	0.00	4,958.37	29,419.00	59,500.00	59,500.00
Revenue - Transit Fares; HT	0.00	0.00	12,605.00	16,000.00	16,000.00
Revenue - Building & EquipRent	800.00	900.00	9,600.00	9,700.00	9,700.00
Revenue - Transit-Interest	393.27	266.63	4,391.70	3,200.00	3,200.00
Revenue - Sale of Surpl's Asset	0.00	0.00	20,151.32	0.00	0.00
Revenue - Operating Assistance	0.00	0.00	249,600.00	250,000.00	250,000.00
State New Freedoms	7,119.65	0.00	21,964.74	13,500.00	13,500.00
State 5307 Routematch	0.00	2,800.00	0.00	2,800.00	2,800.00
State 5307 Cap. Cost. Contract	18,951.85	4,190.63	57,948.99	50,288.00	50,288.00
State 5309 Bus Surveillance	0.00	0.00	0.00	0.00	0.00
State 5309 Rent Assistance	4,050.00	4,050.00	4,050.00	4,050.00	4,050.00
Federal New Freedoms	14,239.00	0.00	43,929.00	27,000.00	27,000.00
Feder 5307 Preventative Mainte	34,020.00	11,504.00	86,214.00	85,008.00	85,008.00
Federal 5307 Routematch	19,486.00	22,400.00	21,572.00	22,400.00	22,400.00
Feder 5307 Cap. Cost. Contract	151,615.00	34,293.37	463,592.00	411,520.00	411,520.00
Federal 5309 Bus Surveillance	0.00	0.00	0.00	0.00	0.00
Federal 5309 Rent Assistance	32,400.00	32,400.00	32,400.00	32,400.00	32,400.00
Total Revenues	115,481.09	163,779.24	1,580,618.82	1,539,560.00	1,539,560.00
Direct Cost of Program					
Salaries	52,523.38	42,500.11	581,732.77	531,500.00	531,500.00
Employer Taxes and Benefits	17,027.40	16,796.63	194,458.85	202,860.00	202,860.00
Professional Services	4,295.11	2,366.63	47,442.26	28,500.00	28,500.00
Transit Building Maintenance	0.00	833.37	5,563.77	10,000.00	10,000.00
Transit Maintenance	7,667.18	6,750.00	84,239.57	81,000.00	81,000.00
Transit Center Cleaning	422.00	416.63	4,989.00	5,000.00	5,000.00
Transit Security	0.00	0.00	225.00	0.00	0.00
Education/Community Outreach	0.00	83.37	0.00	1,000.00	1,000.00
Education/Community Outrch HT	0.00	0.00	147.62	0.00	0.00
Marketing/Web (G&A)	0.00	0.00	0.00	0.00	0.00
Promotional Products	0.00	333.37	0.00	4,000.00	4,000.00
Recruitment	50.43	0.00	857.03	0.00	0.00
Print Advertising	562.50	666.63	9,150.76	8,000.00	8,000.00
Print Advertising HT	0.00	0.00	911.50	0.00	0.00
Radio Advertising/Web	249.72	500.00	5,137.47	6,000.00	6,000.00
Radio Advertising/Web HT	0.00	0.00	0.00	0.00	0.00
TV Advertising/PR	0.00	0.00	0.00	0.00	0.00
Printed Brochures & Pieces	1,589.00	500.00	10,025.00	6,000.00	6,000.00
Legal Fees	0.00	208.37	100.00	2,500.00	2,500.00
Transit-DAM Compliance	397.50	166.63	4,842.49	2,000.00	2,000.00
Transit Fuel	6,680.94	10,833.26	67,657.14	130,500.00	130,500.00
Supplies	534.06	0.00	6,467.48	0.00	0.00
Transit Maint. Fac - Utilities	1,620.62	1,875.00	20,490.38	22,500.00	22,500.00
Radio Communications	434.97	0.00	5,012.65	0.00	0.00
Trolley Insurance	6,163.34	5,583.37	77,978.93	67,000.00	67,000.00
Transit General Liability	1,807.64	500.00	17,414.32	6,000.00	6,000.00
Payouts for Insured Liab Damag	1,441.80	0.00	1,441.80	0.00	0.00
Recov. for Liab. & Dmge Settle	0.00	0.00	0.00	0.00	0.00
Errors & Omissions Liability	606.68	541.63	7,142.90	6,500.00	6,500.00
Vehicle Licensing & Registrati	0.00	0.00	35.00	0.00	0.00
Dues, Subs, Tuition	585.67	583.37	8,824.21	7,000.00	7,000.00

The TMA Group
Statement of Activities
Franklin Transit Service
For the Twelve Months Ending June 30, 2016

	Month Actual	Month Budget	YTD Actual	YTD Budget	Total Budget
Meetings	530.48	41.63	1,457.55	500.00	500.00
Postage	0.00	0.00	9.26	0.00	0.00
Travel and Training	0.00	833.37	5,328.71	10,000.00	10,000.00
Trolley Cleaning Supplies	378.00	708.37	6,114.95	8,500.00	8,500.00
Equipment - Other	0.00	0.00	789.00	0.00	0.00
Bank Credit Card Charges	22.78	33.37	532.26	500.00	500.00
Transit Maint. Facility-Rent	3,354.67	3,375.00	40,256.04	40,500.00	40,500.00
Depreciation - Transit Off Equ	165.50	8.37	1,861.70	100.00	100.00
Total Direct Cost of Program	109,111.37	97,038.48	1,218,637.37	1,187,960.00	1,187,960.00
Indirect Expenditures	45,183.13	29,300.00	413,828.91	351,600.00	351,600.00
Net Difference - Operations	(\$ 38,813.41)	\$ 37,440.76	(\$ 51,847.46)	\$ 0.00	0.00
Planning					
Federal 5307 Planning	\$ 83.00	\$ 27,308.33	\$ 5,621.00	\$ 35,000.00	\$ 35,000.00
State 5307 Planning	(769.79)	3,410.42	(77.53)	4,375.00	4,375.00
COF Planning Cost Share	791.04	3,410.42	1,483.38	4,375.00	4,375.00
Total Planning Revenues	104.25	34,129.17	7,026.85	43,750.00	43,750.00
Planning Costs					
Planning/Transit	104.25	34,129.17	7,026.85	43,750.00	43,750.00
Net Difference - Planning	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00
Equipment					
Federal 5307 Capital Expenditu	\$ 0.00	\$ 132,360.00	\$ 90,828.00	\$ 180,000.00	\$ 180,000.00
State 5307 Capital Expenditure	0.00	16,545.00	11,353.50	22,500.00	22,500.00
COF Capital Cost Share	0.00	16,545.00	11,353.50	22,500.00	22,500.00
Total Equipment Revenues	0.00	165,450.00	113,535.00	225,000.00	225,000.00
Equipment Costs					
Equipment - Transit	0.00	165,450.00	113,535.00	225,000.00	225,000.00
Net Difference - Equipment	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00

Results of Fuel Hedging Program, FY2016-2017, through month of July

						7.14%		3.30%	
						12,000 gallons		9,700 gallons	
<u>Gas</u> <u>Price</u>	<u>Gas</u> <u>Contract</u>	<u>Spread</u>	<u>Diesel</u> <u>Price</u>	<u>Diesel</u> <u>Contract</u>	<u>Spread</u>	<u>Month</u>	<u>Gas</u> <u>Contracts</u>	<u>Diesel</u> <u>Contracts</u>	<u>Total</u>
\$1.6268	\$1.3024	\$0.324	\$1.5057	\$1.2481	\$0.258	July	\$3,893.10	\$2,498.74	\$6,391.84
0.0000	0.0000	0.000	0.0000	0.0000	0.000	August	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	September	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	October	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	November	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	December	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	January	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	February	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	March	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	April	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	May	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	June	\$0.00	\$0.00	0.00
0.8134	1.3024	-0.489	0.7529	1.2481	-0.495	Fiscal Yr Total	3,893.10	2,498.74	6,391.84
Average			Average						

Contract schedule:

<u>Contract</u>	<u>Trade Date</u>	<u>Effective</u> <u>Date</u>	<u>Termination</u> <u>Date</u>	<u>Price</u>	<u>Gallons</u>
Diesel	1/22/16	7/1/16	6/30/17	1.203	126,000
Diesel	2/9/16	7/1/16	6/30/17	1.195	84,000
Diesel	3/8/16	7/1/16	6/30/17	1.370	84,000
Gasoline	1/22/16	7/1/16	6/30/17	1.237	126,000
Gasoline	12/1/15	7/1/16	6/30/17	1.500	42,000

The TMA Group
Statement of Activities
Franklin Transit Service
For the One Month Ending July 31, 2016

	Month Actual	Month Budget	YTD Actual	YTD Budget	Total Budget
Revenues					
COF Transit Operating	(5,915.09)	71,788.00	(5,915.09)	71,788.00	861,456.00
Revenue - Contracts	0.00	833.33	0.00	833.33	10,000.00
Revenue - Other	4,716.00	0.00	4,716.00	0.00	0.00
Revenue - Fares Fixed Route	0.00	7,083.33	0.00	7,083.33	85,000.00
Revenue - Fares TODD	0.00	0.00	0.00	0.00	0.00
Revenue - Transit Fares; HT	0.00	0.00	0.00	0.00	12,500.00
Revenue - Building & EquipRent	800.00	900.00	800.00	900.00	9,700.00
Revenue - Transit-Interest	412.45	266.67	412.45	266.67	3,200.00
Revenue - Sale of Surpl's Asset	0.00	0.00	0.00	0.00	0.00
Revenue - Operating Assistance	0.00	20,833.33	0.00	20,833.33	250,000.00
State New Freedoms	0.00	1,250.00	0.00	1,250.00	15,000.00
State 5307 Routematch	0.00	208.33	0.00	208.33	2,500.00
State 5307 Cap. Cost. Contract	0.00	0.00	0.00	0.00	0.00
State 5309 Bus Surveillance	0.00	0.00	0.00	0.00	0.00
State 5309 Rent Assistance	0.00	337.50	0.00	337.50	4,050.00
Federal New Freedoms	0.00	2,500.00	0.00	2,500.00	30,000.00
Feder 5307 Preventative Mainte	0.00	7,501.33	0.00	7,501.33	90,016.00
Federal 5307 Routematch	0.00	1,666.67	0.00	1,666.67	20,000.00
Feder 5307 Cap. Cost. Contract	0.00	42,994.42	0.00	42,994.42	515,933.00
Federal 5309 Bus Surveillance	0.00	0.00	0.00	0.00	0.00
Federal 5309 Rent Assistance	0.00	2,700.00	0.00	2,700.00	32,400.00
Total Revenues	13.36	160,862.91	13.36	160,862.91	1,941,755.00
Direct Cost of Program					
Salaries	33,738.43	55,566.67	33,738.43	55,566.67	666,800.00
Employer Taxes and Benefits	11,825.26	19,945.42	11,825.26	19,945.42	239,345.00
Professional Services	2,825.05	7,083.33	2,825.05	7,083.33	85,000.00
Transit Building Maintenance	1,612.07	500.00	1,612.07	500.00	6,000.00
Transit Maintenance	14,954.82	7,250.00	14,954.82	7,250.00	87,000.00
Transit Center Cleaning	422.00	425.00	422.00	425.00	5,100.00
Transit Security	0.00	41.67	0.00	41.67	500.00
Education/Community Outreach	0.00	83.33	0.00	83.33	1,000.00
Education/Community Outrch HT	0.00	0.00	0.00	0.00	0.00
Marketing/Web (G&A)	0.00	0.00	0.00	0.00	0.00
Promotional Products	0.00	166.67	0.00	166.67	2,000.00
Recruitment	62.09	0.00	62.09	0.00	0.00
Print Advertising	564.00	916.67	564.00	916.67	11,000.00
Print Advertising HT	0.00	166.67	0.00	166.67	2,000.00
Radio Advertising/Web	308.29	550.00	308.29	550.00	6,600.00
Radio Advertising/Web HT	0.00	0.00	0.00	0.00	0.00
TV Advertising/PR	0.00	0.00	0.00	0.00	0.00
Printed Brochures & Pieces	0.00	500.00	0.00	500.00	6,000.00
Legal Fees	0.00	208.33	0.00	208.33	2,500.00
Transit-DAM Compliance	148.00	250.00	148.00	250.00	3,000.00
Transit Fuel	5,624.64	10,000.00	5,624.64	10,000.00	120,000.00
Supplies	462.95	416.67	462.95	416.67	5,600.00
Transit Maint. Fac - Utilities	1,558.67	2,500.00	1,558.67	2,500.00	30,000.00
Radio Communications	90.00	0.00	90.00	0.00	0.00
Trolley Insurance	6,518.67	8,000.00	6,518.67	8,000.00	96,000.00
Transit General Liability	1,801.34	1,500.00	1,801.34	1,500.00	18,000.00
Payouts for Insured Liab Damag	0.00	0.00	0.00	0.00	0.00
Recov. for Liab. & Dmge Settle	0.00	0.00	0.00	0.00	0.00
Errors & Omissions Liability	606.68	625.00	606.68	625.00	7,500.00
Vehicle Licensing & Registrati	0.00	8.33	0.00	8.33	100.00
Dues, Subs, Tuition	1,131.76	750.00	1,131.76	750.00	9,000.00
Meetings	0.00	166.67	0.00	166.67	2,000.00

The TMA Group
Statement of Activities
Franklin Transit Service
For the One Month Ending July 31, 2016

	Month Actual	Month Budget	YTD Actual	YTD Budget	Total Budget
Postage	0.00	0.00	0.00	0.00	0.00
Travel and Training	0.00	1,000.00	0.00	1,000.00	12,000.00
Trolley Cleaning Supplies	360.00	762.50	360.00	762.50	9,150.00
Equipment - Other	100.00	41.67	100.00	41.67	500.00
Bank Credit Card Charges	13.36	66.67	13.36	66.67	800.00
Transit Maint. Facility-Rent	3,354.67	3,375.00	3,354.67	3,375.00	40,500.00
Depreciation - Transit Off Equ	165.50	166.67	165.50	166.67	2,000.00
Total Direct Cost of Program	88,248.25	123,032.94	88,248.25	123,032.94	1,476,995.00
Indirect Expenditures	27,554.25	38,730.00	27,554.25	38,730.00	464,760.00
Net Difference - Operations	(\$ 115,789.14)	(\$ 900.03)	(\$ 115,789.14)	(\$ 900.03)	0.00
Planning					
Federal 5307 Planning	\$ 0.00	\$ 5,000.00	\$ 0.00	\$ 5,000.00	60,000.00
State 5307 Planning	0.00	625.00	0.00	625.00	7,500.00
COF Planning Cost Share	0.00	625.00	0.00	625.00	7,500.00
Total Planning Revenues	0.00	6,250.00	0.00	6,250.00	75,000.00
Planning Costs					
Planning/Transit	0.00	6,250.00	0.00	6,250.00	75,000.00
Net Difference - Planning	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00
Equipment					
Federal 5307 Capital Expenditu	\$ 0.00	\$ 15,333.33	\$ 0.00	\$ 15,333.33	184,000.00
State 5307 Capital Expenditure	0.00	1,916.67	0.00	1,916.67	23,000.00
COF Capital Cost Share	0.00	1,916.67	0.00	1,916.67	23,000.00
Total Equipment Revenues	0.00	19,166.67	0.00	19,166.67	230,000.00
Equipment Costs					
Equipment - Transit	0.00	19,166.67	0.00	19,166.67	230,000.00
Net Difference - Equipment	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	0.00

Building Permits

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	121,004	219,601	282,914	(98,597)	(161,910)
August	0	107,814	138,898	0	0
September	0	186,497	240,266	0	0
October	0	184,004	237,054	0	0
November	0	153,876	198,240	0	0
December	0	144,063	185,598	0	0
January	0	146,071	188,185	0	0
February	0	184,600	237,822	0	0
March	0	170,519	219,681	0	0
April	0	193,006	248,651	0	0
May	0	198,688	255,971	0	0
June	0	239,081	308,010	0	0
Total (year-to-date)	121,004	2,127,820	2,741,289	(98,597)	(161,910)
% of Prior Year YTD/ % of Budget YTD >>>>>				55.1%	42.8%

* seasonality based on last year's results

110-32120

Road Impact fees

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	243,473	733,816	636,327	(490,343)	(392,854)
August	0	215,478	186,851	0	0
September	0	500,364	433,890	0	0
October	0	956,474	829,405	0	0
November	0	1,438,657	1,247,529	0	0
December	0	367,975	319,089	0	0
January	0	273,088	236,808	0	0
February	0	1,652,231	1,432,729	0	0
March	0	454,061	393,738	0	0
April	0	357,387	309,908	0	0
May	0	469,946	407,513	0	0
June	0	435,390	377,548	0	0
Total (year-to-date)	243,473	7,854,867	6,811,334	(490,343)	(392,854)
% of Prior Year YTD/ % of Budget YTD >>>>>				33.2%	38.3%

* seasonality based on last year's results

128-32800

Facilities Tax

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	221,540	460,763	278,520	(239,223)	(56,980)
August	0	138,739	83,864	0	0
September	0	392,050	236,984	0	0
October	0	693,869	419,426	0	0
November	0	561,169	339,212	0	0
December	0	235,832	142,554	0	0
January	0	227,208	137,341	0	0
February	0	575,877	348,103	0	0
March	0	302,406	182,797	0	0
April	0	336,678	203,513	0	0
May	0	325,313	196,643	0	0
June	0	564,194	341,041	0	0
Total (year-to-date)	221,540	4,814,098	2,910,000	(239,223)	(56,980)
% of Prior Year YTD/ % of Budget YTD >>>>>				48.1%	79.5%

* seasonality based on last year's results
130-31600

Williamson County Facilities Tax

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	105,603	143,941	144,956	(38,338)	(39,354)
August	0	101,030	37,500	0	0
September	0	89,284	89,914	0	0
October	0	100,660	100,660	0	0
November	0	101,862	102,581	0	0
December	0	137,145	138,113	0	0
January	0	78,117	78,668	0	0
February	0	79,539	80,100	0	0
March	0	81,274	81,848	0	0
April	0	129,225	130,137	0	0
May	0	98,950	99,648	0	0
June	0	100,215	100,922	0	0
Total (year-to-date)	105,603	1,241,241	1,250,000	(38,338)	(39,354)
% of Prior Year YTD/ % of Budget YTD >>>>>				73.4%	72.9%

* seasonality based on last year's results

budgeted in Capital Projects Fund beginning with FY11

310-31600



GOVERNMENT PORTFOLIO ADVISORS

Monthly Report

Account

7/31/2016

City of Franklin - Core Investment Fund

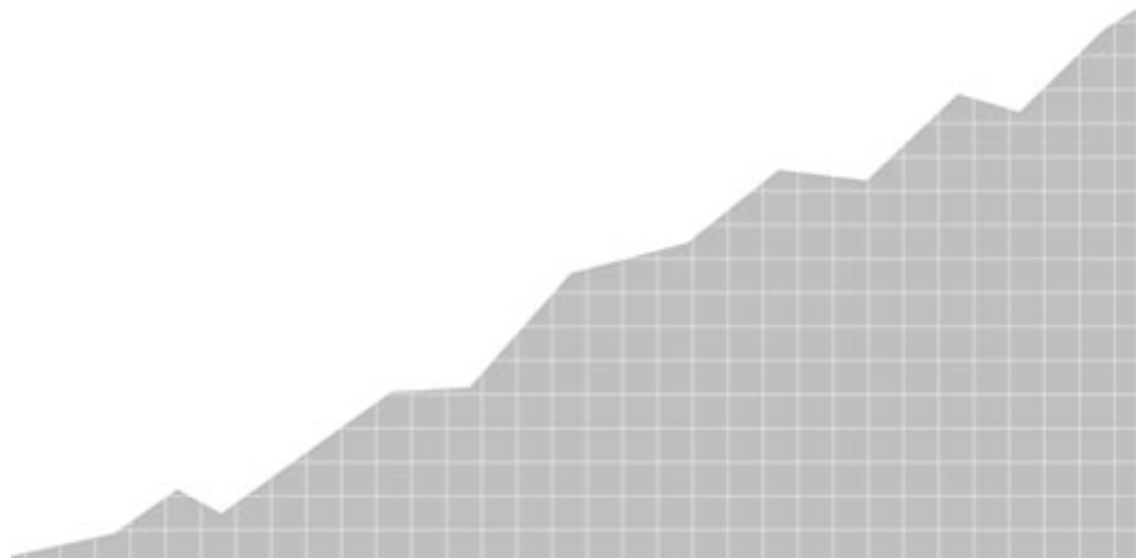




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MONTH END COMMENTARY – JULY 2016

After the shock of last month's Brexit vote subsided, bond yields increased during July. While rates have come back slightly since the Brexit vote, overall, yields remain low. Global central banks have continued to ease, making U.S. Treasuries attractive versus the lower and negative rates in Europe. The result of Brexit increases expectations for further European Central Bank and Bank of Japan accommodation. Even though July's economic data painted a rosy picture of the U.S. economy, U.S. 10-year interest rates made new lows in July. Even a very strong unemployment report only provided a temporary increase in yields. By the end of the month, the Dow Jones had recovered all of the loss after the Brexit and then some. The Dow Jones reached a 12 month high of 18,595 on 7/20/16.

FOMC: As expected, the FOMC left its monetary policy unchanged, however, they did leave the door open for an interest rate hike. Once again, the Fed stressed that any withdrawal of monetary stimulus remains dependent on economic data. They did concede that "Near-term risks to the economic outlook have diminished." There was little change in interest rate expectations after the meeting. The current Fed Funds futures expectations show an 18% chance of a rate hike in September and November and a 35% chance of a hike at the December meeting. The next meeting is September 21, 2016.

Non-farm Payrolls/Unemployment: Total nonfarm payroll employment increased by a strong 287,000 in June, the largest monthly gain since last October (295K). The unemployment rate rose from 4.7% to 4.9%. Job growth occurred in leisure and hospitality, health care, social assistance, and financial activities. Employment also increased in information technology, mostly reflecting the return of workers from a strike. Initial jobless claims dropped by 1,000 to 253,000, reaching a three-month low. For 72 consecutive weeks, claims have been below the 300,000 level that economists say is typically consistent with an improving job market. That's the longest stretch since 1973.

GDP: Real GDP increased at an annual rate of 1.2% in the second quarter of 2016, according to the "advance" estimate. This was well below the anticipated estimate of 2.5%. In the first quarter, real GDP increased .8%. (revised) The second quarter "advance" estimate is based on source data that are incomplete or subject to further revision by the source agency. The "second" estimate for the second quarter, based on more complete data, will be released on August 26, 2016.

Global demand for U.S. Treasuries: Also contributing to the downward pressure on U.S. Interest rates is the global demand for what appears to be high rates in comparison to interest rates in both Europe and Japan. For example, German bonds and Japanese bonds are all negative across the curve with the exception of 30-year bonds.

Consumer Price Index: The CPI increased .2% in June. Over the last 12 months, the all items index rose 1%. For the second consecutive month, increases in the indexes for energy and all items less food and energy more than offset a decline in the food index to result in an increase in the all items index.

Treasury Yield Curve Total Returns over the last 12 months:*

3month bill	.22
1 year note	.72
2 year note	1.07
3 year note	1.94
5 year note	4.19

*Source: Bloomberg

TREASURY BENCHMARK TOTAL RETURNS IN MONTH

Benchmark	Period Return	Duration
BAML 0-3 Year Treasury	-.04%	1.35
BAML 0-5 Year Treasury	-.04%	2.15
BAML 1-3 Year Treasury	-.06%	1.83
BAML 1-5 Year Treasury	-.04%	2.66

Changes in the Treasury Market (absolute yield levels):*

	07/31/15	06/30/16	07/31/16	Change in July	Change from Prior Year
3 month bill	.06	.26	.26	unch	+.20
6 month bill	.14	.35	.37	+.02	+.23
2 year note	.66	.58	.66	+.08	unch
3 year note	.97	.69	.75	+.06	-.22
5 year note	1.53	1.00	1.02	+.02	-.51
10 year	2.18	1.47	1.45	-.02	-.73

*Source: Bloomberg



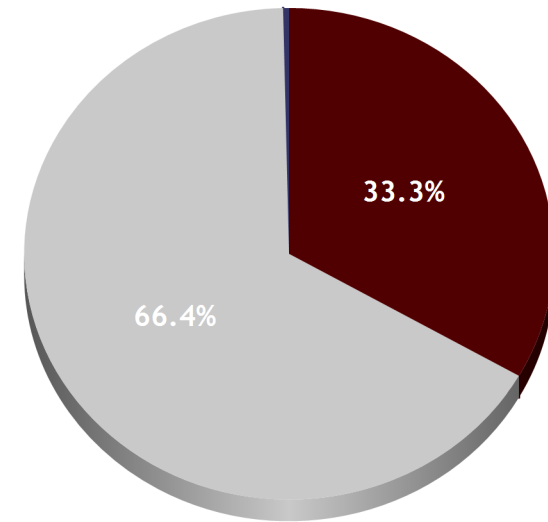
Weighted Averages

Book Yield	0.93
Maturity	1.45
Coupon	0.94
Moody	Aaa
S&P	AA+

Fixed Income Totals

Par Value	30,108,477
Market Value	30,268,717.10
Amortized Book Value	30,107,748.73
Unrealized Gain/Loss	160,968.37
Estimated Annual Cash Flow	281,260.85

Fixed Income Allocation



	Security Type	Market Value	% Assets
	US Agency (USD)	10,069,430.00	33.3
	US Treasury (USD)	20,090,810.00	66.4
	Bank or Cash Deposit (USD)	108,477.10	0.4
	Fixed Income Total	30,268,717.10	100.0

Portfolio Summary

July 31, 2016

Portfolio Name	Par Amount	Total Original Cost	Total Adjusted Cost	Market Value	Unrealized Gain/Loss	Yield At Cost	Mod Dur	Eff Dur	Bench Dur	Benchmark
City of Franklin - Core Investment Fund	30,108,477	30,110,425.08	30,107,748.73	30,268,717.10	160,968.37	0.93	1.42	1.42	1.35	BofA Merrill 0-3 Treasury
TOTAL PORTFOLIO	30,108,477	30,110,425.08	30,107,748.73	30,268,717.10	160,968.37	0.93	1.42	1.42	1.35	



Cost Basis Summary

	Month End Ending 7/31/2016	Fiscal Year-to-Date 12/31/2015
Beginning Amortized Cost	30,096,517.82	25,092,330.78
Investment Purchases	0.00	4,966,124.53
Investment Maturities/Sells/Calls	0.00	0.00
Amortization	(30.10)	(2,191.95)
Change in Cash Equivalents	11,261.01	51,485.36
Realized Gains / Losses	0.00	0.00
Ending Amortized Costs	30,107,748.73	30,107,748.73

Accrual Earnings Summary

	Month End Ending 7/31/2016	Fiscal Year-to-Date 12/31/2015
Amortization/Accretion	(30.10)	(2,191.95)
Interest Earned	24,019.17	153,239.22
Realized Gain (Loss)	0.00	0.00
Total Income	23,989.08	151,047.27
Average Portfolio Balance	30,354,066.30	28,237,285.54
Earnings Yield	0.93%	0.92%

MarketValue Summary

	As of 7/31/2016
Ending Market Value	30,268,717.10
Unrealized Gain/Loss	160,968.37

Interest Earnings Summary

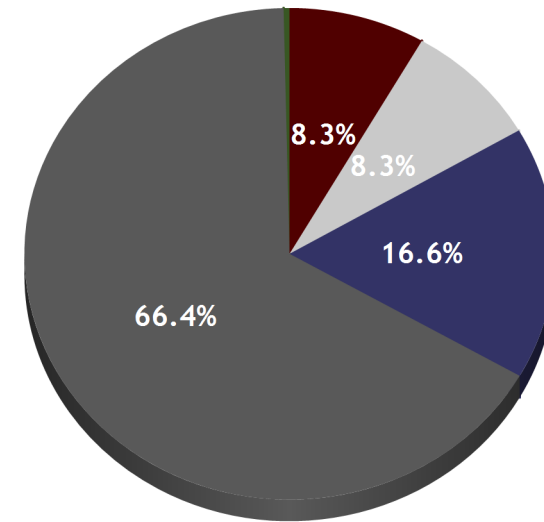
	Month End Ending 7/31/2016	Fiscal Year-to-Date 12/31/2015
Beginning Accrued Interest	72,306.91	56,159.75
Coupons Paid	34,386.01	164,105.52
Purchased Accrued Interest	0.00	(16,646.63)
Sold Accrued Interest	0.00	0.00
Ending Accrued Interest	61,940.07	61,940.07
Interest Earned	24,019.17	153,239.22



Total Return For Period

	Since 6/30/2016
Beginning Principal Value	30,282,908.59
Beginning Accrued Interest	72,306.91
Net Contributions/Withdrawals	-23,125.00
Market Value Change	-25,452.50
Interest Earnings	24,019.17
Ending Principal Value	30,268,717.10
Accrued Interest	61,940.07
Total Return	-1,433.33
Advisory Fees for Period	-2,083.33
Net Total Return	-3,516.66

Portfolio Allocation as of 7/31/2016



Issuer	Market Value	% Assets	Yield
FEDERAL NATL MTG ASSN	2,517,647.50	8.3	1.0
FEDERAL HOME LOAN BANKS	2,516,035.00	8.3	1.0
FEDERAL HOME LN MTG CORP	5,035,747.50	16.6	1.2
UNITED STATES TREAS NTS	20,090,810.00	66.4	0.9
FIFTH THIRD BK CINCINNATI STN	108,477.10	0.4	0.0
Total	30,268,717.10	100.0	0.9



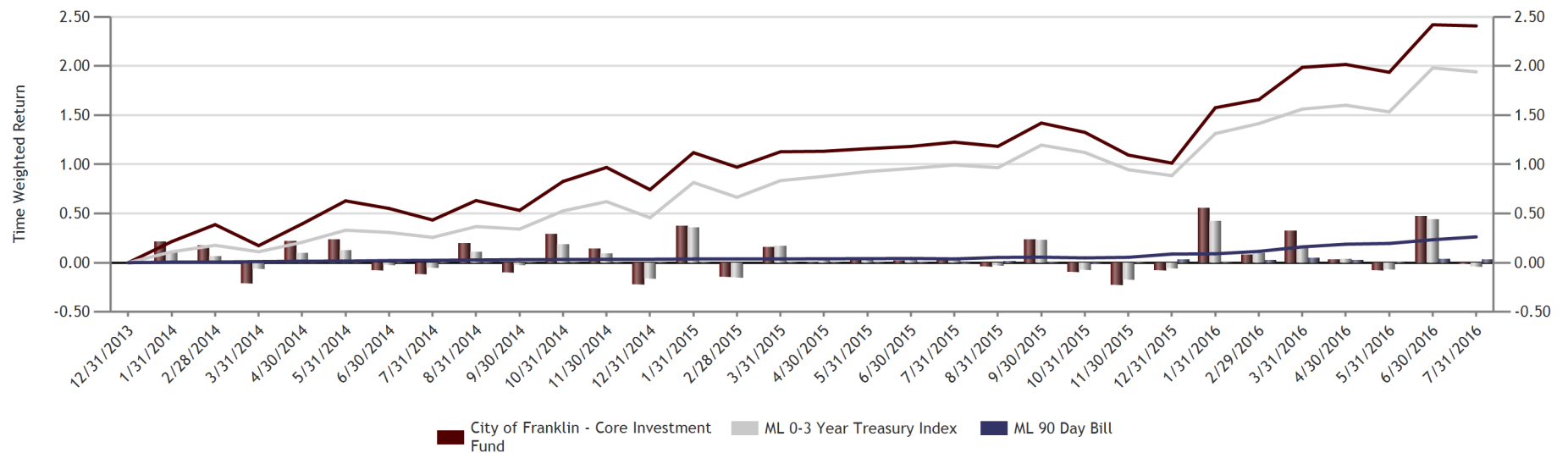
Performance History

Portfolio	Month To Date	Quarter To Date	Fiscal Year To Date	Annualized Latest 1 Year	Annualized Inception To Date
Account	-0.01	-0.01	1.38	1.17	0.93

Index

ML 0-3 Year Treasury Index	-0.04	-0.04	1.05	0.94	0.75
ML 90 Day Bill	0.03	0.03	0.17	0.22	0.10

Time Weighted Return Inception (12/31/2013) to Date

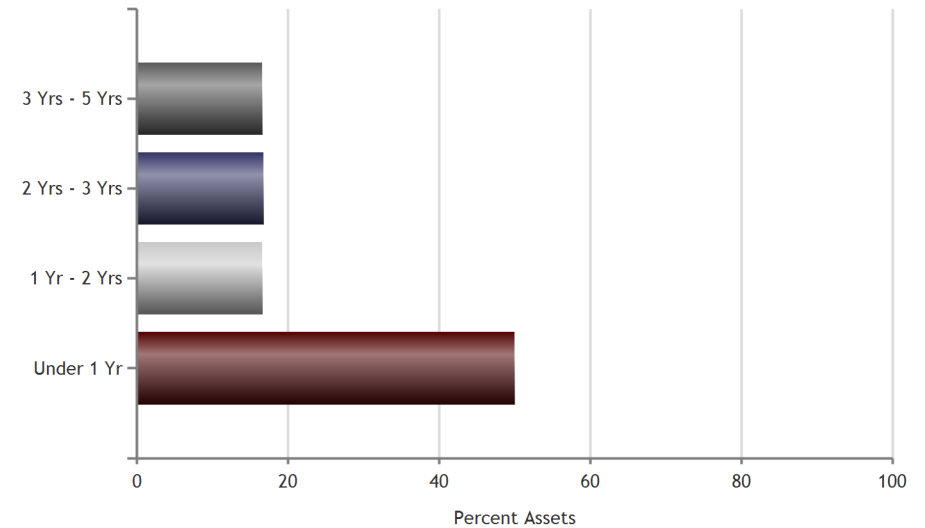




Distribution by Maturity

Maturity	Number	Market Value	% FI Holdings	Average Curr. Yld	Average Coupon	Average Duration
Under 1 Yr	7	15,127,614.60	50.0	0.7	0.704%	0.5
1 Yr - 2 Yrs	2	5,037,227.50	16.6	1.1	1.125%	1.7
2 Yrs - 3 Yrs	2	5,068,722.50	16.7	1.3	1.314%	2.4
3 Yrs - 5 Yrs	2	5,035,152.50	16.6	1.1	1.063%	3.0

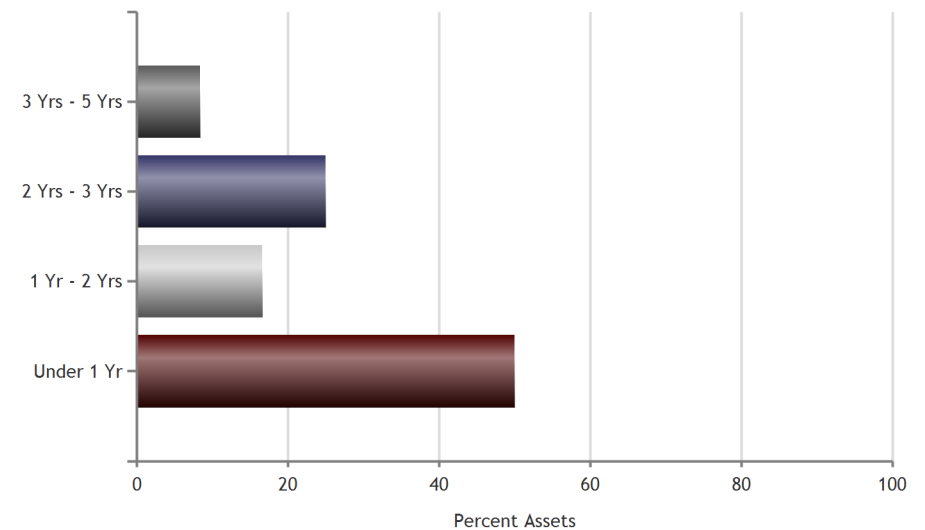
Distribution by Maturity



Distribution by Duration

Duration	Number	Market Value	% FI Holdings	Average Curr. Yld	Average Coupon	Average Duration
Under 1 Yr	7	15,127,614.60	50.0	0.7	0.704%	0.5
1 Yr - 2 Yrs	2	5,037,227.50	16.6	1.1	1.125%	1.7
2 Yrs - 3 Yrs	3	7,577,120.00	25.0	1.2	1.168%	2.6
3 Yrs - 5 Yrs	1	2,526,755.00	8.3	1.2	1.250%	3.1

Distribution by Duration

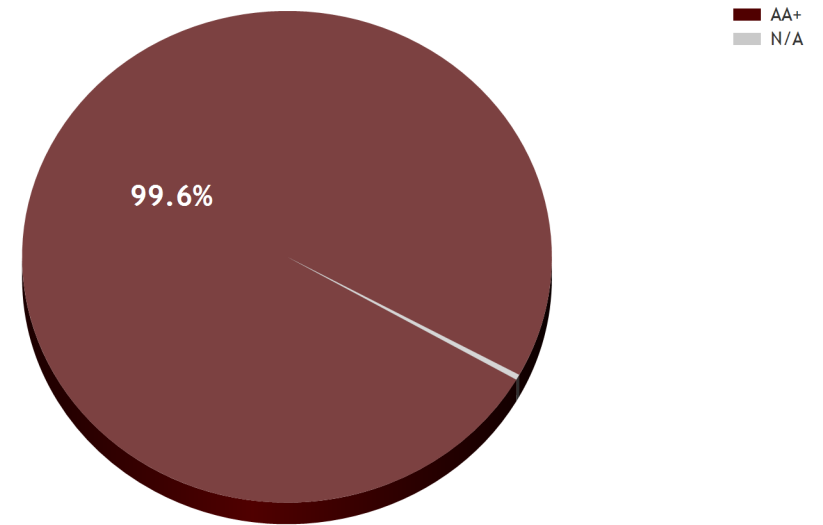




Distribution by S&P Rating

S&P Rating	Number	Market Value	% FI Holdings	Average YTM	Average Coupon	Average Duration
AA+	12	30,160,240.00	99.6	0.9	0.939%	2.8
N/A	1	108,477.10	0.4	0.0	0.010%	0.4

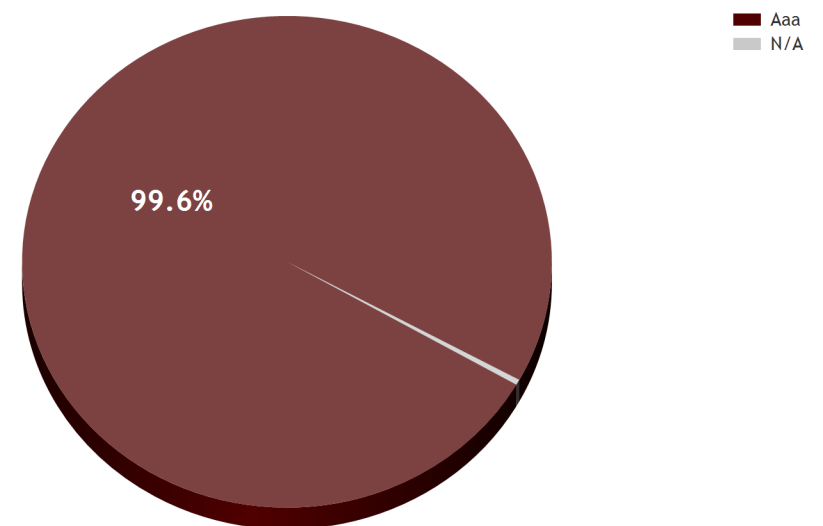
Distribution by S&P Rating

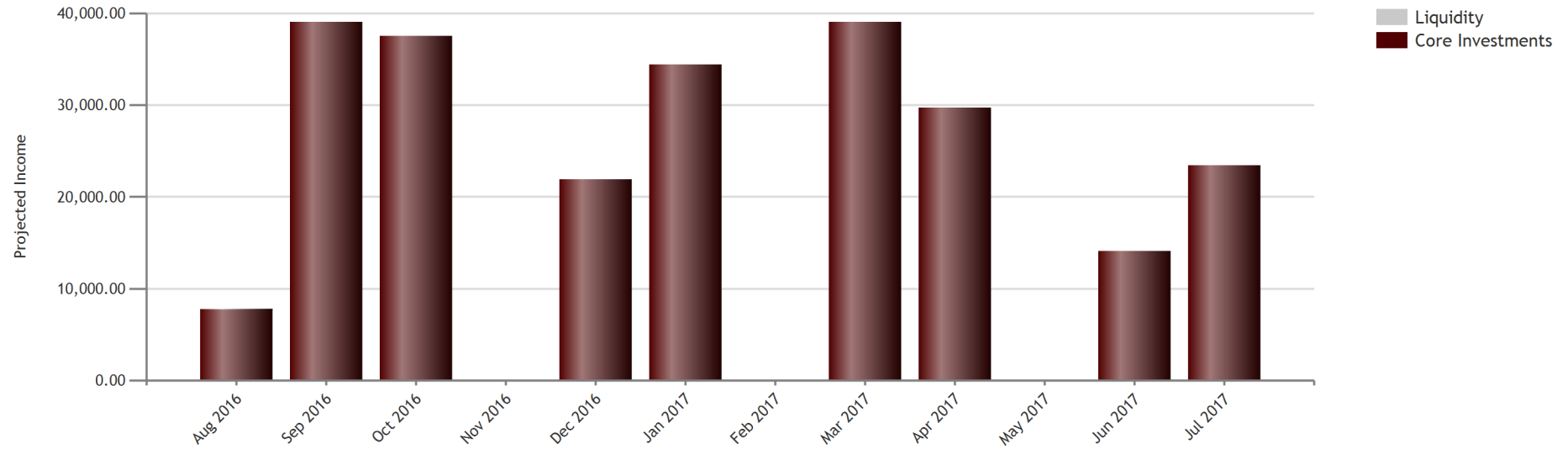


Distribution by Moody Rating

Moody Rating	Number	Market Value	% FI Holdings	Average YTM	Average Coupon	Average Duration
Aaa	12	30,160,240.00	99.6	0.9	0.939%	2.8
N/A	1	108,477.10	0.4	0.0	0.010%	0.4

Distribution by Moody Rating





	Aug 2016	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017	Apr 2017	May 2017	Jun 2017	Jul 2017
Core Investments	7,812.50	39,062.50	37,500.00	0.00	21,875.00	34,375.00	0.00	39,062.50	29,687.50	0.00	14,062.50	23,437.50
US Agency (USD)	0.00	14,062.50	29,687.50	0.00	0.00	12,500.00	0.00	14,062.50	29,687.50	0.00	0.00	12,500.00
US Treasury (USD)	7,812.50	25,000.00	7,812.50	0.00	21,875.00	21,875.00	0.00	25,000.00	0.00	0.00	14,062.50	10,937.50
Liquidity	1.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Bank or Cash Deposit (USD)	1.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	7,813.59	39,062.50	37,500.00	0.00	21,875.00	34,375.00	0.00	39,062.50	29,687.50	0.00	14,062.50	23,437.50
Grand Total	246,876.09											



Interest

Trade Date	Settle Date	Symbol	Security	Amount
7/1/2016	7/1/2016	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 08-29-16	11
7/28/2016	7/28/2016	3137EADJ5	FEDERAL HOME LN MTG CORP 1.000% Due 07-28-17	12,500
7/31/2016	7/31/2016	912828SC5	UNITED STATES TREAS NTS 0.875% Due 01-31-17	10,938
7/31/2016	7/31/2016	912828TH3	UNITED STATES TREAS NTS 0.875% Due 07-31-19	10,938
Total Interest				34,386

Expenses

Trade Date	Settle Date	Symbol	Security	Amount
7/20/2016	7/20/2016	fees	exusfees	1,250
7/31/2016	7/31/2016	manfee	Management Fee	2,083
Total Expenses				3,333

Contributions

Trade Date	Settle Date	Quantity	Symbol	Security	Unit Price	Amount
7/1/2016	7/1/2016	11	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 08-29-16	100.00	11
7/28/2016	7/28/2016	12,500	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 08-29-16	100.00	12,500
Total Contributions						12,511

Withdrawals

Trade Date	Settle Date	Quantity	Symbol	Security	Unit Price	Amount
7/20/2016	7/20/2016	1,250	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 08-29-16	100.00	1,250
Total Withdrawals						1,250

Portfolio Holdings

City of Franklin - Core Investment Fund
July 31, 2016

Cusip	Quantity	Security	Call Date	Trade Date	Amor Price	Book Yield	Market Price	Market Yield	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Pct. Assets	Dur Mat	Eff Dur
US Treasury															
912828VR8	2,500,000	UNITED STATES TREAS NTS 0.625% Due 08-15-16		03-27-14	100.00	0.62	100.0167	0.22	2,500,417.50	7,168.61	2,507,586.11	415.93	8.3	0.04	0.04
912828WA4	2,500,000	UNITED STATES TREAS NTS 0.625% Due 10-15-16		03-27-14	99.98	0.70	100.0706	0.28	2,501,765.00	4,567.96	2,506,332.96	2,158.40	8.3	0.21	0.20
912828A59	2,500,000	UNITED STATES TREAS NTS 0.625% Due 12-15-16		12-18-13	100.00	0.64	100.0945	0.37	2,502,362.50	1,963.80	2,504,326.30	2,471.88	8.3	0.37	0.37
912828SC5	2,500,000	UNITED STATES TREAS NTS 0.875% Due 01-31-17		03-27-14	100.02	0.84	100.2227	0.43	2,505,567.50	0.00	2,505,567.50	5,189.60	8.3	0.50	0.50
912828J92	2,500,000	UNITED STATES TREAS NTS 0.500% Due 03-31-17		07-24-15	99.93	0.60	100.0013	0.50	2,500,032.50	4,166.67	2,504,199.17	1,735.51	8.3	0.66	0.66
912828XF2	2,500,000	UNITED STATES TREAS NTS 1.125% Due 06-15-18		08-18-15	100.19	1.02	100.8477	0.67	2,521,192.50	3,534.84	2,524,727.34	16,330.79	8.3	1.85	1.85
912828SN1	2,500,000	UNITED STATES TREAS NTS 1.500% Due 03-31-19		11-16-15	100.53	1.30	102.0430	0.72	2,551,075.00	12,500.00	2,563,575.00	37,835.50	8.4	2.60	2.60
912828TH3	2,500,000	UNITED STATES TREAS NTS 0.875% Due 07-31-19		03-10-16	99.05	1.20	100.3359	0.76	2,508,397.50	0.00	2,508,397.50	32,204.54	8.3	2.96	2.95
	20,000,000					0.87		0.50	20,090,810.00	33,901.88	20,124,711.88	98,342.15	66.4	1.15	1.15
US Agency Bullet															
3137EADJ5	2,500,000	FEDERAL HOME LN MTG CORP 1.000% Due 07-28-17		12-18-13	100.01	0.99	100.3597	0.63	2,508,992.50	208.33	2,509,200.83	8,688.32	8.3	0.99	0.98
3130A5PE3	2,500,000	FEDERAL HOME LOAN BANKS 1.125% Due 03-29-18		07-24-15	100.17	1.02	100.6414	0.73	2,516,035.00	9,531.25	2,525,566.25	11,682.99	8.3	1.64	1.63
3135G0E58	2,500,000	FEDERAL NATL MTG ASSN 1.125% Due 10-19-18		10-06-15	100.34	0.97	100.7059	0.80	2,517,647.50	7,968.75	2,525,616.25	9,238.17	8.3	2.18	2.18
3137EADM8	2,500,000	FEDERAL HOME LN MTG CORP 1.250% Due 10-02-19		03-10-16	99.75	1.33	101.0702	0.91	2,526,755.00	10,329.86	2,537,084.86	33,016.75	8.3	3.09	3.09
	10,000,000					1.08		0.77	10,069,430.00	28,038.19	10,097,468.19	62,626.22	33.3	1.98	1.97

Portfolio Holdings

City of Franklin - Core Investment Fund

July 31, 2016

Cusip	Quantity	Security	Call Date	Trade Date	Amor Price	Book Yield	Market Price	Market Yield	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Pct. Assets	Dur Mat	Eff Dur
Money Market Fund															
0000FIFTH	108,477	FIFTH THIRD BANK MMF 0.010% Due 08-29-16		03-31-16	100.00	0.01	100.0000	0.01	108,477.10	0.00	108,477.10	0.00	0.4	0.08	0.05
TOTAL	30,108,477					0.93		0.58	30,268,717.10	61,940.07	30,330,657.17	160,968.37	100.0	1.42	1.42



Disclaimer & Terms

7/31/2016

This report is for general informational purposes only and is not intended to provide specific advice or recommendations. Government Portfolio Advisors (GPA) is an investment advisor registered with the Securities and Exchange Commission and is required to maintain a written disclosure statement of our background and business experience.

Questions About an Account: GPA's monthly & quarterly reports are intended to detail the investment advisory activity managed by GPA. The custodian bank maintains the control of assets and executes (ie. Settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. GPA recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the GPA report should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

GPA relies on the information provided by the clients when reporting pool balances, bank balances and other assets that are not held at the clients custodial bank.

Account Control:

GPA does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies, implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value:

Generally, market prices in GPA's reports are derived from closing bid prices as of the last business day of the month as supplied by Interactive Data or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although GPA believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold.

Amortized Cost:

The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premiums with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using a straight-line basis.

Financial Situation:

In order to better serve you, GPA should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities:

Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented. Certain call dates may not show up on the report if the call date has passed and it is continuously callable.

Duration:

The duration listed on the reports is duration to maturity and duration to call. Effective duration is calculated on Bloomberg and imported into these reports and reflects the OAS duration which incorporates the probability of the bond being called. Effective duration is what GPA compares to the benchmark to reflect current market risk.

Benchmark Duration:

The benchmark duration is the historical weighted average of the benchmark duration over monthly periods. GPA is reporting this number as a static amount period over period.

Portfolio:

The securities in this portfolio are not guaranteed or otherwise protected by GPA, the FDIC (except for non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested.

Rating:

Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Coupon Payments and Maturities on Weekends:

Oftentimes, coupon payments and maturities will occur on a weekend or holiday. GPA will track these payments on an accrual basis, while the custodian bank may track on a cash basis. The accrual basis allocates the earnings in the period earned.



MEMORANDUM

MEMORANDUM

September 15, 2016

TO: Eric Stuckey, City Administrator

FROM: Russ Truell, ACA/CFO

RE: Local Sales Tax Report

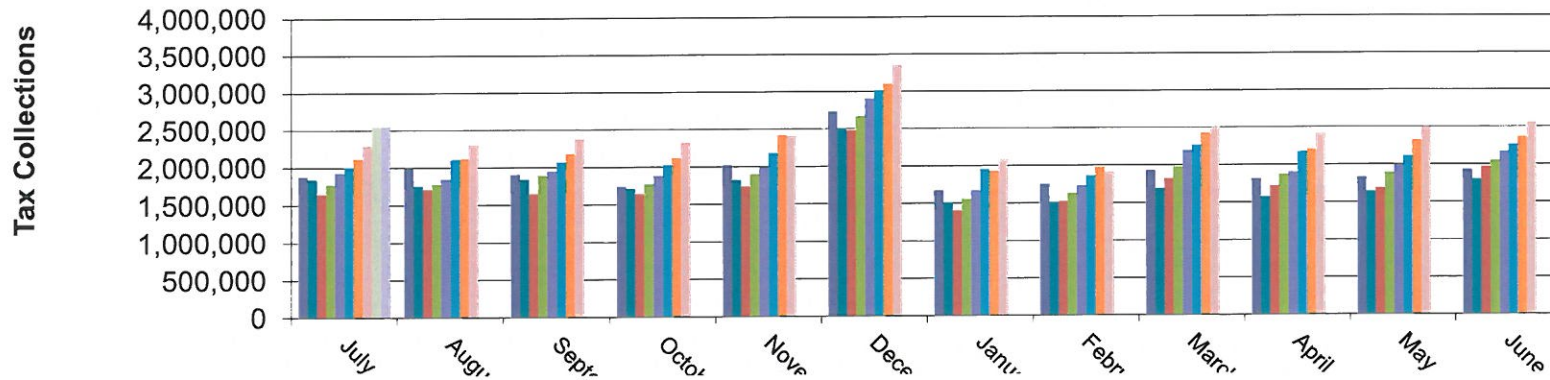
The local sales tax remittance from the State of Tennessee for September was \$2,546,087 compared to \$2,477,647 for the same month in 2015, an increase of \$68,440 or 2.8%. The September remittance is for sales tax collected during the month of July, representing the first month of the fiscal year on an accrual basis. During the same period, the State of Tennessee sales tax collections were up 2.1% from the prior year.

For budget comparisons, the City originally anticipated collections of \$2.6 million through one month of the fiscal year. As a further comparison, the July collection of \$2.546 million compares to \$1.769 million in 2010, \$1.925 million in 2011, \$2.003 million in 2012, \$2.113 million in 2013 and \$2.288 million in 2014. The local sales tax collections have increased year-over-year in 75 of the last 78 months reported."

Local Sales Tax Revenue Comparison

Month	FY07	FY08	FY09	FY10	FY11	FY12	FY13	FY14	FY15	FY16	FY17	% over prior yr	\$ over prior yr
July	1,857,805	1,876,318	1,842,160	1,646,449	1,769,523	1,925,395	2,003,719	2,113,374	2,288,457	2,477,647	2,546,087	2.8%	68,440
August	1,837,563	1,985,123	1,747,507	1,711,487	1,774,021	1,843,928	2,101,518	2,115,836	2,296,081	2,420,111	0	0	0
September	1,977,557	1,900,808	1,834,296	1,645,241	1,888,809	1,946,970	2,065,402	2,178,174	2,374,572	2,571,550	0	0	0
October	1,887,613	1,734,361	1,707,123	1,639,767	1,767,404	1,881,099	2,026,865	2,117,978	2,327,025	2,485,462	0	0	0
November	1,960,370	2,018,105	1,816,125	1,736,146	1,892,149	1,998,723	2,176,371	2,419,578	2,399,941	2,579,786	0	0	0
December	2,806,905	2,730,286	2,491,017	2,479,640	2,670,491	2,902,675	3,012,759	3,097,595	3,345,841	3,752,983	0	0	0
January	2,034,121	1,664,281	1,502,087	1,401,398	1,552,324	1,664,281	1,948,752	1,926,687	2,079,073	2,151,378	0	0	0
February	1,649,397	1,752,131	1,507,868	1,521,898	1,628,745	1,729,002	1,856,748	1,973,541	1,900,629	2,181,227	0	0	0
March	2,054,793	1,925,296	1,688,794	1,819,095	1,979,080	2,191,405	2,265,006	2,421,918	2,479,918	2,689,471	0	0	0
April	1,885,024	1,812,244	1,572,824	1,719,674	1,866,180	1,897,741	2,168,372	2,201,566	2,410,499	2,611,014	0	0	0
May	1,909,074	1,824,127	1,642,271	1,686,756	1,884,275	1,989,477	2,109,923	2,323,975	2,489,724	2,559,116	0	0	0
June	2,025,044	1,926,353	1,800,044	1,961,270	2,047,664	2,168,095	2,260,297	2,364,520	2,552,236	2,829,620	0	0	0
Total	23,885,264	23,149,433	21,152,117	20,968,821	22,720,667	24,138,792	25,995,732	27,254,742	28,943,994	31,309,366	2,546,087	26,391,758	68,440
Budgeted	Up	Down	Down	Down	year to date	year to date	year to date	year to date	year to date	year to date	year to date	last yr YTD	YTD difference
	8.5%	-3.1%	-8.6%	-0.9%	8.4%	6.2%	7.7%	4.8%	6.2%	8.2%	-91.9%	26,391,758	

Local Sales Taxes



Building Permits

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	121,004	219,601	282,914	(98,597)	(161,910)
August	210,112	107,814	138,898	102,298	71,214
September	0	186,497	240,266	0	0
October	0	184,004	237,054	0	0
November	0	153,876	198,240	0	0
December	0	144,063	185,598	0	0
January	0	146,071	188,185	0	0
February	0	184,600	237,822	0	0
March	0	170,519	219,681	0	0
April	0	193,006	248,651	0	0
May	0	198,688	255,971	0	0
June	0	239,081	308,010	0	0
Total (year-to-date)	331,116	2,127,820	2,741,289	3,701	(90,696)
% of Prior Year YTD/ % of Budget YTD >>>>>				101.1%	78.5%

* seasonality based on last year's results

110-32120

Road Impact fees

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	243,473	733,816	636,327	(490,343)	(392,854)
August	555,634	215,478	186,851	340,156	368,783
September	0	500,364	433,890	0	0
October	0	956,474	829,405	0	0
November	0	1,438,657	1,247,529	0	0
December	0	367,975	319,089	0	0
January	0	273,088	236,808	0	0
February	0	1,652,231	1,432,729	0	0
March	0	454,061	393,738	0	0
April	0	357,387	309,908	0	0
May	0	469,946	407,513	0	0
June	0	435,390	377,548	0	0
Total (year-to-date)	799,107	7,854,867	6,811,334	(150,187)	(24,072)
% of Prior Year YTD/ % of Budget YTD >>>>>				84.2%	97.1%

* seasonality based on last year's results

128-32800

Facilities Tax

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	221,540	460,763	278,520	(239,223)	(56,980)
August	299,262	138,739	83,864	160,523	215,398
September	0	392,050	236,984	0	0
October	0	693,869	419,426	0	0
November	0	561,169	339,212	0	0
December	0	235,832	142,554	0	0
January	0	227,208	137,341	0	0
February	0	575,877	348,103	0	0
March	0	302,406	182,797	0	0
April	0	336,678	203,513	0	0
May	0	325,313	196,643	0	0
June	0	564,194	341,041	0	0
Total (year-to-date)	520,802	4,814,098	2,910,000	(78,700)	158,418
% of Prior Year YTD/ % of Budget YTD >>>>>				86.9%	143.7%

* seasonality based on last year's results

130-31600

Williamson County Facilities Tax

Month	FY2017	FY2016	BUDGET	Variance from Last Year	Variance from Budget
July	105,603	143,941	144,956	(38,338)	(39,354)
August	81,772	101,030	37,500	(19,258)	44,272
September	0	89,284	89,914	0	0
October	0	100,660	100,660	0	0
November	0	101,862	102,581	0	0
December	0	137,145	138,113	0	0
January	0	78,117	78,668	0	0
February	0	79,539	80,100	0	0
March	0	81,274	81,848	0	0
April	0	129,225	130,137	0	0
May	0	98,950	99,648	0	0
June	0	100,215	100,922	0	0
Total (year-to-date)	187,374	1,241,241	1,250,000	(57,596)	4,918
% of Prior Year YTD/ % of Budget YTD >>>>>				76.5%	102.7%

* seasonality based on last year's results

budgeted in Capital Projects Fund beginning with FY11

310-31600

Results of Fuel Hedging Program, FY2016-2017, through month of August

						7.14%		3.30%	
						12,000 gallons		9,700 gallons	
<u>Gas Price</u>	<u>Gas Contract</u>	<u>Spread</u>	<u>Diesel Price</u>	<u>Diesel Contract</u>	<u>Spread</u>	<u>Month</u>	<u>Gas Contracts</u>	<u>Diesel Contracts</u>	<u>Total</u>
\$1.6268	\$1.3024	\$0.324	\$1.5057	\$1.2481	\$0.258	July	\$3,893.10	\$2,498.74	\$6,391.84
1.4162	1.3024	0.114	1.4147	1.2481	0.167	August	\$1,365.90	\$1,616.13	2,982.03
0.0000	0.0000	0.000	0.0000	0.0000	0.000	September	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	October	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	November	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	December	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	January	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	February	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	March	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	April	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	May	\$0.00	\$0.00	0.00
0.0000	0.0000	0.000	0.0000	0.0000	0.000	June	\$0.00	\$0.00	0.00
1.5215	1.3024	0.219	1.4602	1.2481	0.212	Fiscal Yr Total	5,259.00	4,114.87	9,373.87
Average			Average						

Contract schedule:

Contract	Trade Date	Effective Date	Termination Date	Price	Gallons
Diesel	1/22/16	7/1/16	6/30/17	1.203	126,000
Diesel	2/9/16	7/1/16	6/30/17	1.195	84,000
Diesel	3/8/16	7/1/16	6/30/17	1.370	84,000
Gasoline	1/22/16	7/1/16	6/30/17	1.237	126,000
Gasoline	12/1/15	7/1/16	6/30/17	1.500	42,000



GOVERNMENT PORTFOLIO ADVISORS

Monthly Report

Account

8/31/2016

City of Franklin - Core Investment Fund

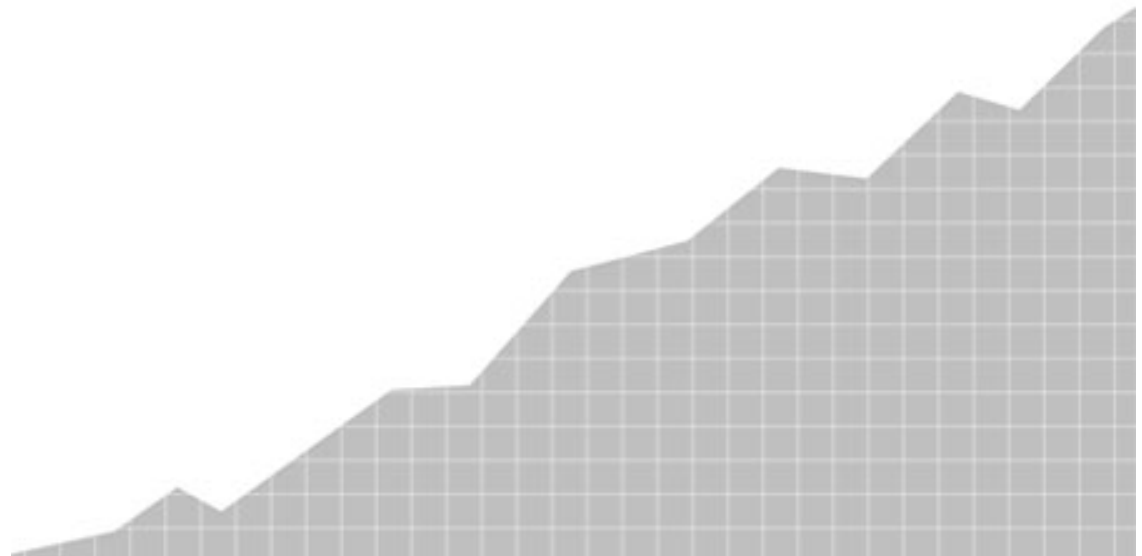




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MONTH END COMMENTARY – AUGUST 2016

Strong economic data coupled with more hawkish minutes from the Fed meeting combined for higher rates in August. The two-year Treasury note increased 15 basis points from .66% at the end of July to .81% at the end of August. Five year U.S. Treasuries also suffered a loss with the yield increasing from 1.02% at the end of July to 1.20% in August. In addition to data strength and hawkish Fed minutes, the possibility of a rate hike was mentioned by Fed Chair Yellen during her speech in Jackson Hole. She strengthened the case to raise rates because the U.S. economy is closer to the financial goals it needs to meet. As the Fed has reiterated over and over again, any rate hike remains data dependent.

FOMC: The minutes of the July FOMC meeting were released in August. According to the minutes, many members of the Committee voted to adopt a “wait-and-see” strategy. They “judged that it was appropriate to wait for additional information that would allow them to evaluate the underlying momentum in economic activity and the labor market and whether inflation was continuing to rise gradually to 2 percent as expected.” The bottom line is the Fed is keeping its options open for the September 20-21 policy meeting. The current Fed Funds futures market is currently pricing in a 34% probability of a rate hike in September, 40% probability in November and 58.6% probability of a rate hike in December.

Janet Yellen’s Jackson Hole Speech: As opposed to last year, when Janet Yellen did not attend the annual central bankers meeting in Jackson Hole, Wyoming, this year she attended and her speech added more significance to this event. In her speech, she noted that the “case for a rate hike has strengthened in recent months.” The month’s economic data were generally supportive of a rate hike.

Non-farm Payrolls/Unemployment: Total nonfarm payroll employment increased by a strong 255,000 in July, and the unemployment rate was unchanged at 4.9%. The 255,000 increase was much stronger than the consensus expected increase of 200,000. This resulted in an average monthly gain over the past three months of 190,000. Also reported was that in July, 63.75% of private companies expanded payrolls, the largest share since February 2015. Job gains occurred in professional and business services, health care, and financial activities. Mining employment continued to trend down. The four week moving average of initial jobless claims was 263,000, a decrease of 1,000. This marks 78 consecutive weeks of initial claims below 300,000, the longest streak since 1970.

GDP: Second Quarter 2016 (Second Estimate) - Real GDP increased at an annual rate of 1.1% in the second quarter of 2016, according to the “second” estimate. This was in line with most estimates.

Consumer Price Index: On a seasonally adjusted basis, the CPI was unchanged in July after increasing .2% in June.

Producer Price Index: The PPI decreased .4% in July. Final PPI rose .5% in June and .4% in May.

Treasury Yield Curve Total Returns over the last 12 months:*

3month bill	.23
1 year note	.71
2 year note	.91
3 year note	1.66
5 year note	3.35

*Source: Bloomberg

TREASURY BENCHMARK TOTAL RETURNS IN MONTH

Benchmark	Period Return	Duration
BAML 0-3 Year Treasury	-.09%	1.45
BAML 0-5 Year Treasury	-.23%	2.25
BAML 1-3 Year Treasury	-.17%	1.80
BAML 1-5 Year Treasury	-.31%	2.63

Changes in the Treasury Market (absolute yield levels):*

	08/31/15	07/31/16	08/31/16	Change in August	Change from Prior Year
3 month bill	.00	.26	.33	+.07	+.33
6 month bill	.23	.37	.46	+.09	+.23
2 year note	.74	.66	.81	+.15	+.07
3 year note	1.05	.75	.92	+.17	-.22
5 year note	1.55	1.02	1.20	+.18	-.35
10 year	2.22	1.45	1.58	+.13	-.64

*Source: Bloomberg



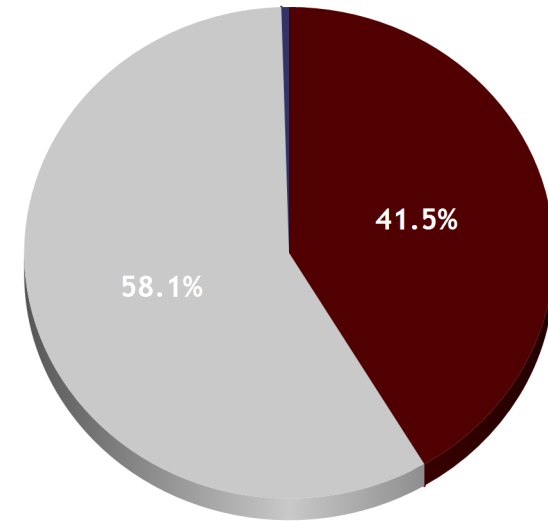
Weighted Averages

Book Yield	0.95
Maturity	1.52
Coupon	0.95
Moody	Aaa
S&P	AA+

Fixed Income Totals

Par Value	30,140,426
Market Value	30,237,505.98
Amortized Book Value	30,137,471.78
Unrealized Gain/Loss	100,034.20
Estimated Annual Cash Flow	285,639.04

Fixed Income Allocation



Security Type	Market Value	% Assets
US Agency (USD)	12,537,945.00	41.5
US Treasury (USD)	17,559,135.00	58.1
Bank or Cash Deposit (USD)	140,425.98	0.5
Fixed Income Total	30,237,505.98	100.0

Portfolio Summary

August 31, 2016

Portfolio Name	Par Amount	Total Original Cost	Total Adjusted Cost	Market Value	Unrealized Gain/Loss	Yield At Cost	Mod Dur	Eff Dur	Bench Dur	Benchmark
City of Franklin - Core Investment Fund	30,140,426	30,140,026.30	30,137,471.78	30,237,505.98	100,034.20	0.95	1.50	1.50	1.45	BofA Merrill 0-3 Treasury
TOTAL PORTFOLIO	30,140,426	30,140,026.30	30,137,471.78	30,237,505.98	100,034.20	0.95	1.50	1.50	1.45	



Cost Basis Summary

	Month End Ending 8/31/2016	Fiscal Year-to-Date 12/31/2015
Beginning Amortized Cost	30,107,748.73	25,092,330.78
Investment Purchases	2,497,750.00	7,463,874.53
Investment Maturities/Sells/Calls	(2,500,000.00)	(2,500,000.00)
Amortization	24.17	(2,167.77)
Change in Cash Equivalents	31,948.88	83,434.24
Realized Gains / Losses	0.00	0.00
Ending Amortized Costs	30,137,471.78	30,137,471.78

Accrual Earnings Summary

	Month End Ending 8/31/2016	Fiscal Year-to-Date 12/31/2015
Amortization/Accretion	24.17	(2,167.77)
Interest Earned	23,868.62	177,107.84
Realized Gain (Loss)	0.00	0.00
Total Income	23,892.79	174,940.06
Average Portfolio Balance	30,352,532.17	28,456,586.33
Earnings Yield	0.93%	0.92%

MarketValue Summary

	As of 8/31/2016
Ending Market Value	30,237,505.98
Unrealized Gain/Loss	100,034.20

Interest Earnings Summary

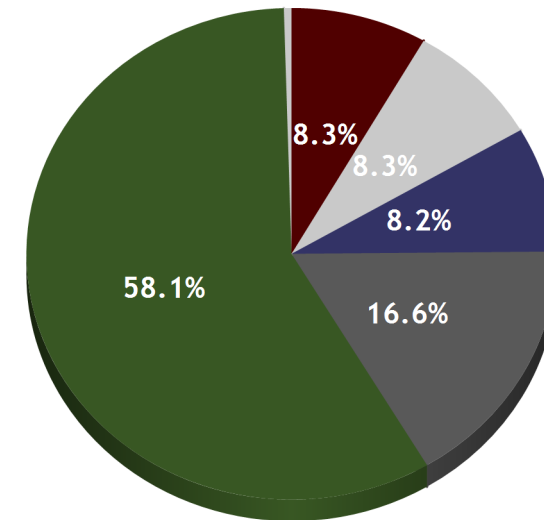
	Month End Ending 8/31/2016	Fiscal Year-to-Date 12/31/2015
Beginning Accrued Interest	61,940.07	56,159.75
Coupons Paid	11.38	164,116.90
Purchased Accrued Interest	0.00	(16,646.63)
Sold Accrued Interest	7,812.50	7,812.50
Ending Accrued Interest	77,984.81	77,984.81
Interest Earned	23,868.62	177,107.84



Total Return For Period

	Since 7/31/2016
Beginning Principal Value	30,268,717.10
Beginning Accrued Interest	61,940.07
Net Contributions/Withdrawals	21,875.00
Market Value Change	-60,910.00
Interest Earnings	23,868.62
Ending Principal Value	30,237,505.98
Accrued Interest	77,984.81
Total Return	-37,041.38
Advisory Fees for Period	-2,083.33
Net Total Return	-39,124.71

Portfolio Allocation as of 8/31/2016



Issuer	Market Value	% Assets	Yield
FEDERAL NATL MTG ASSN	2,511,007.50	8.3	1.0
FEDERAL HOME LOAN BANKS	2,509,735.00	8.3	1.0
FEDERAL FARM CR BKS	2,494,015.00	8.2	0.8
FEDERAL HOME LN MTG CORP	5,023,187.50	16.6	1.2
UNITED STATES TREAS NTS	17,559,135.00	58.1	0.9
FIFTH THIRD BK CINCINNATI STN	140,425.98	0.5	0.0
Total	30,237,505.98	100.0	1.0



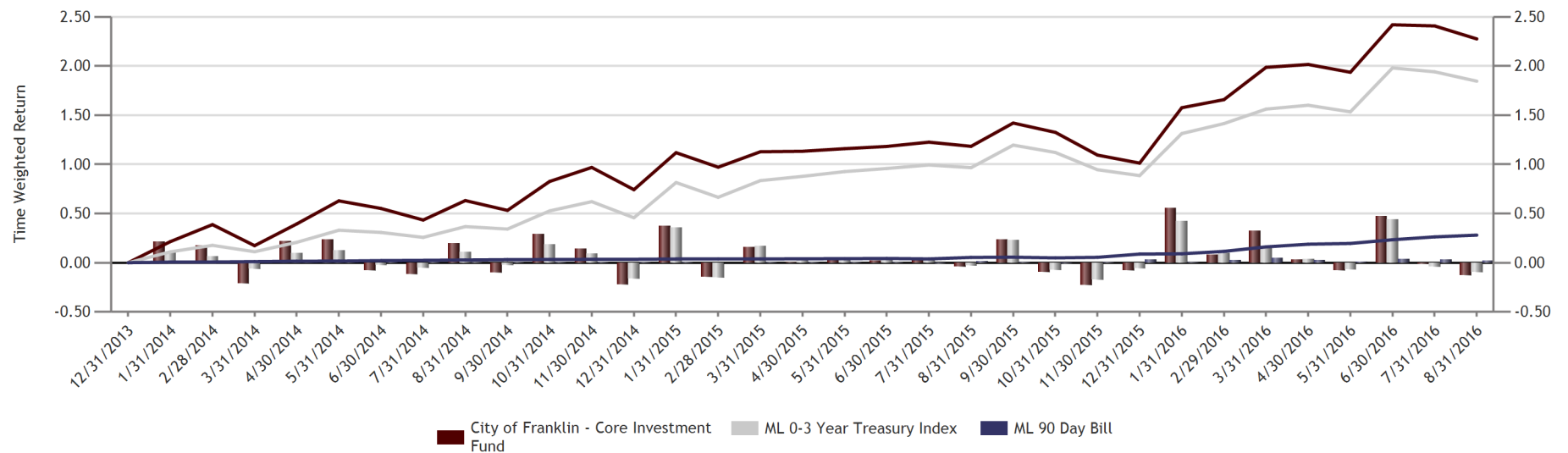
Performance History

Portfolio	Month To Date	Quarter To Date	Fiscal Year To Date	Annualized Latest 1 Year	Annualized Inception To Date
Account	-0.13	-0.14	1.25	1.08	0.85

Index

ML 0-3 Year Treasury Index	-0.09	-0.13	0.95	0.87	0.69
ML 90 Day Bill	0.02	0.05	0.19	0.23	0.11

Time Weighted Return Inception (12/31/2013) to Date

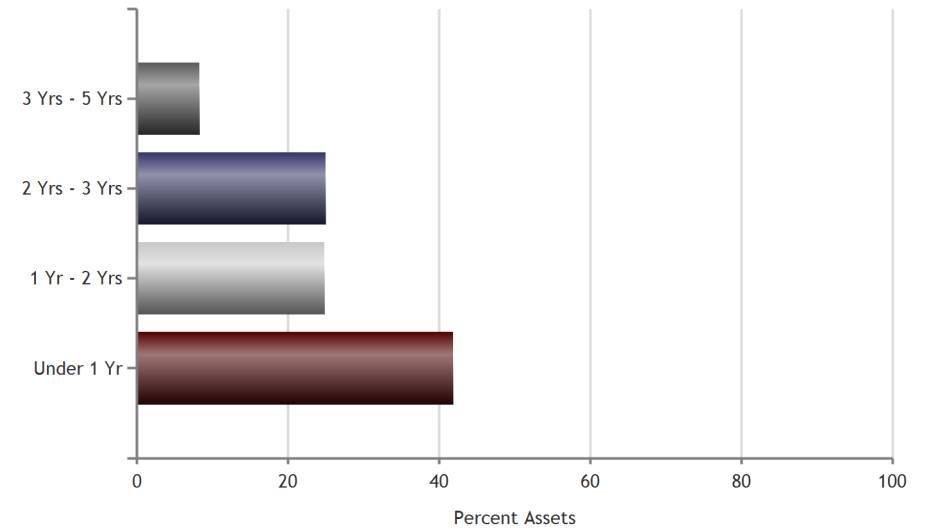




Distribution by Maturity

Maturity	Number	Market Value	% FI Holdings	Average Curr. Yld	Average Coupon	Average Duration
Under 1 Yr	6	12,654,418.48	41.9	0.7	0.717%	0.5
1 Yr - 2 Yrs	3	7,518,007.50	24.9	1.0	1.017%	1.8
2 Yrs - 3 Yrs	3	7,549,192.50	25.0	1.2	1.168%	2.5
3 Yrs - 5 Yrs	1	2,515,887.50	8.3	1.2	1.250%	3.0

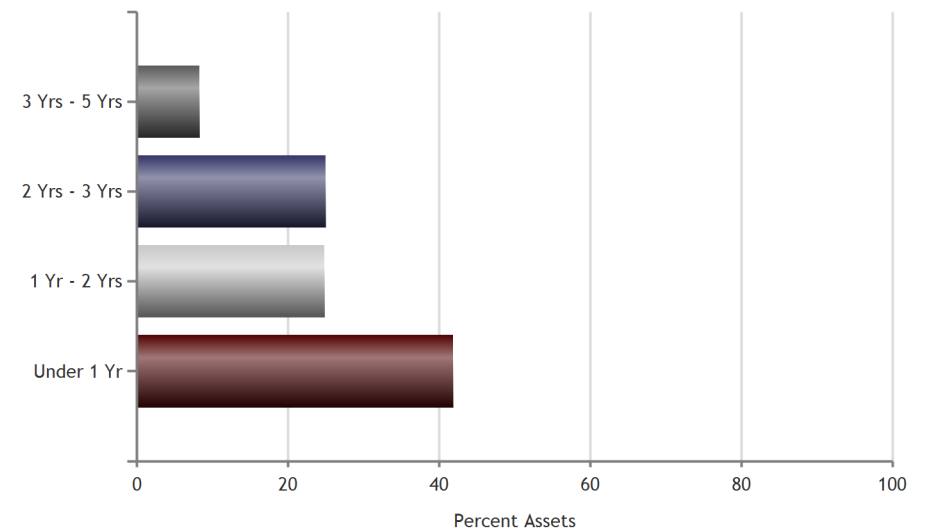
Distribution by Maturity



Distribution by Duration

Duration	Number	Market Value	% FI Holdings	Average Curr. Yld	Average Coupon	Average Duration
Under 1 Yr	6	12,654,418.48	41.9	0.7	0.717%	0.5
1 Yr - 2 Yrs	3	7,518,007.50	24.9	1.0	1.017%	1.8
2 Yrs - 3 Yrs	3	7,549,192.50	25.0	1.2	1.168%	2.5
3 Yrs - 5 Yrs	1	2,515,887.50	8.3	1.2	1.250%	3.0

Distribution by Duration

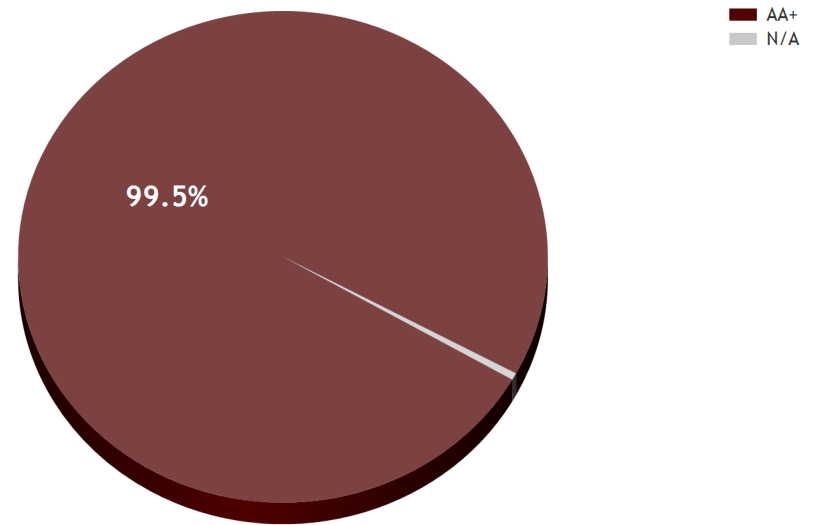




Distribution by S&P Rating

S&P Rating	Number	Market Value	% FI Holdings	Average YTM	Average Coupon	Average Duration
AA+	12	30,097,080.00	99.5	1.0	0.953%	2.8
N/A	1	140,425.98	0.5	0.0	0.010%	0.5

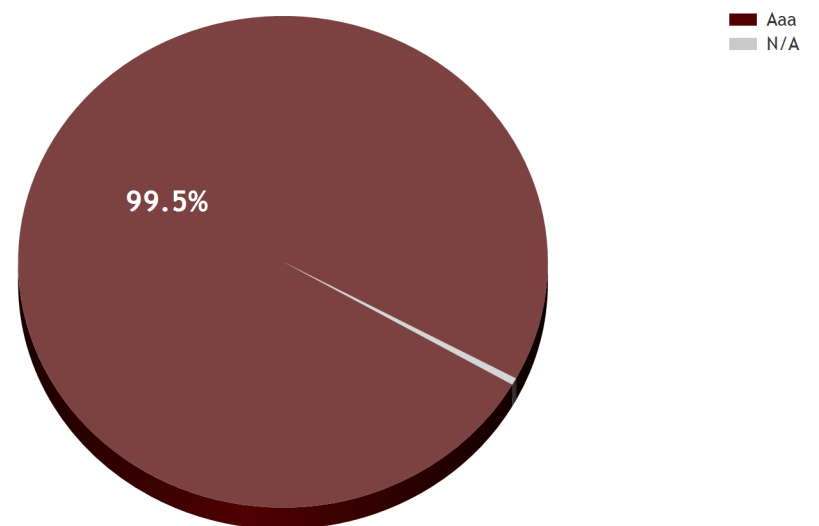
Distribution by S&P Rating

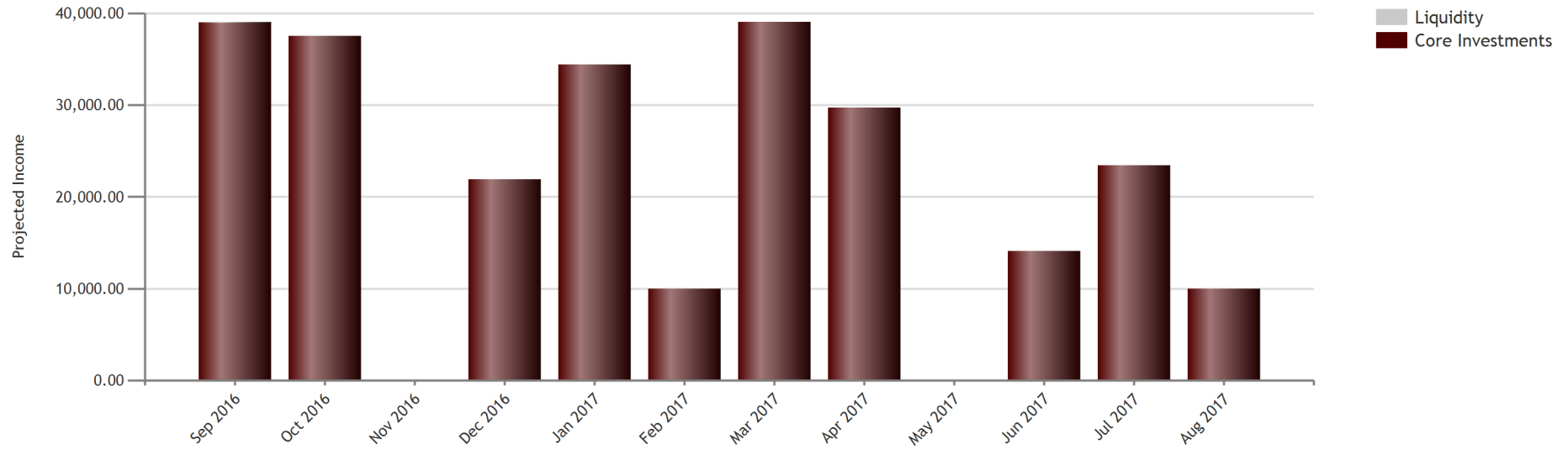


Distribution by Moody Rating

Moody Rating	Number	Market Value	% FI Holdings	Average YTM	Average Coupon	Average Duration
Aaa	12	30,097,080.00	99.5	1.0	0.953%	2.8
N/A	1	140,425.98	0.5	0.0	0.010%	0.5

Distribution by Moody Rating





	Sep 2016	Oct 2016	Nov 2016	Dec 2016	Jan 2017	Feb 2017	Mar 2017	Apr 2017	May 2017	Jun 2017	Jul 2017	Aug 2017
Core Investments	39,062.50	37,500.00	0.00	21,875.00	34,375.00	10,000.00	39,062.50	29,687.50	0.00	14,062.50	23,437.50	10,000.00
US Agency (USD)	14,062.50	29,687.50	0.00	0.00	12,500.00	10,000.00	14,062.50	29,687.50	0.00	0.00	12,500.00	10,000.00
US Treasury (USD)	25,000.00	7,812.50	0.00	21,875.00	21,875.00	0.00	25,000.00	0.00	0.00	14,062.50	10,937.50	0.00
Liquidity	1.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Bank or Cash Deposit (USD)	1.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	39,063.67	37,500.00	0.00	21,875.00	34,375.00	10,000.00	39,062.50	29,687.50	0.00	14,062.50	23,437.50	10,000.00
Grand Total	259,063.67											



Purchases

Trade Date	Settle Date	Quantity	Symbol	Security	Adj Unit Cost	Adjusted Total Cost
8/11/2016	8/15/2016	2,500,000	3133EGQY4	FEDERAL FARM CR BKS 0.800% Due 08-15-18	99.91	2,497,750
Total Purchases						2,497,750

Interest

Trade Date	Settle Date	Symbol	Security	Amount
8/1/2016	8/1/2016	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	11
8/1/2016	8/1/2016	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	0
8/1/2016	8/1/2016	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	0
8/11/2016	8/15/2016	3133EGQY4	FEDERAL FARM CR BKS 0.800% Due 08-15-18	0
8/15/2016	8/15/2016	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	0
8/15/2016	8/15/2016	912828VR8	UNITED STATES TREAS NTS 0.625% Due 08-15-16	7,813
Total Interest				7,824

Maturities

Trade Date	Settle Date	Quantity	Symbol	Security	Adj Unit Cost	Adjusted Total Cost	Amort. or Accretion	Unit Price	Proceeds	Gain/Loss
8/15/2016	8/15/2016	2,500,000	912828VR8	UNITED STATES TREAS NTS 0.625% Due 08-15-16	100.00	2,500,098	-98	100.00	2,500,000	0
Total Maturities						2,500,098	-98		2,500,000	0

Expenses

Trade Date	Settle Date	Symbol	Security	Amount
8/31/2016	8/31/2016	manfee	Management Fee	2,083
Total Expenses				2,083



Contributions

Trade Date	Settle Date	Quantity	Symbol	Security	Unit Price	Amount
8/1/2016	8/1/2016	21,875	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	100.00	21,875
8/1/2016	8/1/2016	11	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	100.00	11
8/15/2016	8/15/2016	10,063	0000FIFTH3	FIFTH THIRD BANK MMF 0.010% Due 09-29-16	100.00	10,063
Total Contributions						31,949

Withdrawals

Trade Date	Settle Date	Quantity	Symbol	Security	Unit Price	Amount
8/15/2016	8/15/2016		cash	CASH ACCOUNT		10,063
Total Withdrawals						10,063

Portfolio Holdings

City of Franklin - Core Investment Fund
August 31, 2016

Cusip	Quantity	Security	Call Date	Trade Date	Amor Price	Book Yield	Market Price	Market Yield	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Pct. Assets	Dur Mat	Eff Dur
US Treasury															
912828WA4	2,500,000	UNITED STATES TREAS NTS		03-27-14	99.99	0.70	100.0414	0.29	2,501,035.00	5,891.39	2,506,926.39	1,265.79	8.3	0.12	0.12
		0.625% Due 10-15-16													
912828A59	2,500,000	UNITED STATES TREAS NTS		12-18-13	100.00	0.64	100.0701	0.38	2,501,752.50	3,287.23	2,505,039.73	1,836.95	8.3	0.29	0.28
		0.625% Due 12-15-16													
912828SC5	2,500,000	UNITED STATES TREAS NTS		03-27-14	100.01	0.84	100.1875	0.42	2,504,687.50	1,842.73	2,506,530.23	4,373.62	8.3	0.42	0.41
		0.875% Due 01-31-17													
912828J92	2,500,000	UNITED STATES TREAS NTS		07-24-15	99.94	0.60	99.9687	0.55	2,499,217.50	5,225.41	2,504,442.91	702.36	8.3	0.58	0.57
		0.500% Due 03-31-17													
912828XF2	2,500,000	UNITED STATES TREAS NTS		08-18-15	100.19	1.02	100.5703	0.80	2,514,257.50	5,917.01	2,520,174.51	9,616.01	8.3	1.77	1.76
		1.125% Due 06-15-18													
912828SN1	2,500,000	UNITED STATES TREAS NTS		11-16-15	100.51	1.30	101.6172	0.87	2,540,430.00	15,676.23	2,556,106.23	27,611.09	8.4	2.52	2.51
		1.500% Due 03-31-19													
912828TH3	2,500,000	UNITED STATES TREAS NTS		03-10-16	99.07	1.20	99.9102	0.91	2,497,755.00	1,842.73	2,499,597.73	20,887.04	8.3	2.87	2.87
		0.875% Due 07-31-19													
	17,500,000					0.90		0.60	17,559,135.00	39,682.73	17,598,817.73	66,292.86	58.1	1.23	1.22
US Agency Bullet															
3137EADJ5	2,500,000	FEDERAL HOME LN MTG CORP		12-18-13	100.01	0.99	100.2920	0.67	2,507,300.00	2,291.67	2,509,591.67	7,021.84	8.3	0.91	0.90
		1.000% Due 07-28-17													
3130A5PE3	2,500,000	FEDERAL HOME LOAN BANKS		07-24-15	100.17	1.02	100.3894	0.87	2,509,735.00	11,875.00	2,521,610.00	5,605.87	8.3	1.56	1.55
		1.125% Due 03-29-18													
3133EGQY4	2,500,000	FEDERAL FARM CR BKS		08-11-16	99.91	0.85	99.7606	0.92	2,494,015.00	888.89	2,494,903.89	-3,787.40	8.2	1.94	1.93
		0.800% Due 08-15-18													
3135G0E58	2,500,000	FEDERAL NATL MTG ASSN		10-06-15	100.32	0.97	100.4403	0.91	2,511,007.50	10,312.50	2,521,320.00	2,919.68	8.3	2.10	2.09
		1.125% Due 10-19-18													
3137EADM8	2,500,000	FEDERAL HOME LN MTG CORP		03-10-16	99.76	1.33	100.6355	1.04	2,515,887.50	12,934.03	2,528,821.53	21,981.35	8.3	3.01	3.01
		1.250% Due 10-02-19													
	12,500,000					1.03		0.89	12,537,945.00	38,302.08	12,576,247.08	33,741.34	41.5	1.90	1.90

Portfolio Holdings

City of Franklin - Core Investment Fund

August 31, 2016

Cusip	Quantity	Security	Call Date	Trade Date	Amor Price	Book Yield	Market Price	Market Yield	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Pct. Assets	Dur Mat	Eff Dur
Money Market Fund															
0000FIFTH	140,426	FIFTH THIRD BANK MMF 0.010% Due 09-29-16		03-31-16	100.00	0.01	100.0000	0.01	140,425.98	0.00	140,425.98	0.00	0.5	0.08	0.05
TOTAL	30,140,426					0.95		0.72	30,237,505.98	77,984.81	30,315,490.79	100,034.20	100.0	1.50	1.50



Disclaimer & Terms

8/31/2016

This report is for general informational purposes only and is not intended to provide specific advice or recommendations. Government Portfolio Advisors (GPA) is an investment advisor registered with the Securities and Exchange Commission and is required to maintain a written disclosure statement of our background and business experience.

Questions About an Account: GPA's monthly & quarterly reports are intended to detail the investment advisory activity managed by GPA. The custodian bank maintains the control of assets and executes (ie. Settles) all investment transactions. The custodian statement is the official record of security and cash holdings and transactions. GPA recognizes that clients may use these reports to facilitate record keeping and that the custodian bank statement and the GPA report should be reconciled and differences resolved. Many custodians use a settlement date basis which may result in the need to reconcile due to a timing difference.

GPA relies on the information provided by the clients when reporting pool balances, bank balances and other assets that are not held at the clients custodial bank.

Account Control:

GPA does not have the authority to withdraw funds from or deposit funds to the custodian. Our clients retain responsibility for their deposit funds to the custodian. Our clients retain responsibility for their internal accounting policies, implementing and enforcing internal controls and generating ledger entries or otherwise recording transactions.

Market Value:

Generally, market prices in GPA's reports are derived from closing bid prices as of the last business day of the month as supplied by Interactive Data or Bloomberg. Where prices are not available from generally recognized sources the securities are priced using a yield-based matrix system to arrive at an estimated market value. Prices that fall between data points are interpolated. Non-negotiable FDIC-insured bank certificates of deposit are priced at par. Although GPA believes the prices to be reliable, the values of the securities do not always represent the prices at which the securities could have been bought or sold.

Amortized Cost:

The original cost of the principal of the security is adjusted for the amount of the periodic reduction of any discount or premium from the purchase date until the date of the report. Discount or premiums with respect to short term securities (those with less than one year to maturity at time of issuance) is amortized on a straightline basis. Such discount or premium with respect to longer term securities is amortized using a straight-line basis.

Financial Situation:

In order to better serve you, GPA should be promptly notified of any material change in your investment objective or financial situation.

Callable Securities:

Securities subject to redemption prior to maturity may be redeemed in whole or in part before maturity, which could affect the yield represented. Certain call dates may not show up on the report if the call date has passed and it is continuously callable. Bonds purchased at a premium will be amortized to call date versus all others will be amortized to maturity.

Duration:

The duration listed on the reports is duration to maturity and duration to call. Effective duration is calculated on Bloomberg and imported into these reports and reflects the OAS duration which incorporates the probability of the bond being called. Effective duration is what GPA compares to the benchmark to reflect current market risk.

Benchmark Duration:

The benchmark duration is the historical weighted average of the benchmark duration over monthly periods. GPA is reporting this number as a static amount period over period.

Portfolio:

The securities in this portfolio are not guaranteed or otherwise protected by GPA, the FDIC (except for non-negotiable certificates of deposit) or any government agency. Investment in securities involves risks, including the possible loss of the amount invested.

Rating:

Information provided for ratings is based upon a good faith inquiry of selected sources, but its accuracy and completeness cannot be guaranteed.

Coupon Payments and Maturities on Weekends:

Oftentimes, coupon payments and maturities will occur on a weekend or holiday. GPA will track these payments on an accrual basis, while the custodian bank may track on a cash basis. The accrual basis allocates the earnings in the period earned.



**STATE OF TENNESSEE
DEPARTMENT OF REVENUE
ANDREW JACKSON STATE OFFICE BUILDING
NASHVILLE, TENNESSEE 37242**

September 26, 2016

Russ Truell, Finance Director
109 3rd Avenue South
Franklin, TN 37064

Dear Russ Truell:

This is to inform you that a taxpayer located within your city/county has applied for a refund. An auditor has determined that the claim for refund is valid. As a result, your local option sales tax distribution has been reduced by the amount of \$116,851.55.

The claim is currently in the approval process. Once all the necessary signatures have been acquired, a check will be forwarded to the taxpayer. In the event the refund is reduced or denied during the process, your local option sales tax distribution will be increased accordingly.

Should you have any questions or concerns, please contact Amanda McGraw in our Fiscal Services office at (615) 253-8950.

Sincerely,

A handwritten signature in black ink, appearing to read "Ruby Smith", is written over a horizontal line.

Ruby Smith, Tax Auditor
Refund Unit
Audit Division

September 14, 2016

Mr. Russ Truell, CFO
City of Franklin, Tennessee
109 Third Avenue South
Franklin, TN 37064

Dear Mr. Truell:

Please find enclosed the Consolidated Profit and Loss Statement for the Cool Springs Conference Center for period end August 31, 2016.

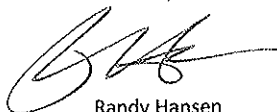
A summary of the financial and distribution date is as follows:

COOL SPRINGS CONFERENCE CENTER
August, 2016

	CURRENT MONTH			YEAR-TO-DATE		
	ACTUAL	BUDGET	LAST YR	ACTUAL	BUDGET	LAST YR
GROSS REVENUE	586,750	584,783	264,717	1,073,712	1,017,611	678,312
HOUSE PROFIT	53,853	37,431	(133,802)	32,679	11,806	(130,276)
Less: FIXED EXPENSES	19,077	18,657	21,082	38,088	37,315	38,951
NET INCOME	34,776	18,774	(154,884)	(5,409)	(25,509)	(169,227)
Less: FF&E RESERVE 5%	29,338	29,239	13,236	53,686	50,881	33,916
NET CASH FLOW	5,439	(10,466)	(168,120)	(59,094)	(76,389)	(203,142)
TOTAL CURRENT BALANCE DUE TO OWNERS	5,438.50					
TOTAL DUE TO/(FROM) CITY OF FRANKLIN	2,719.25					
TOTAL DUE TO/(FROM) WILLIAMSON COUNTY	2,719.25					

The financial statements for the Cool Springs Conference Center, subject to routine year-end audit and adjustments, is true and correct in all material respects to the best of my knowledge.

Sincerely,



Randy Hansen
Controller



Michael Sanders
General Manager

FRANKLIN MARRIOTT COOL SPRINGS
700 COOL SPRINGS BLVD
FRANKLIN, TENNESSEE 37067 USA
T: 615.261.6100
MARRIOTT.COM/BNACS

The TMA Group
Statement of Activities
Franklin Transit Service
For the Two Months Ending August 31, 2016

	Month Actual	Month Budget	YTD Actual	YTD Budget	Total Budget
Revenues					
COF Transit Operating	159,944.78	71,788.00	321,259.27	143,576.00	861,456.00
Revenue - Contracts	160.00	833.33	160.00	1,666.66	10,000.00
Transit Fares	5,567.00	7,083.33	10,283.00	14,166.66	97,500.00
Revenue - Building & EquipRent	800.00	800.00	1,600.00	1,700.00	9,700.00
Revenue - Transit-Interest	581.13	266.67	993.58	533.34	3,200.00
Revenue - Sale of Surpls Asset	0.00	0.00	0.00	0.00	0.00
Revenue - Operating Assistance	0.00	20,833.33	0.00	41,666.66	250,000.00
State New Freedoms	0.00	1,250.00	0.00	2,500.00	15,000.00
State 5307 Routematch	0.00	208.33	0.00	416.66	2,500.00
State 5307 Cap. Cost. Contract	0.00	0.00	0.00	0.00	0.00
State 5309 Bus Surveillance	0.00	0.00	0.00	0.00	0.00
State 5309 Rent Assistance	0.00	337.50	0.00	675.00	4,050.00
Federal New Freedoms	0.00	2,500.00	0.00	5,000.00	30,000.00
Feder 5307 Preventative Mainte	0.00	7,501.33	0.00	15,002.66	90,016.00
Federal 5307 Routematch	0.00	1,666.67	0.00	3,333.34	20,000.00
Feder 5307 Cap. Cost. Contract	0.00	42,994.42	0.00	85,988.84	515,933.00
Federal 5309 Bus Surveillance	0.00	0.00	0.00	0.00	0.00
Federal 5309 Rent Assistance	0.00	2,700.00	0.00	5,400.00	32,400.00
Total Revenues	167,052.91	160,762.91	334,295.85	321,625.82	1,941,755.00
Direct Cost of Program					
Salaries	59,080.05	55,566.67	92,818.48	111,133.34	666,800.00
Employer Taxes and Benefits	19,899.17	19,945.42	31,859.36	39,890.84	239,345.00
Professional Services	4,113.33	7,083.33	6,938.38	14,166.66	85,000.00
Transit Building Maintenance	75.00	500.00	1,687.07	1,000.00	6,000.00
Transit Maintenance	6,327.43	7,250.00	21,282.25	14,500.00	87,000.00
Transit Center Cleaning	422.00	425.00	844.00	850.00	5,100.00
Transit Security	0.00	41.67	0.00	83.34	500.00
Education/Community Outreach	0.00	83.33	0.00	166.66	1,000.00
Education/Community Outrch HT	0.00	0.00	0.00	0.00	0.00
Marketing/Web (G&A)	0.00	0.00	0.00	0.00	0.00
Promotional Products	0.00	166.67	0.00	333.34	2,000.00
Recruitment	100.06	0.00	162.15	0.00	0.00
Print Advertising	562.50	916.67	1,126.50	1,833.34	11,000.00
Print Advertising HT	0.00	166.67	0.00	333.34	2,000.00
Radio Advertising/Web	249.72	550.00	558.01	1,100.00	6,600.00
Radio Advertising/Web HT	0.00	0.00	0.00	0.00	0.00
TV Advertising/PR	0.00	0.00	0.00	0.00	0.00
Printed Brochures & Pieces	0.00	500.00	0.00	1,000.00	6,000.00
Legal Fees	0.00	208.33	0.00	416.66	2,500.00
Transit-DAM Compliance	410.00	250.00	558.00	500.00	3,000.00
Transit Fuel	6,078.47	10,000.00	11,703.11	20,000.00	120,000.00
Supplies	376.25	416.67	839.20	833.34	5,600.00
Transit Maint. Fac - Utilities	1,734.58	2,500.00	3,293.25	5,000.00	30,000.00
Radio Communications	351.32	0.00	898.81	0.00	0.00
Trolley Insurance	6,518.67	8,000.00	13,037.34	16,000.00	96,000.00
Transit General Liability	1,868.59	1,500.00	3,669.93	3,000.00	18,000.00
Payouts for Insured Liab Damag	0.00	0.00	0.00	0.00	0.00
Recov. for Liab. & Dmge Settle	0.00	0.00	0.00	0.00	0.00
Errors & Omissions Liability	606.68	625.00	1,213.36	1,250.00	7,500.00
Vehicle Licensing & Registrati	0.00	8.33	0.00	16.66	100.00
Dues, Subs, Tuition	585.75	750.00	1,717.51	1,500.00	9,000.00
Meetings	0.00	166.67	0.00	333.34	2,000.00
Postage	10.20	0.00	10.20	0.00	0.00
Travel and Training	0.00	1,000.00	0.00	2,000.00	12,000.00
Trolley Cleaning Supplies	388.00	762.50	748.00	1,525.00	9,150.00

**The TMA Group
Statement of Activities
Franklin Transit Service
For the Two Months Ending August 31, 2016**

	Month Actual	Month Budget	YTD Actual	YTD Budget	Total Budget
Equipment - Other	0.00	41.67	100.00	83.34	500.00
Bank Credit Card Charges	16.83	66.67	30.19	133.34	800.00
Transit Maint. Facility-Rent	3,354.67	3,375.00	6,709.34	6,750.00	40,500.00
Depreciation - Transit Off Equ	165.50	166.67	331.00	333.34	2,000.00
Total Direct Cost of Program	113,294.77	123,032.94	202,135.44	246,065.88	1,476,995.00
Indirect Expenditures	36,926.86	38,730.00	64,481.11	77,460.00	464,760.00
Net Difference - Operations	\$ 16,831.28 (\$	1,000.03) \$	67,679.30 (\$	1,900.06)	0.00
Planning					
Federal 5307 Planning	\$ 283.00	\$ 5,000.00	\$ 283.00	10,000.00	60,000.00
State 5307 Planning	35.35	625.00	35.35	1,250.00	7,500.00
COF Planning Cost Share	35.15	625.00	35.15	1,250.00	7,500.00
Total Planning Revenues	353.50	6,250.00	353.50	12,500.00	75,000.00
Planning Costs					
Planning/Transit	353.50	6,250.00	353.50	12,500.00	75,000.00
Net Difference - Planning	\$ 0.00 \$	0.00 \$	0.00 \$	0.00	0.00
Equipment					
Federal 5307 Capital Expenditu	\$ 0.00	\$ 15,333.33	\$ 0.00	30,666.66	184,000.00
State 5307 Capital Expenditure	0.00	1,916.67	0.00	3,833.34	23,000.00
COF Capital Cost Share	0.00	1,916.67	0.00	3,833.34	23,000.00
Total Equipment Revenues	0.00	19,166.67	0.00	38,333.34	230,000.00
Equipment Costs					
Equipment - Transit	0.00	19,166.67	0.00	38,333.34	230,000.00
Net Difference - Equipment	\$ 0.00 \$	0.00 \$	0.00 \$	0.00	0.00